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Eidgenössische Technische Hochschule Zürich
Swiss Federal Institute of Technology Zurich

Acoustics II: electrical-mechanical-acoustical analogies

Reto Pieren
2024

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- ▶ microphones and loudspeakers consist of coupled mechanical, acoustical and electrical subsystems
- ▶ → analysis has to consider all subsystems in an integral way
- ▶ the fundamental differential equations have identical form in all systems
- ▶ → introduction of analogies and conversion of mechanical and acoustical systems into electrical ones
- ▶ excellent tools available for analysis of electrical networks

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At a specific point of a mechanical system, the two quantities of interest are:

▶ velocity $u = \frac{dx}{dt}$

▶ force F

From u , further quantities are derived to describe the movement:

▶ displacement $x = \int u dt$

▶ acceleration $a = \frac{du}{dt}$

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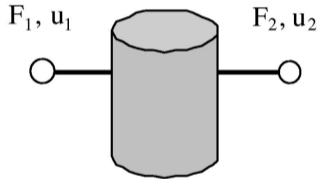
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Mechanical mass

ideal mass:

- ▶ incompressible \rightarrow each point of the mass has identical velocity
- ▶ physical description: $F_{res} = m \cdot a$ (Newton)



ports 1 and 2:

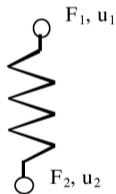
$$u_1 = u_2 = u$$

$$F_1 - F_2 = m \frac{du}{dt}$$

Spring

ideal spring:

- ▶ stiffness s independent of excursion x
- ▶ physical description: $F = s \cdot x$ (Hook's law)
- ▶ no force difference along the spring

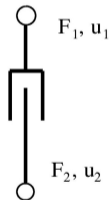


$$F_1 = F_2 = F$$
$$F = s \int (u_1 - u_2) dt$$

Friction

ideal friction:

- ▶ proportionality between friction force and velocity
- ▶ physical description: $F = R \cdot u$
- ▶ no force difference along the friction element



$$F_1 = F_2 = F$$
$$F = R(u_1 - u_2)$$

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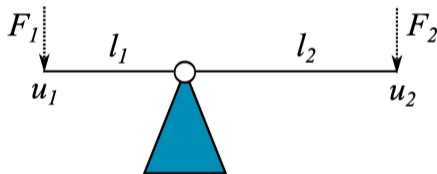
ideal link:

- ▶ connecting element for mechanical building blocks
- ▶ no mass and incompressible

Lever

ideal lever:

- ▶ frictionless and massless
- ▶ mechanical transformer



$$F_1 l_1 - F_2 l_2 = 0$$

$$u_1 l_2 + u_2 l_1 = 0$$

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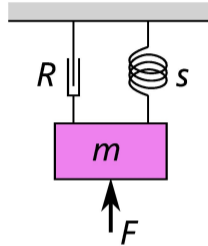
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Mechanical sources:

- ▶ force source
 - ▶ example: conductor in a magnetic field \rightarrow force $\sim B \times I$ but independent of velocity
- ▶ velocity source
 - ▶ example: motor with a flywheel \rightarrow velocity independent of load (force)

resonance system: spring pendulum



- ▶ given: external force: $F(t) = \hat{F} \sin(\omega t)$
- ▶ unknown: movement of mass
 - ▶ excursion $x(t)$
 - ▶ velocity $u(t) = dx/dt$
 - ▶ acceleration $a(t) = d^2x/dt^2$

resonance system: spring pendulum: solution?

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equilibrium of forces:

$$F_{acceleration} + F_{friction} + F_{spring} = F$$

differential equation for x in complex writing for harmonic excitation:

$$m \frac{d^2 x}{dt^2} + R \frac{dx}{dt} + sx = \hat{F} e^{j\omega t}$$

resonance system: spring pendulum: solution?

