

Enabling Fast, Accurate, and Efficient Real-Time Genome Analysis via New Algorithms and Techniques

Can Firtina

Doctoral Examination

11.11.2024

Advisor:

Onur Mutlu (ETH Zurich)

Co-Examiners:

Reetuparna Das (University of Michigan)

Hasindu Gamaarachchi (UNSW Sydney)

Benjamin Langmead (Johns Hopkins University)

Heng Li (Harvard Medical School)

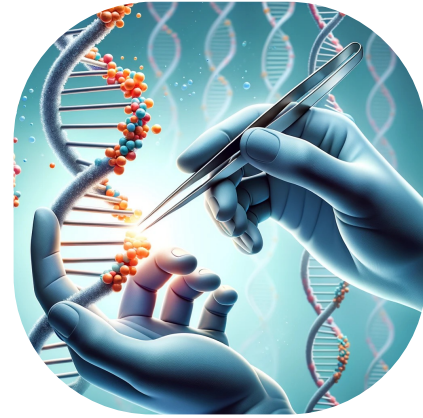
ETH zürich

SAFARI

Key Applications of Genome Analysis



Uncovering and treating diseases linked to genomic variations



Altering genomes to solve fundamental challenges of life



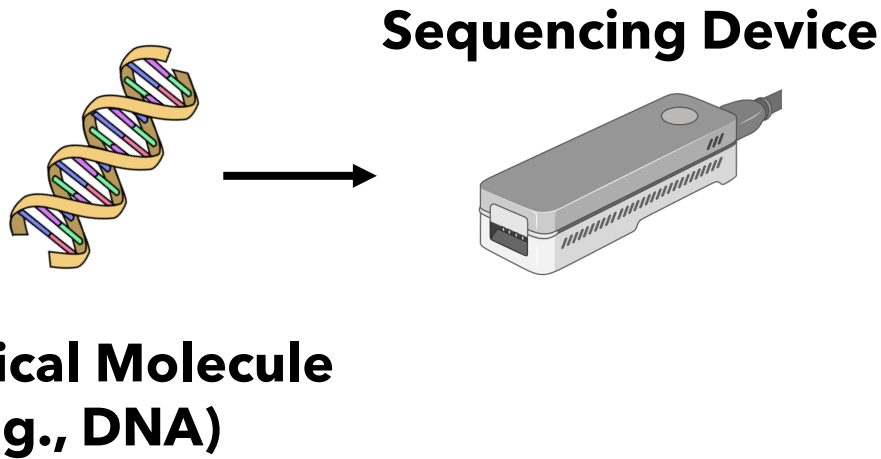
Detecting **pathogens** in the environment



Rapid surveillance of **disease outbreaks**

Genome Sequencing Data Generation

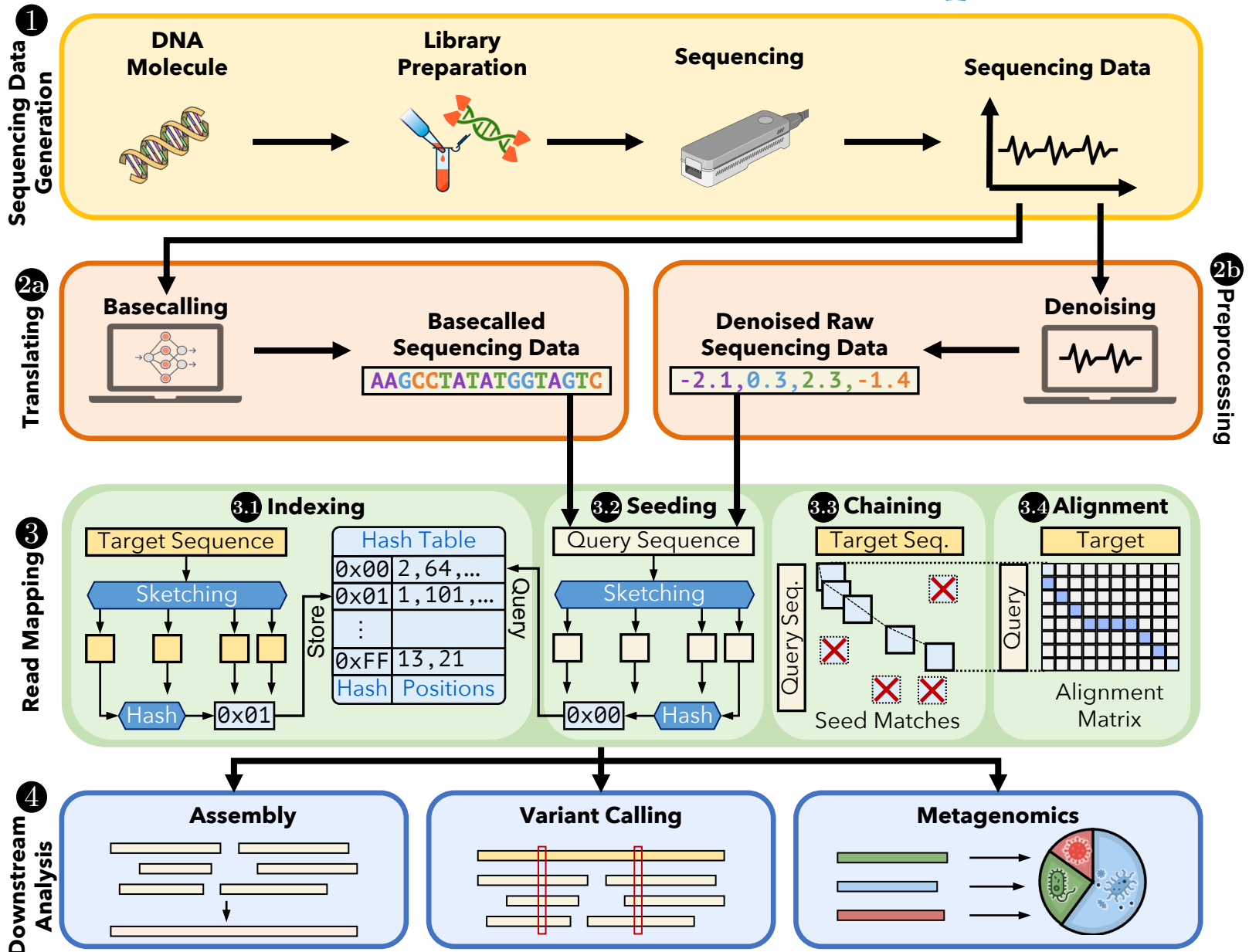
Sequencing process **converts biological molecules**
into **digital nucleotide sequences called reads**



? Challenge: Unknown origins

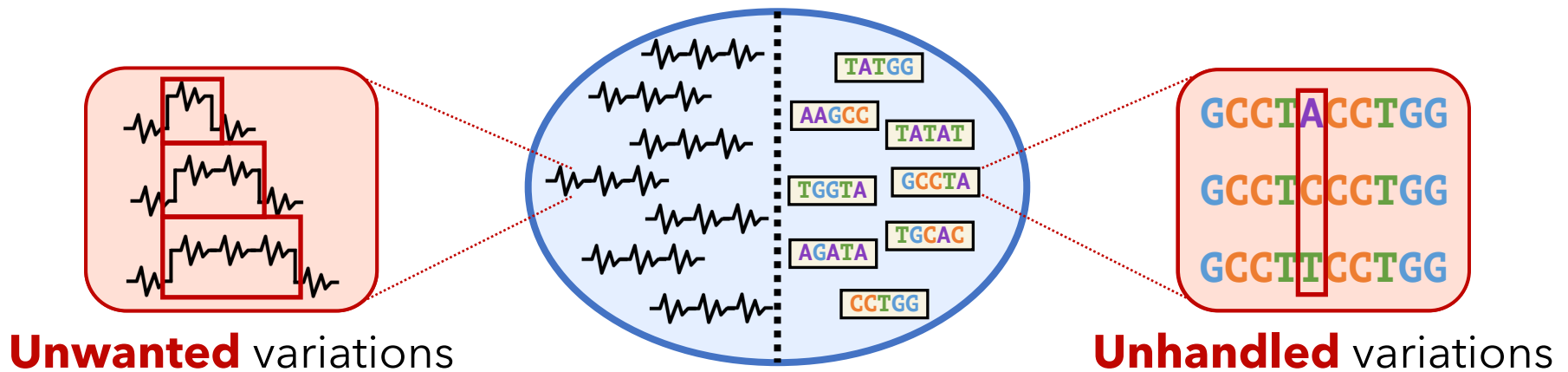
🗄️ Challenge: Large volume of data to analyze