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general solution of the differential equation:

$$x(t) = \frac{\hat{F} e^{j\omega t}}{(j\omega)^2 m + j\omega R + s} = \frac{\hat{F} e^{j\omega t}}{j\omega \left(j\omega m + R + \frac{s}{j\omega} \right)}$$

resonance system: spring pendulum: solution?

with

$$Z_m = j\omega m + R + \frac{s}{j\omega}$$

we obtain:

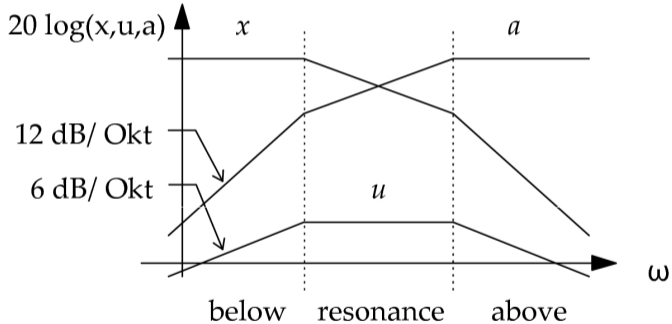
$$x(t) = \frac{\hat{F} e^{j\omega t}}{j\omega Z_m}$$

$$u(t) = \frac{\hat{F} e^{j\omega t}}{Z_m}$$

$$a(t) = \frac{j\omega \hat{F} e^{j\omega t}}{Z_m}$$

resonance system: spring pendulum: solution?

amplitude responses:



resonance system: spring pendulum

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consequences for microphones:

- ▶ majority of mics use a membrane (mechanical spring-mass resonance system)
- ▶ condition for flat amplitude response:
 - ▶ operation below resonance if electrical output is proportional to membrane excursion
 - ▶ operation at resonance if electrical output is proportional to membrane velocity

resonance system: spring pendulum

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fundamental acoustical quantities:

- ▶ sound pressure p
- ▶ sound particle velocity v or volume flow $Q = \int_S v dS$

movie: rohr-open5-4

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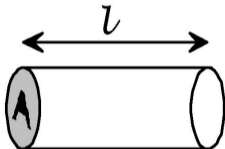
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Acoustical elements

Acoustical mass

Acoustical mass:

- ▶ accelerated but not compressed air
- ▶ realization: tube of length l , diameter d where $\lambda \gg l$ and $\lambda \gg d$



$$\Delta F = \rho A l \frac{dv}{dt} \quad \text{Newton}$$

where

ρ : density of air

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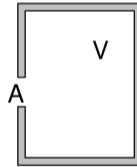
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Acoustical compliance:

- ▶ compressed but not accelerated air
- ▶ realization: cavity V with opening area A (largest dimension $l \ll \lambda$)



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▶ experiment: virtual piston in opening A with applied force F

▶ piston sinks in by $\Delta l = \frac{F}{s}$ (s : stiffness of the compliance)

with the assumption of adiabatic behavior (no temperature exchange):

$$s = c^2 \rho \frac{A^2}{V}$$

where

c : speed of sound

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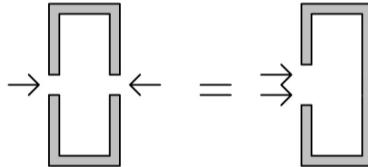
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- ▶ position of the opening is irrelevant



Acoustical resistance

Acoustical resistance:

- ▶ element introduces losses (conversion of sound energy into heat)
- ▶ realization: porous material, small tube

resistance of a small tube:

$$\Delta p = v \frac{8l\eta}{r^2}$$

where

Δp : sound pressure difference on both sides

v : sound particle velocity

l : length of the tube

r : radius of the tube ($r \ll l$)

η : dynamic viscosity of air: $1.82 \times 10^{-5} \text{ Nsm}^{-2}$

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- ▶ acoustical resistance of a tube is always accompanied by an acoustical mass
- ▶ mass behavior can be neglected for small diameters and low frequencies

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	potential quantity	flow quantity
electrical system:	voltage	current
mechanical system:	velocity u	force F
acoustical system:	sound pressure p	volume flow Q

→ dual analogy would be possible as well

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Amplitude scaling:

- ▶ conversion of acoustical and mechanical quantities into electrical ones requires amplitude and unit conversion factors
- ▶ arbitrary amplitude conversion factors are allowed
- ▶ here: = 1

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mechanical \rightarrow electrical:

$$U = G_1 u \quad \text{with} \quad G_1 = 1 \frac{\text{Vs}}{\text{m}}$$
$$I = \frac{1}{G_2} F \quad \text{with} \quad G_2 = 1 \frac{\text{N}}{\text{A}}$$

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acoustical \rightarrow electrical:

$$U = G_3 p \quad \text{with} \quad G_3 = 1 \frac{\text{Vm}^2}{\text{N}}$$
$$I = \frac{1}{G_4} Q \quad \text{with} \quad G_4 = 1 \frac{\text{m}^3}{\text{As}}$$

Impedances: general

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Conversion of mechanical and acoustical elements into analog electrical ones is performed using impedance definition:

$$\text{impedance} = \frac{\text{potentialQuantity}}{\text{flowQuantity}}$$

Impedances: mechanical mass

impedance Z of the mechanical mass:

$$Z = \frac{u}{F} = \frac{u}{m \frac{du}{dt}}$$

with $u = u_0 e^{j\omega t}$ follows $\frac{du}{dt} = u_0 j\omega e^{j\omega t}$ and finally

$$Z = \frac{1}{j\omega m}$$

- ▶ mechanical mass \triangleq electrical capacitance
- ▶ inertia of the mass is understood relative to reference system at rest
→ capacitors always at ground potential

Impedances: mechanical spring

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impedance Z of the mechanical spring:

$$Z = \frac{u}{F} = \frac{u}{s \int u dt}$$

with $u = u_0 e^{j\omega t}$ follows $\int u dt = u_0 \frac{1}{j\omega} e^{j\omega t}$ and finally

$$Z = j\omega \frac{1}{s}$$

▶ mechanical spring \triangleq electrical inductance

Impedances: mechanical friction

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impedance Z of a mechanical friction element:

$$Z = \frac{u}{F} = \frac{u}{Ru} = \frac{1}{R}$$

▶ mechanical friction element \triangleq electrical resistance

Impedances: acoustical mass

impedance Z of the acoustical mass:

$$Z = \frac{p}{Q} = \frac{\frac{\Delta F}{A}}{Av} = \frac{\rho A l \frac{dv}{dt}}{AAv}$$

with $v = v_0 e^{j\omega t}$ follows $\frac{dv}{dt} = v_0 j\omega e^{j\omega t}$ and finally

$$Z = j\omega \frac{\rho l}{A}$$

► acoustical mass \triangleq electrical inductance

Impedance: acoustical compliance

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impedance Z of the acoustical compliance:

$$Z = \frac{p}{Q} = \frac{\Delta F}{A v} = \frac{c^2 \rho \frac{A^2}{V} \Delta l}{A A v} = \frac{c^2 \rho \frac{A^2}{V} \int v dt}{A A v}$$

with $v = v_0 e^{j\omega t}$ follows $\int v dt = v_0 \frac{1}{j\omega} e^{j\omega t}$ and finally

$$Z = \frac{c^2 \rho}{j\omega V}$$

► acoustical compliance \triangleq electrical capacitance

Impedance: acoustical resistance

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impedance Z of the acoustical resistance:

$$Z = \frac{p}{Q} = \frac{8l\eta}{\pi r^4}$$

where

l : length of the tube

r : radius of the tube ($r \ll l$)

η : dynamic viscosity in air = $1.82 \times 10^{-5} \text{ Nsm}^{-2}$

▶ acoustical resistance \triangleq electrical resistance

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	electrical	mechanical	acoustical
$Z = R$	resistance	resistance	resistance
$Z = \frac{1}{j\omega C}$	capacitor	mass	compliance
$Z = j\omega L$	inductance	spring	mass