A Common Genome Analysis Pipeline



Problem: Noise in Genome Analysis

Imperfections in sequencing data and its analysis negatively impact genome analysis



Significant computation overhead



Limited accuracy and application scope

Thesis Statement

We can mitigate **noise** in sequencing data and analysis by

1 Building a **better understanding** of the types of noise, and

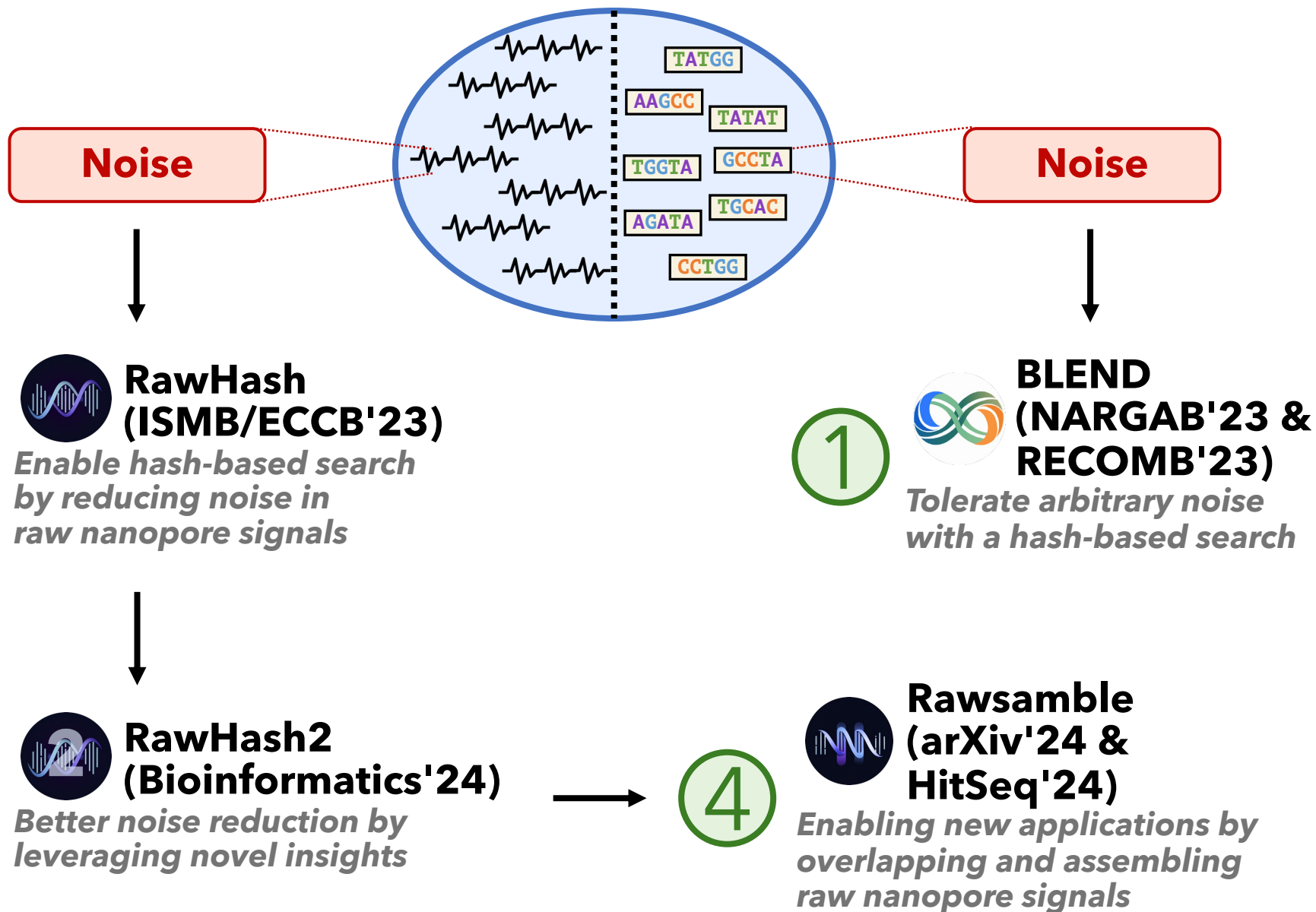
2 Developing new algorithms and techniques that can **tolerate and reduce noise**

Thereby providing

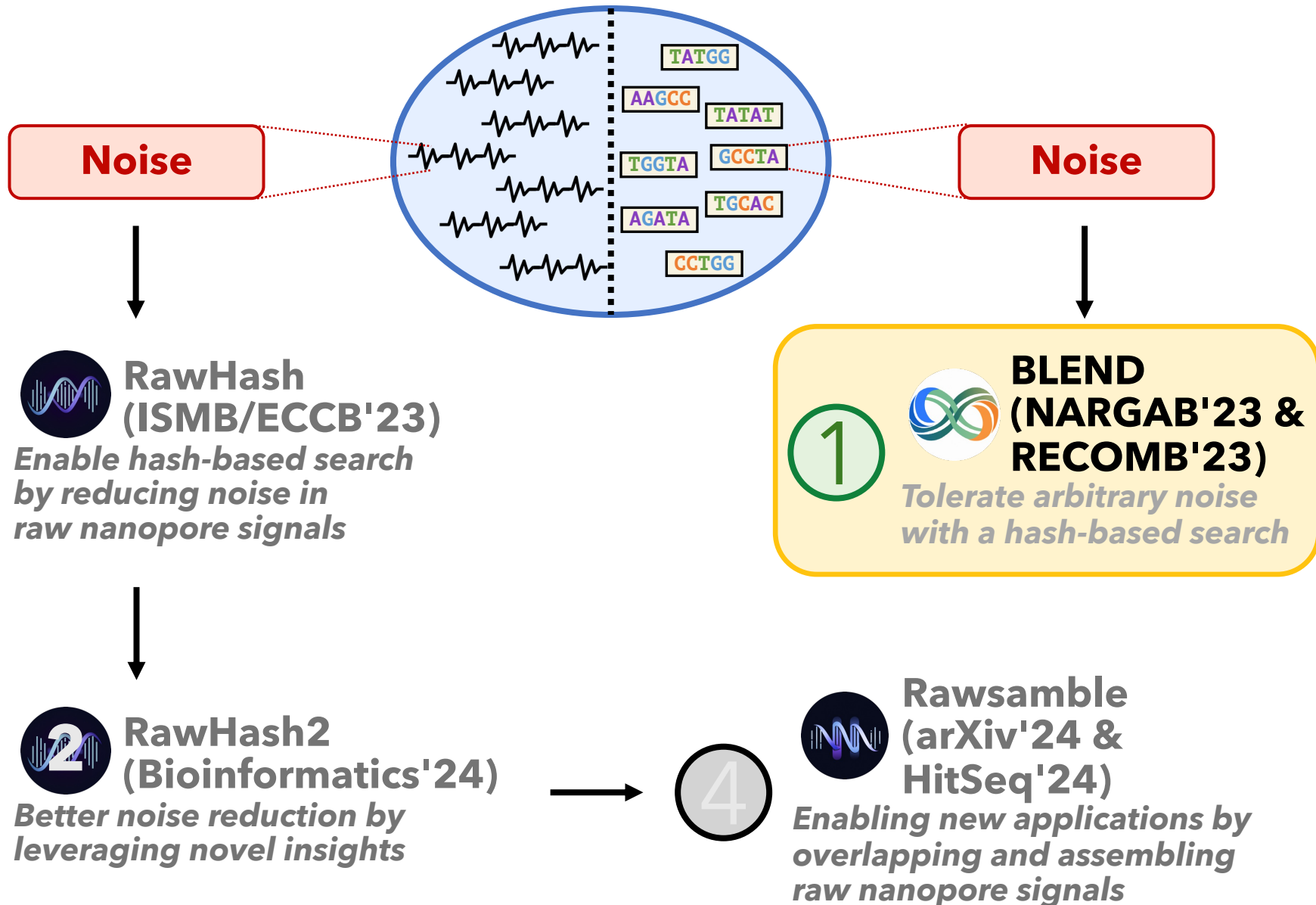


Accurate, scalable, and real-time analysis of sequencing data and enabling **new applications** in genome analysis