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$$Z = R$$

$$R$$

$$R = \frac{1}{R_m} G_1 G_2$$

$$R = R_m G_3 G_4$$

$$Z = \frac{1}{j\omega C}$$

$$C$$

$$C = m \frac{1}{G_1 G_2}$$

$$C = \frac{V}{\rho c^2} \frac{1}{G_3 G_4}$$

$$Z = j\omega L$$

$$L$$

$$L = \frac{1}{s} G_1 G_2$$

$$L = \frac{\rho l}{A} G_3 G_4$$

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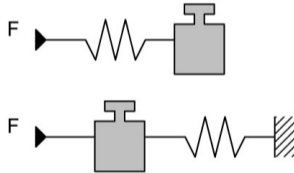
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combinations of mechanical mass and spring:



Example: mechanical resonance circuit

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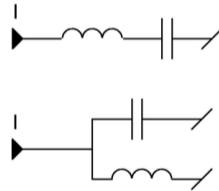
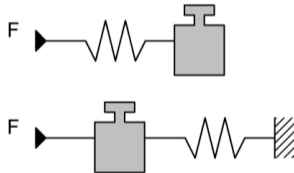
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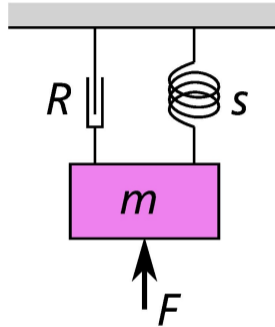
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combinations of mechanical mass and spring:

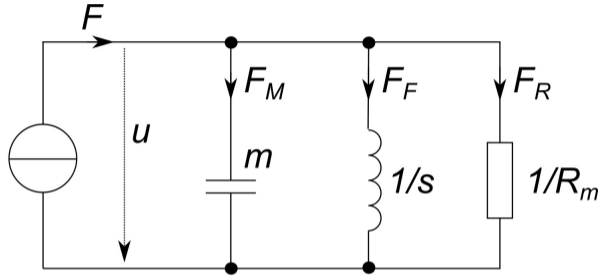


Example: mechanical resonance circuit



- ▶ sinusoidal force excitation
- ▶ analysis of the mechanical spring pendulum with help of an equivalent electrical circuit.

Example: mechanical spring pendulum



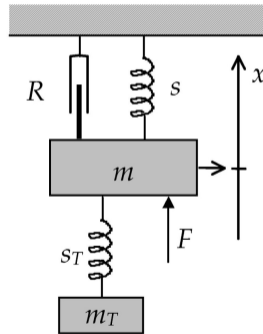
▶ $x = F_F/s$

▶ $u = F_R/R$

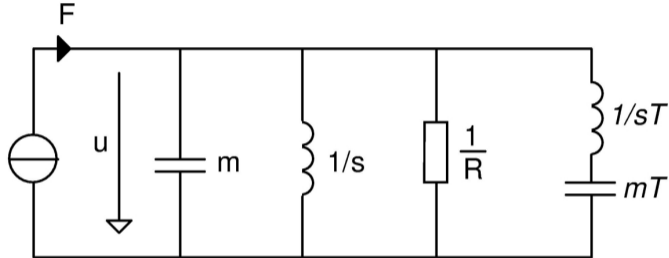
▶ $a = F_M/m$

Example: mechanical vibration damper

- ▶ strategy in case of sinusoidal vibration → implementation of an additional resonance system



Example: mechanical vibration damper



- ▶ additional series resonance circuit
- ▶ at resonance: impedance $\rightarrow 0$
- ▶ short-circuit for the excitation force

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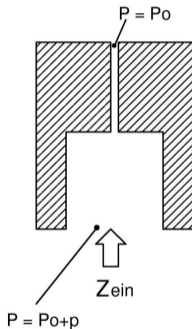
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Examples of acoustical networks

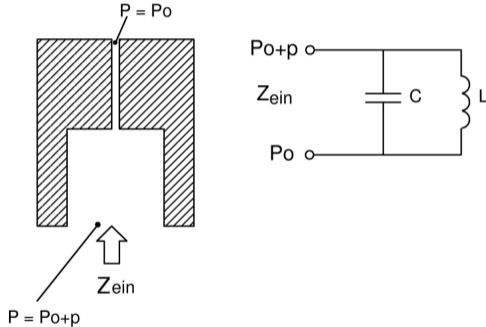
Example: acoustical resonance circuit

combination of acoustical mass and compliance:



Example: acoustical resonance circuit

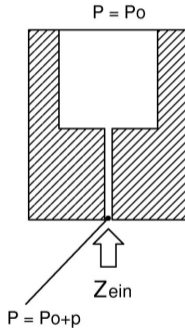
combination of acoustical mass and compliance:



→ parallel resonance circuit

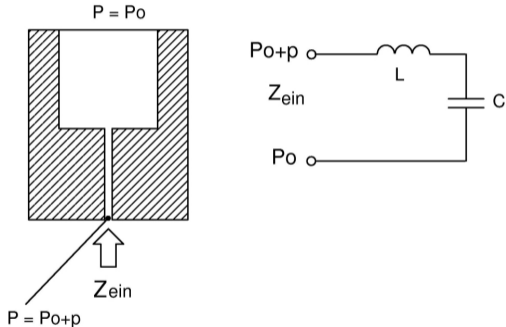
Example: acoustical resonance circuit

combination of acoustical mass and compliance:



Example: acoustical resonance circuit

combination of acoustical mass and compliance:



→ series resonance circuit

Example: muffler

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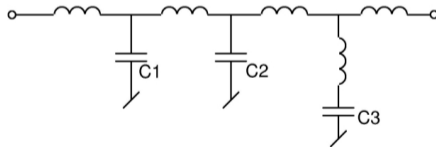
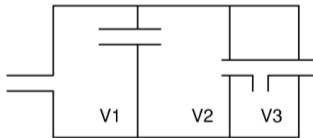
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muffler:

- ▶ no damping for low frequency air flow
- ▶ high damping for high frequency sound
- ▶ → acoustical low pass filter

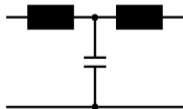


Example: acoustical transmission line

tubes (cross sect. area = A , $d \ll \lambda$) with length $> \lambda$:

- ▶ subdivision of the tube into small sections of length l ($l \ll \lambda$)
- ▶ representation of each section by mass and compliance properties
- ▶ equivalent network: L, C, L T-section with

- ▶ $L = \frac{\rho l}{2A}$
- ▶ $C = \frac{Al}{\rho c^2}$



Example: acoustical transmission line

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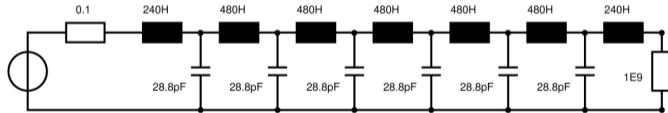
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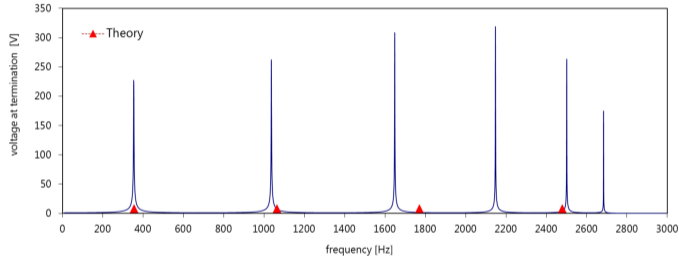
numerical example:

- ▶ hard terminated tube of length 24 cm
- ▶ cross sectional area $A = 10^{-4} \text{ m}^2$
- ▶ discretization: $l = 0.04 \text{ m}$



Example: acoustical transmission line

Spice simulation of the voltage at the hard/open termination:



resonance frequencies expected from theory:

$$\frac{1\lambda}{4} = 0.24m \rightarrow 354 \text{ Hz}, \quad \frac{3\lambda}{4} = 0.24m \rightarrow 1063 \text{ Hz}$$

$$\frac{5\lambda}{4} = 0.24m \rightarrow 1771 \text{ Hz}, \quad \frac{7\lambda}{4} = 0.24m \rightarrow 2479 \text{ Hz}$$