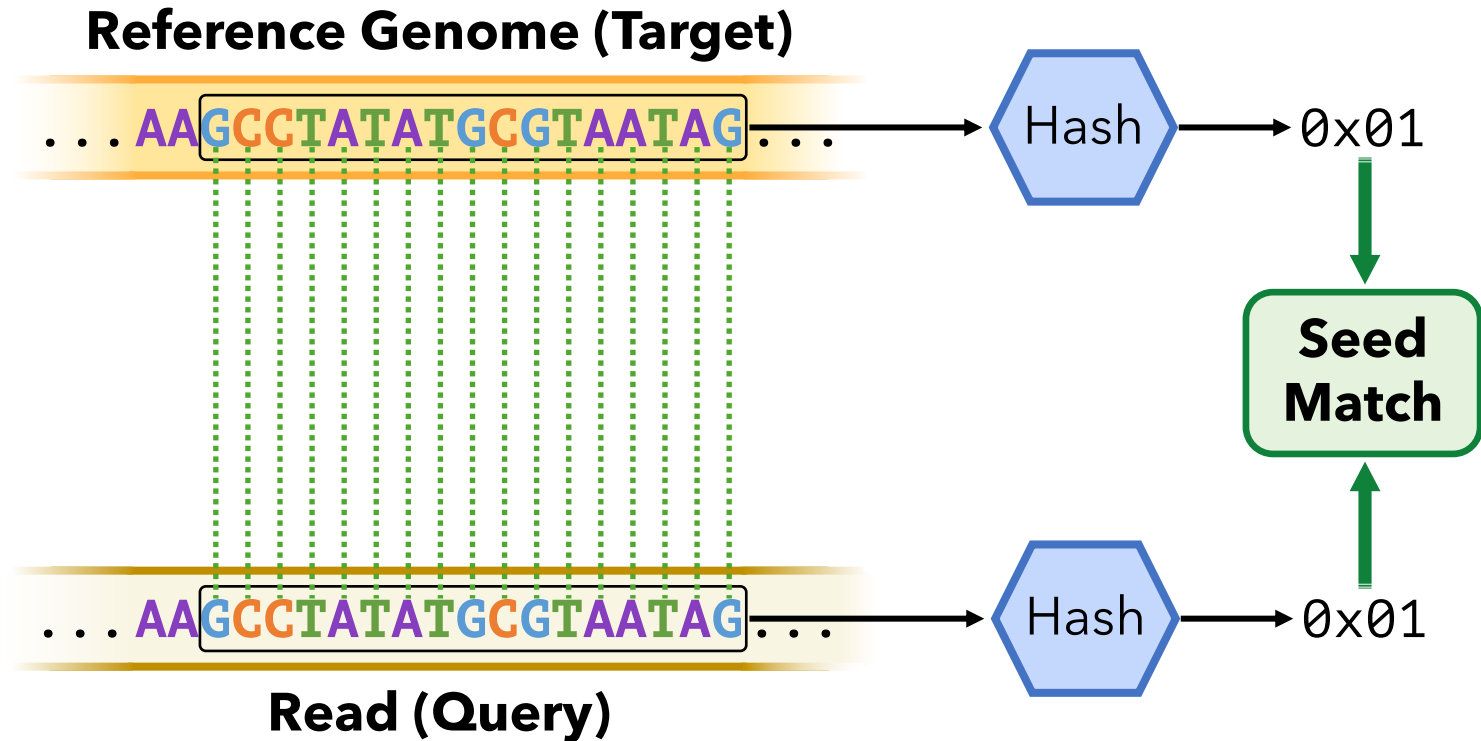
Core Contributions



Core Contributions – BLEND



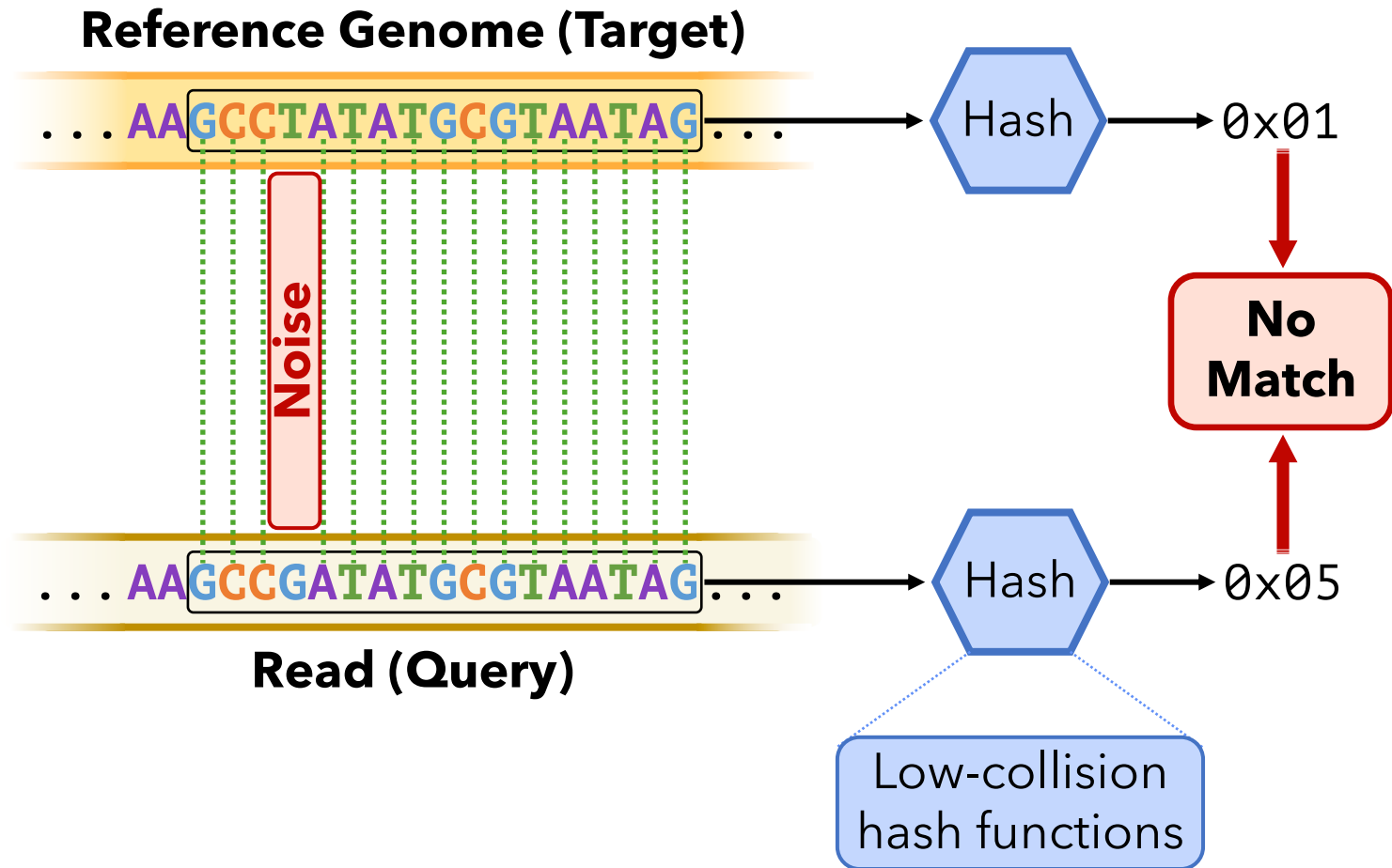
Traditional Hash-Based Seed Matching



✓ Fast and memory-efficient **exact seed matching**

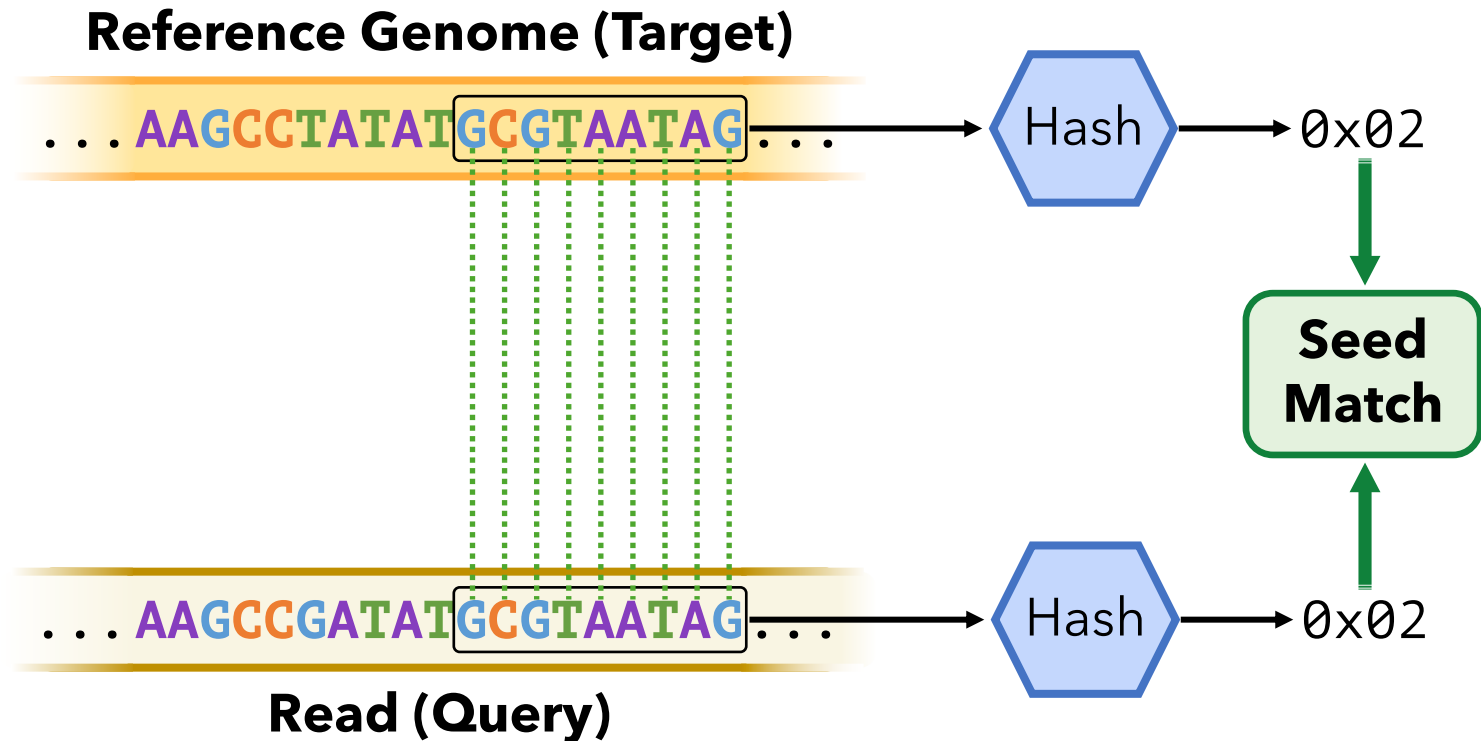
✓ **Dissimilar** seeds are unlikely to match

Limitations of Traditional Hashing



⊗ **Highly similar** seeds are unlikely to match

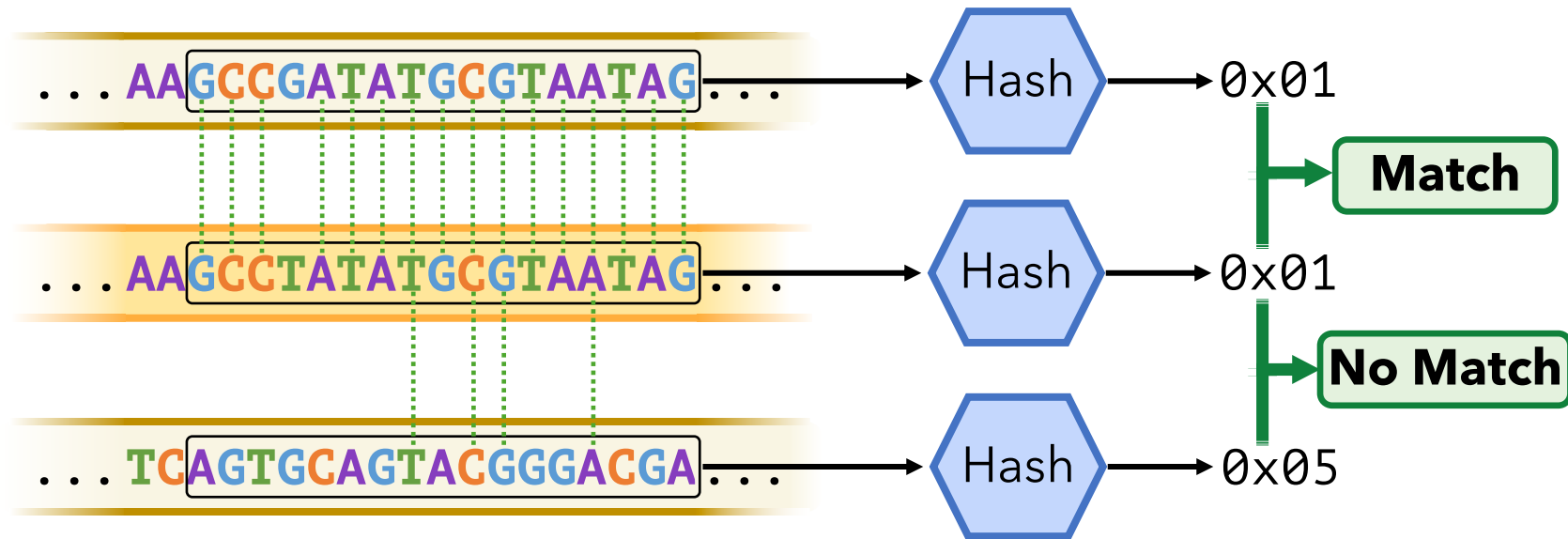
Problems with Low-Collision Hashing



⊗ **Larger number** of **shorter exact seed matches** to analyze

⊗ Leading to **computational overhead and inaccuracy**

Goal – Fuzzy Seed Matching



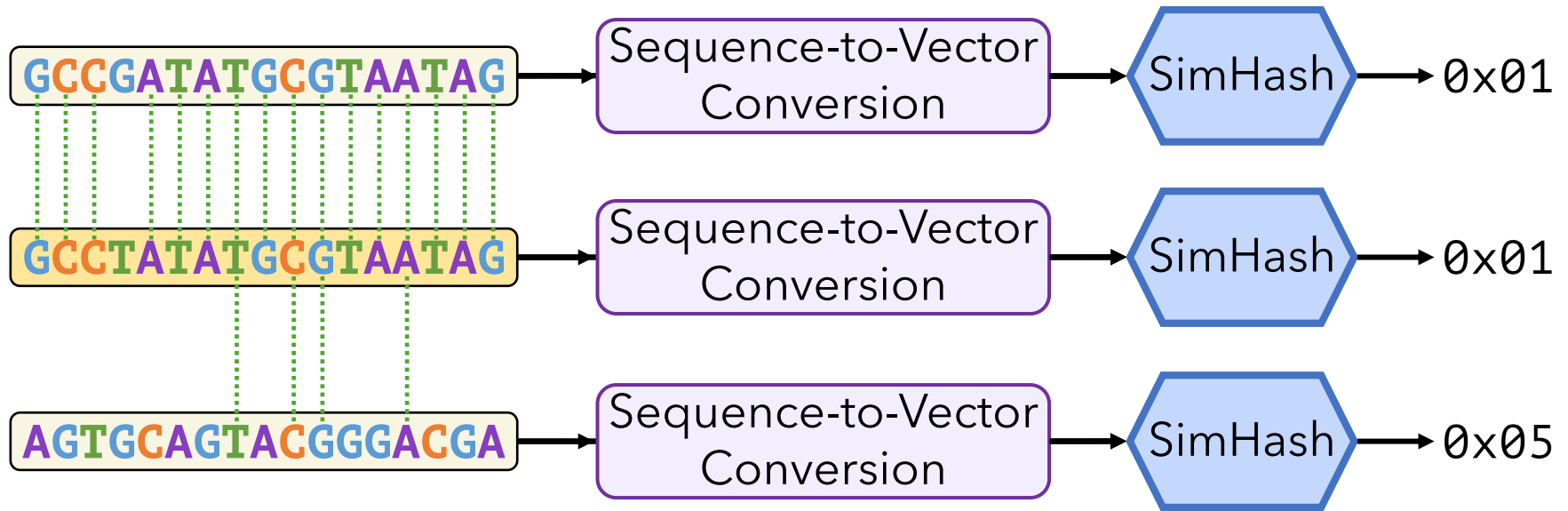
Fast **highly similar** seed matching with **mismatches at any arbitrary positions**