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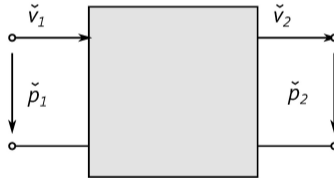
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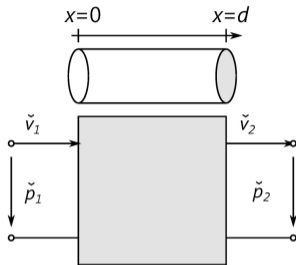
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- ▶ modeling methods of the acoustical behavior of long tubes:
 1. sequence of short sections, each represented by lumped elements
 2. introduction of a distributed acoustical element \rightarrow four-pole



distributed acoustical elements



- ▶ assumption: two plane waves traveling in opposite directions

$$\check{p}(x) = Ae^{-jkx} + Be^{jkx}$$

$$\check{v}(x) = \frac{A}{\rho c} e^{-jkx} - \frac{B}{\rho c} e^{jkx}$$

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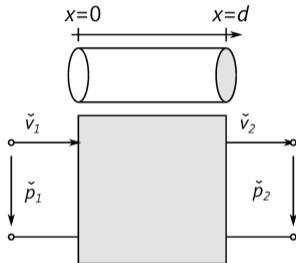
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► definition:

- $\check{p}(x = 0) \equiv \check{p}_1$ and $\check{p}(x = d) \equiv \check{p}_2$
- $\check{v}(x = 0) \equiv \check{v}_1$ and $\check{v}(x = d) \equiv \check{v}_2$

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insert definition:

$$\blacktriangleright \check{p}(x=0) = \check{p}_1 \text{ and } \check{p}(x=d) = \check{p}_2$$

$$\blacktriangleright \check{v}(x=0) = \check{v}_1 \text{ and } \check{v}(x=d) = \check{v}_2$$

in:

$$\check{p}(x) = Ae^{-jkx} + Be^{jkx}$$

$$\check{v}(x) = \frac{A}{\rho c} e^{-jkx} - \frac{B}{\rho c} e^{jkx}$$

resolving for \check{p}_2 and \check{v}_2

distributed acoustical elements

yields the four-pole equations:

$$\begin{aligned}\check{p}_2 &= \frac{\check{p}_1}{2} e^{-jkd} + \frac{\check{p}_1}{2} e^{jkd} + \frac{\check{v}_1 \rho c}{2} e^{-jkd} - \frac{\check{v}_1 \rho c}{2} e^{jkd} \\ &= \check{p}_1 \cosh(jkd) - \check{v}_1 \rho c \sinh(jkd)\end{aligned}$$

$$\begin{aligned}\check{v}_2 &= \frac{1}{\rho c} \frac{\check{p}_1}{2} e^{-jkd} - \frac{1}{\rho c} \frac{\check{p}_1}{2} e^{jkd} + \frac{\check{v}_1}{2} e^{-jkd} + \frac{\check{v}_1}{2} e^{jkd} \\ &= -\check{p}_1 \frac{1}{\rho c} \sinh(jkd) + \check{v}_1 \cosh(jkd)\end{aligned}$$

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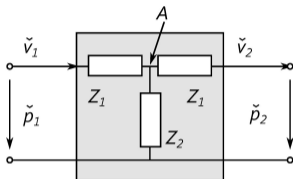
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representation of the above four-pole relations by T-type circuit:



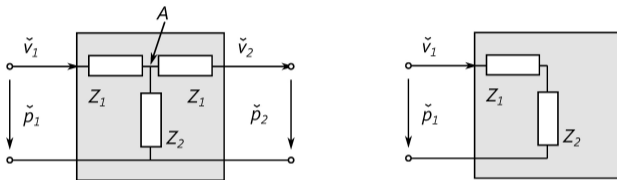
with:

$$Z_1 = j\rho c \frac{1 - \cos(kd)}{\sin(kd)}$$

$$Z_2 = \frac{-j\rho c}{\sin(kd)}$$

distributed acoustical elements

application example: impedance at the entrance of tube of length d with hard termination:



$$\frac{\check{p}_1}{\check{v}_1} = Z_1 + Z_2$$

$$\frac{\check{p}_1}{\check{v}_1} = \frac{j\rho c - j\rho c \cos(kd) - j\rho c}{\sin(kd)} = -j\rho c \cot(kd)$$

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Input impedances of cylindrical and conical tubes

Input impedances of cylindrical and conical tubes

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- ▶ brass instruments: playable at frequencies for which input impedance Z is maximal
- ▶ simulation of Z by Spice analysis of the equivalent electrical network
- ▶ termination at open end: short circuit

Input impedances of cylindrical and conical tubes

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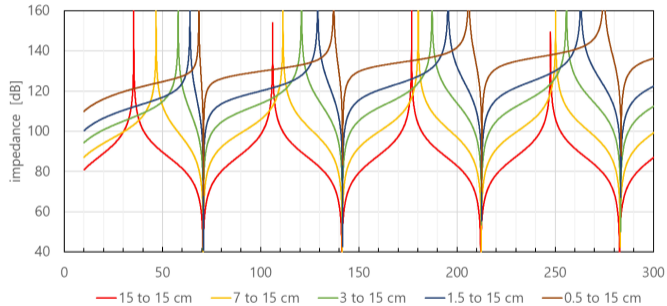
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Input impedance of open conical tubes, length 2.4 m



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- ▶ investigation of unknown acoustical impedances
 - ▶ e.g. quality control of wind instruments
 - ▶ e.g. audiometric tests → input impedance of the middle ear

how to do this?

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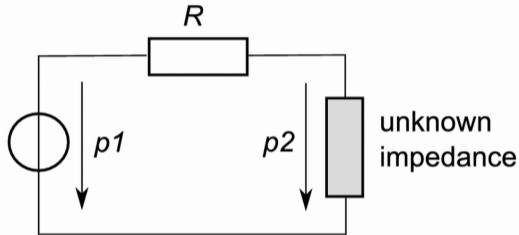
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▶ measurement methods

1. combination of sound pressure and sound particle velocity sensors, e.g. μ Flown
2. two pressure sensors and known acoustical resistance

Measurement of acoustical impedances

method with two pressure sensors and known acoustical resistance



possible evaluation strategies:

- ▶ loudspeaker signal controlled for constant difference $p_1 - p_2 \rightarrow p_2 \sim Z$
- ▶ calculation from measured spectra

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coupling of mechanical, acoustical and electrical systems

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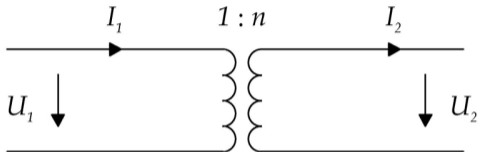
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linking of different subsystems:

- ▶ transformation of different physical quantities with help of interfaces:
 - ▶ type 1: conversion of potential quantity into potential quantity and flow quantity into flow quantity
 - ▶ type 2: conversion of potential quantity into flow quantity and vice versa

interfaces: transformer

interface type 1: transformer



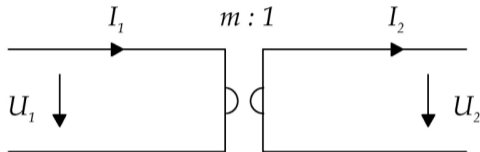
relations for the transformer:

$$U_2 = nU_1 \quad (1)$$

$$I_2 = \frac{1}{n}I_1 \quad (2)$$

interface: gyrator

interface type 2: gyrator



relations for the gyrator:

$$U_2 = m I_1 \quad (3)$$

$$I_2 = \frac{1}{m} U_1 \quad (4)$$

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motivation: elimination of gyrators