Highly dissimilar seeds are unlikely to match

Fuzzy seed matching

BLEND Key Idea – Integrate SimHash



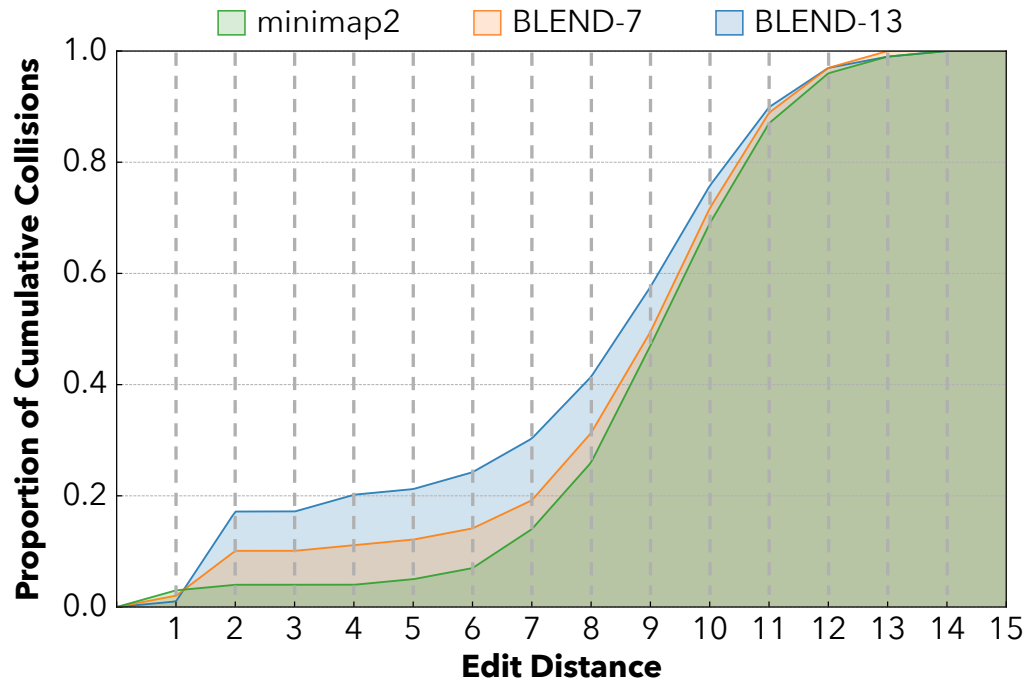
- **Key Idea:** Replace the existing low-collision hash functions with **SimHash to enable fuzzy seed matching**
 - SimHash can generate **the same hash value for similar vectors**
 - **Challenge:** Accurately encoding a seed as a vector (of items)
- BLEND provides **sequence-to-vector conversion strategies** to effectively integrate SimHash in seed matching

Evaluation Methodology

- **Integrated into minimap2** [Li, Bioinformatics'18] to perform end-to-end mapping
- **Real and simulated datasets** from
 - PacBio (HiFi and CLR), ONT, and Illumina reads
 - Human CHM13 and HG002, Fruit fly, Yeast, and bacterial genomes
- Use case 1: **Read overlapping** (all-vs-all overlapping)
 - Evaluated the **accuracy, completeness, and contiguity** of *de novo* assemblies generated from overlaps
- Use case 2: **Read mapping** to a reference genome
 - Mapping accuracy from simulated reads
 - **Structural variant calling**

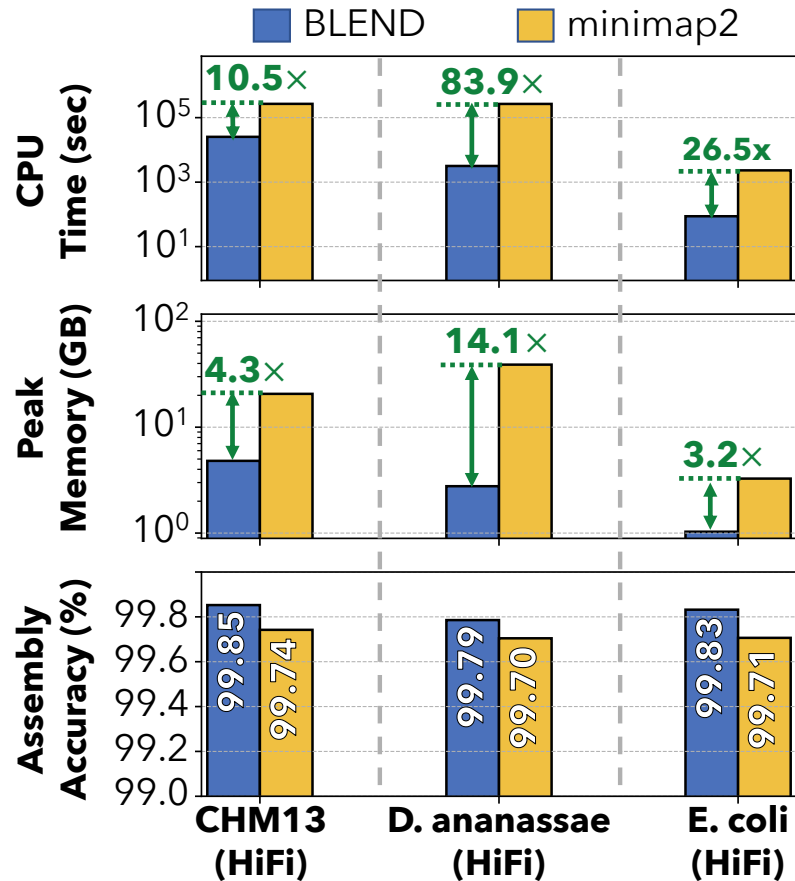
Empirical Analysis on Fuzzy Seed Matching

- We calculate **cumulative proportion of hash collisions** based on the edit distance between seeds (16-mers) with hash collisions
 - **Goal:** Increasing the proportion of hash collisions **at lower edit distances**



BLEND enables fuzzy seed matching by systematically increasing the collision rate for highly similar seeds

Key Results – Overlapping and Assembly



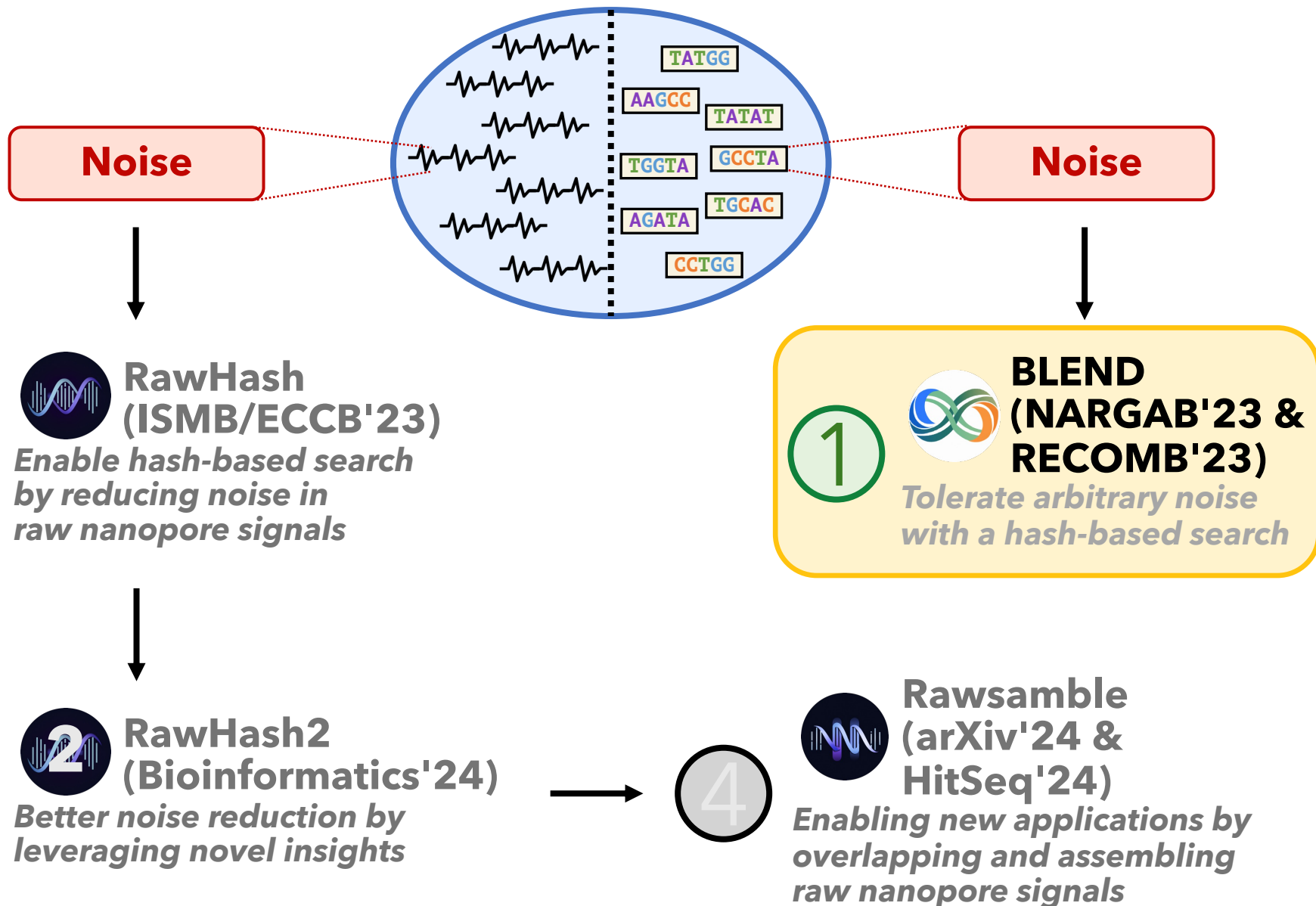
Speedup of up to **83.9x**

Reduced **peak memory** by up to **14.1x**

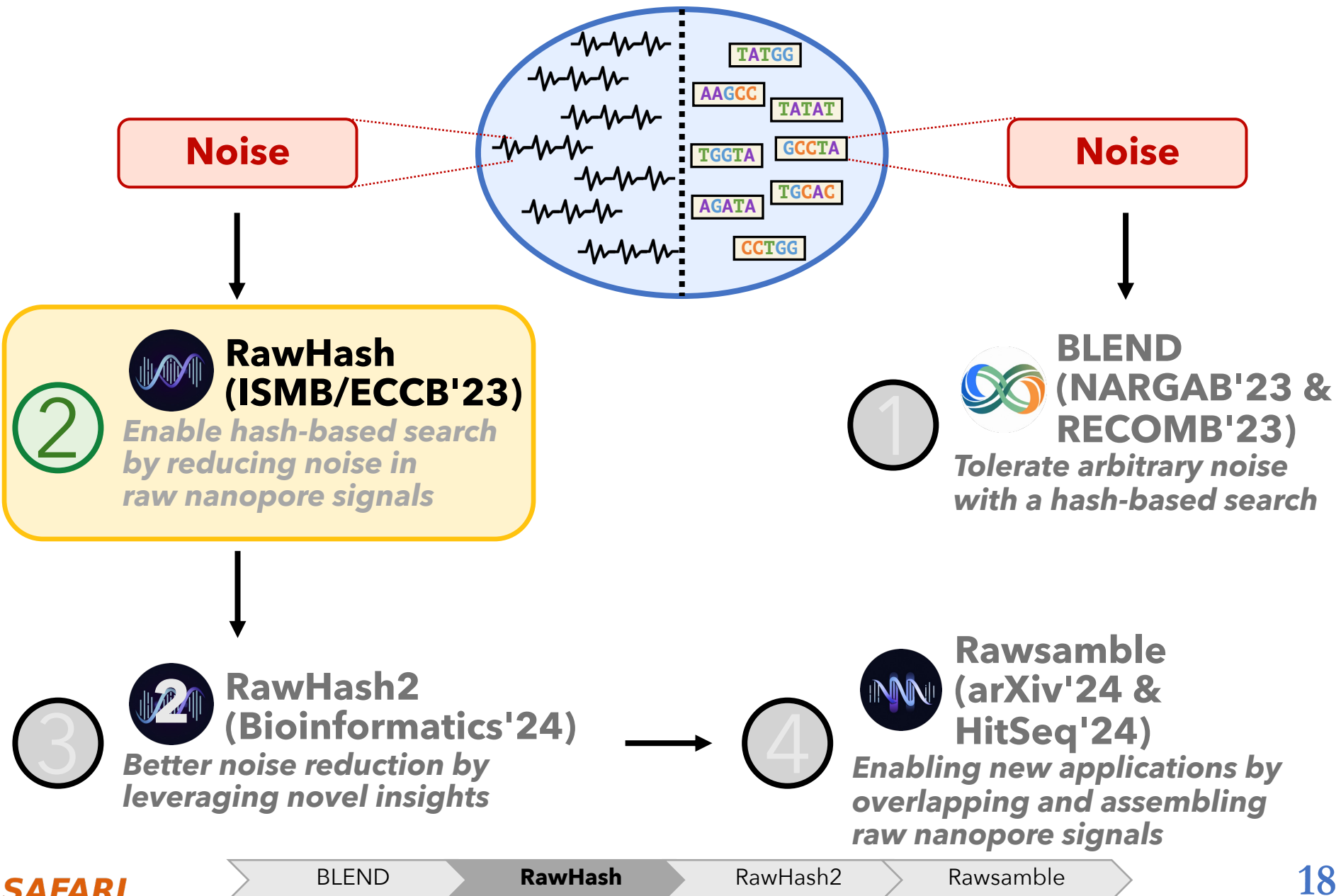
Most accurate *de novo* assemblies

Effectively tolerating noise
improves both **performance** and **accuracy**

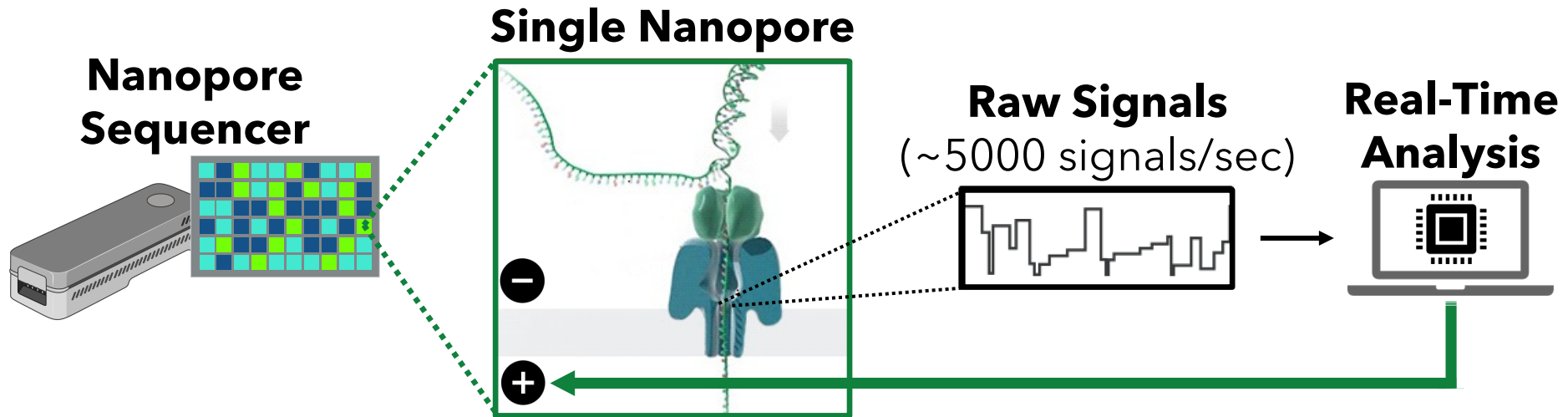
Core Contributions – BLEND



Core Contributions – RawHash



Nanopore Sequencing & Real-Time Analysis



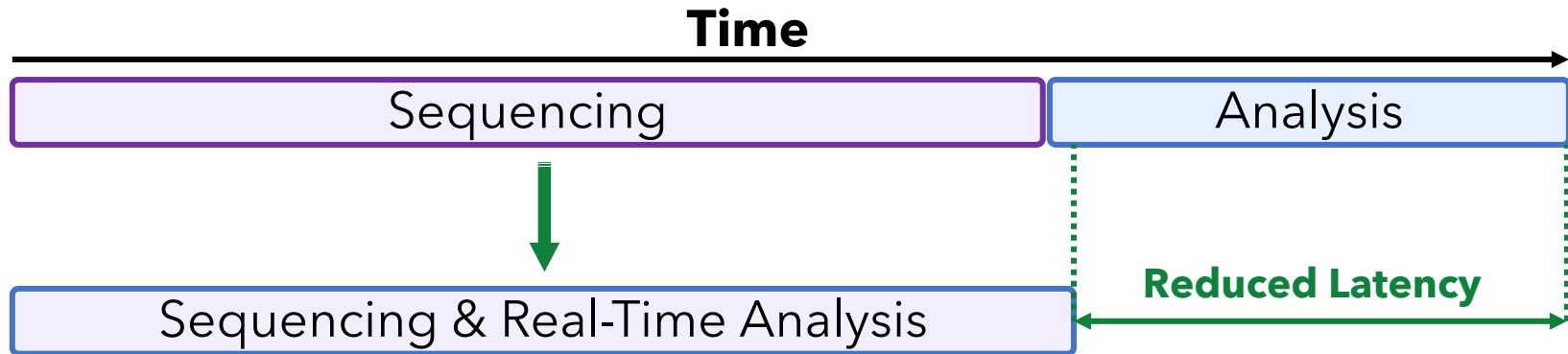
Raw Signals: Ionic current measurements generated at a certain **throughput**