- ▶ selection of an arbitrary conversion factor r
- ▶ dual conversion of a suitable region of the network (cut in half all gyrators)
- ▶ gyrators \rightarrow transformers
- ▶ series arrangement \rightarrow parallel arrangement
- ▶ parallel arrangement \rightarrow series arrangement
- ▶ $L \rightarrow C, C \rightarrow L, R \rightarrow 1/R$

Dual conversion

mechanical systems

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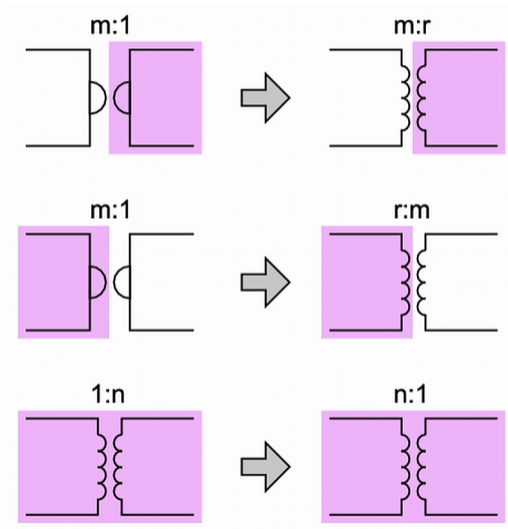
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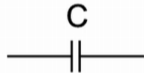
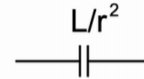
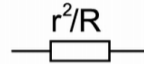
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voltage U



current U/r

current I



voltage $I r$

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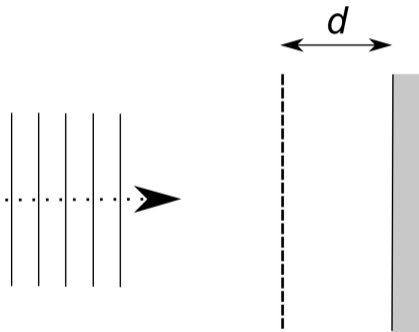
thin absorbers

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application: thin absorber in front of a hard wall

Thin absorber in front of a hard wall

discussion of the behavior of a thin porous layer in front of a hard wall with help of an equivalent network for normal incidence



→ equivalent electrical network?

Thin absorber in front of a hard wall

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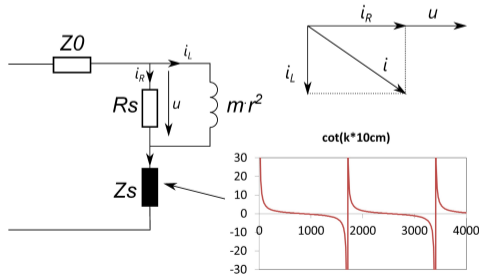
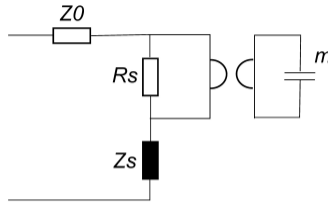
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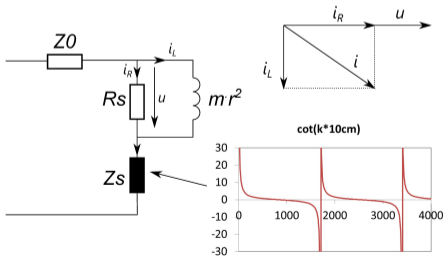
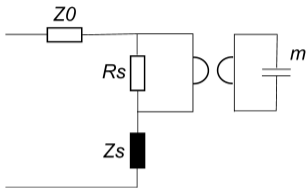
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Thin absorber in front of a hard wall

how to maximise absorption?



Thin absorber in front of a hard wall

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▶ absorption: dissipated power according to $i_R \cdot u$

▶ max. absorption for

▶ $Z_S \rightarrow 0$

▶ $R_S = Z_0$

▶ $m \rightarrow \infty$

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