Real-Time Analysis: Analyzing raw signals **instantly as they are generated**

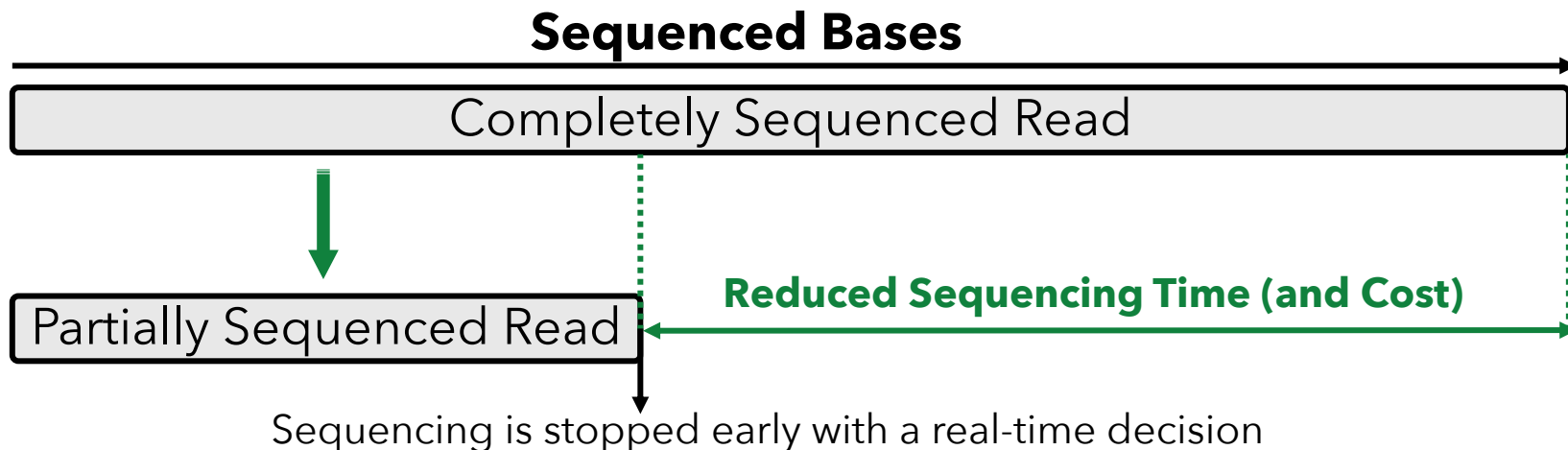
Real-Time Decisions: Stopping sequencing **early** based on real-time analysis

Benefits of Real-Time Analysis

- ✓ **Reducing latency** by overlapping analysis with sequencing



- ✓ **Reducing sequencing time and cost** by stopping sequencing early

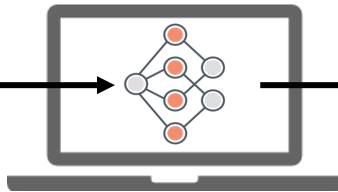


Real-Time Raw Signal Analysis

Raw Signals

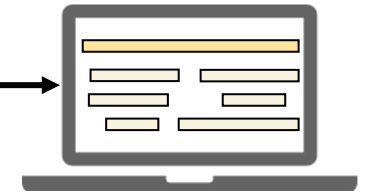


Basecalling



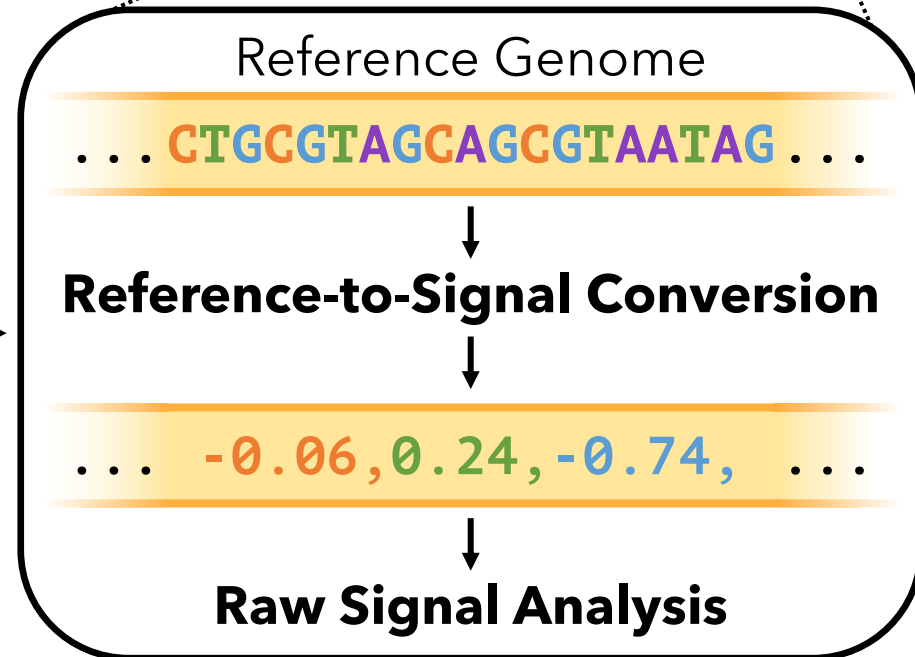
CTGCGT...

Read Mapping



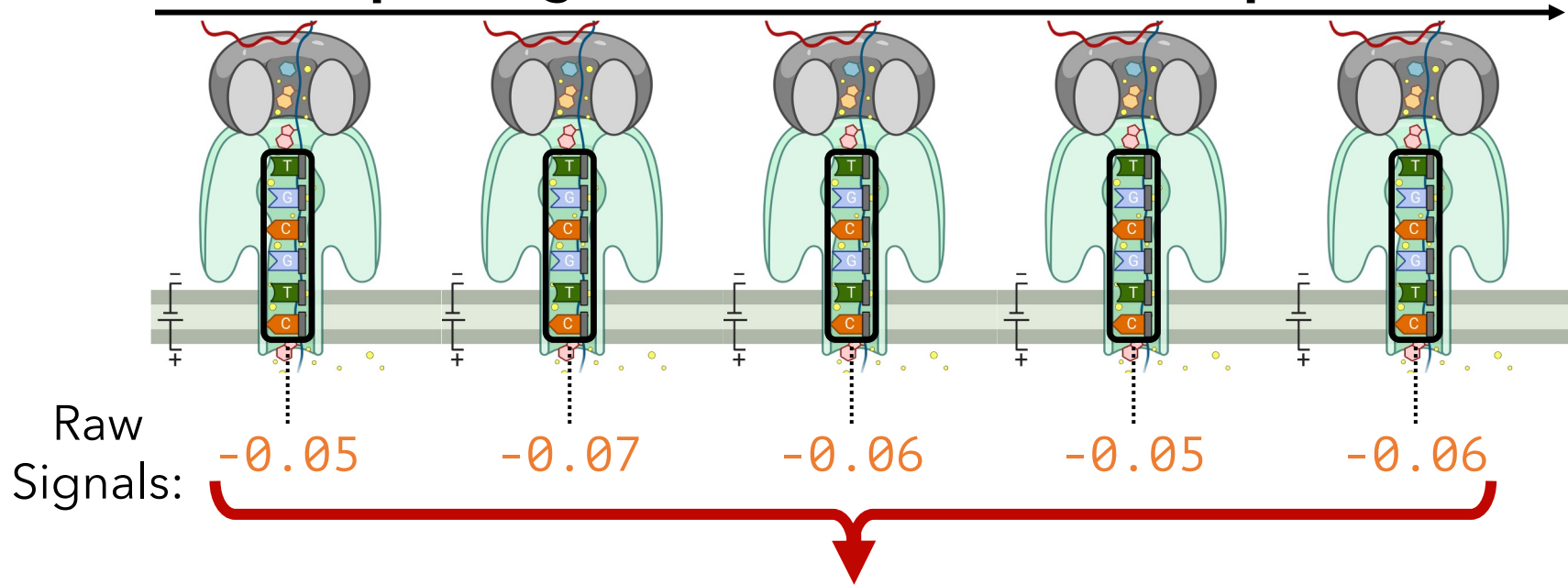
We can **avoid** the **costly basecalling** step by directly analyzing raw signals

- Converting the **reference genome** to **its expected signal values** using a pre-constructed sequence-to-signal conversion model



Noise in Raw Signal Analysis

Sequencing **CTGGCT** with Different Nanopores

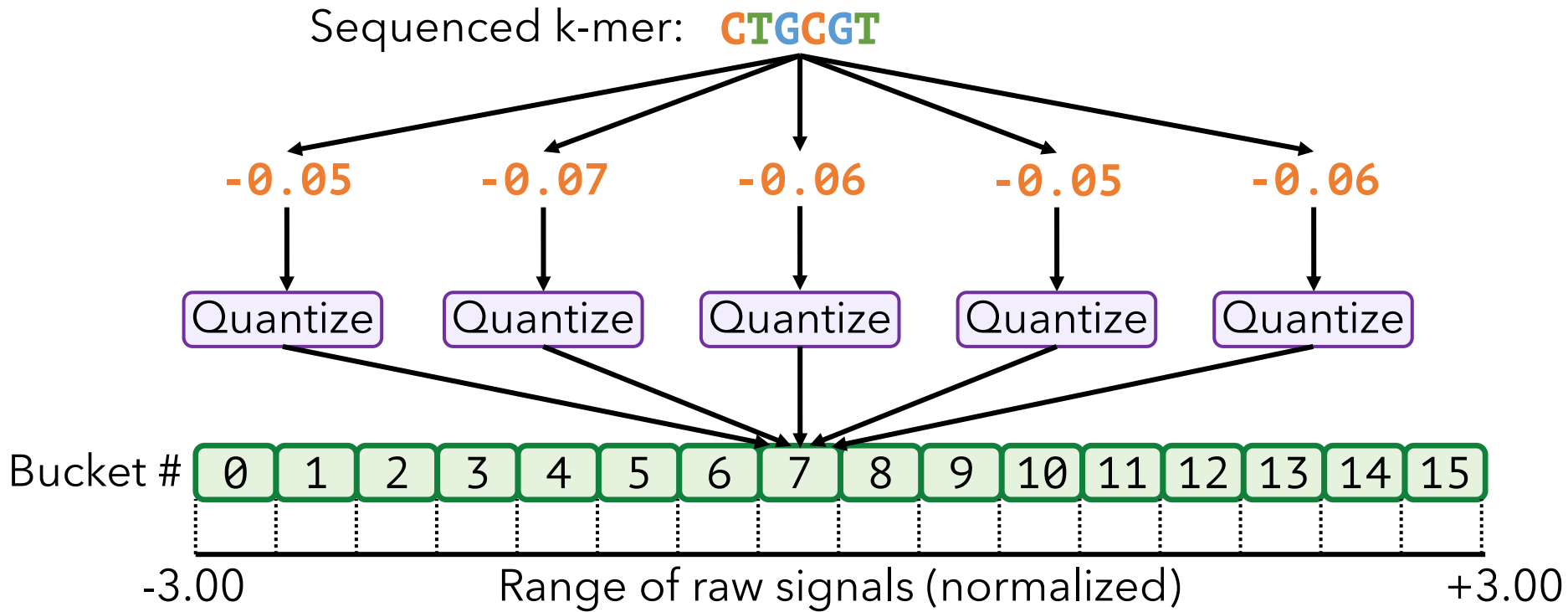


❌ **Noise causes slight differences** in raw signals from **the same k-mer**

🔍 **Challenge: Directly matching raw signals is not feasible**

🔄 **Challenge: A single k-mer is too short** for accurate matching

RawHash Key Idea – Quantization

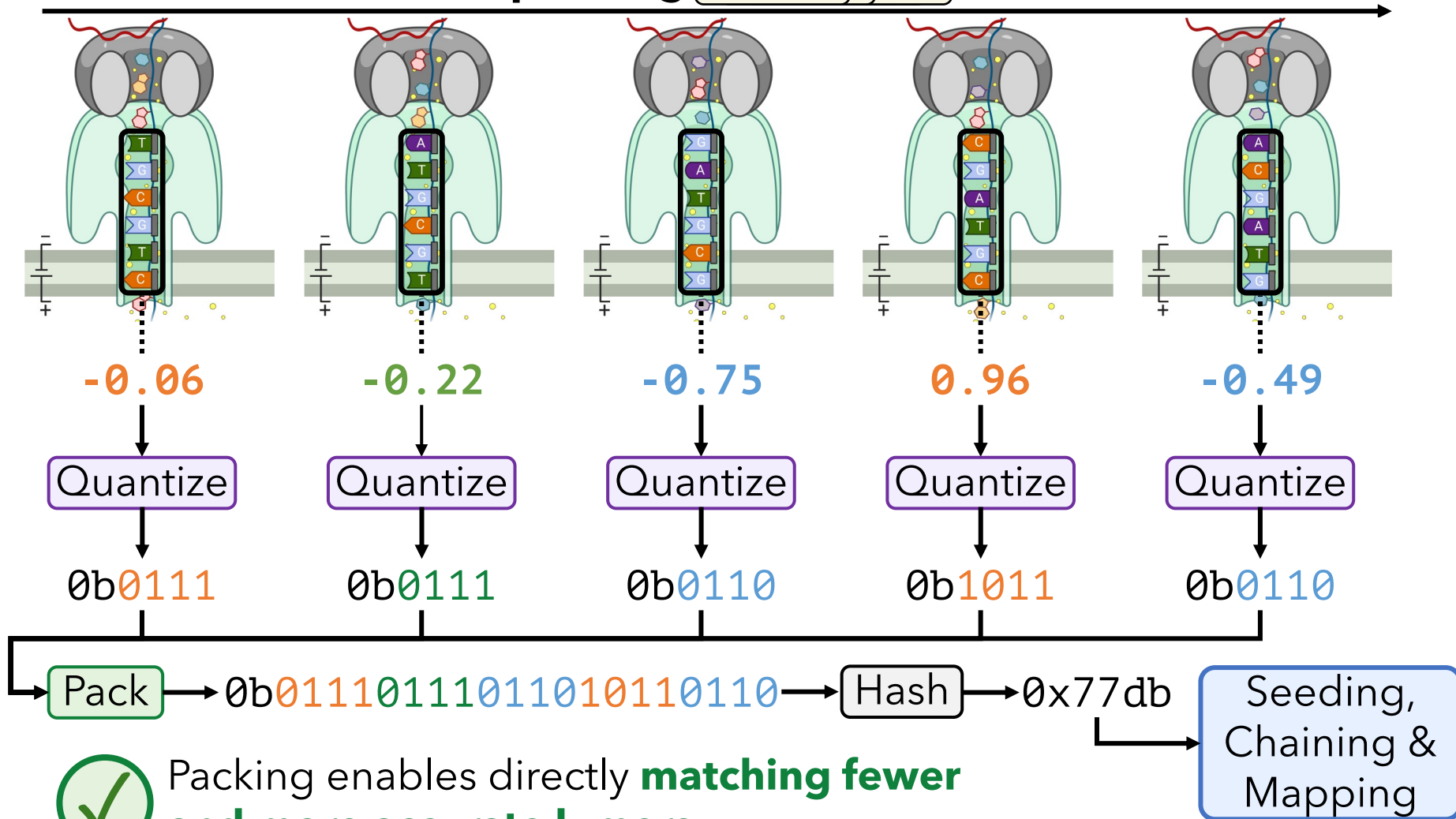


✓ **Reducing noise** by **quantizing** raw signals into equal-width buckets

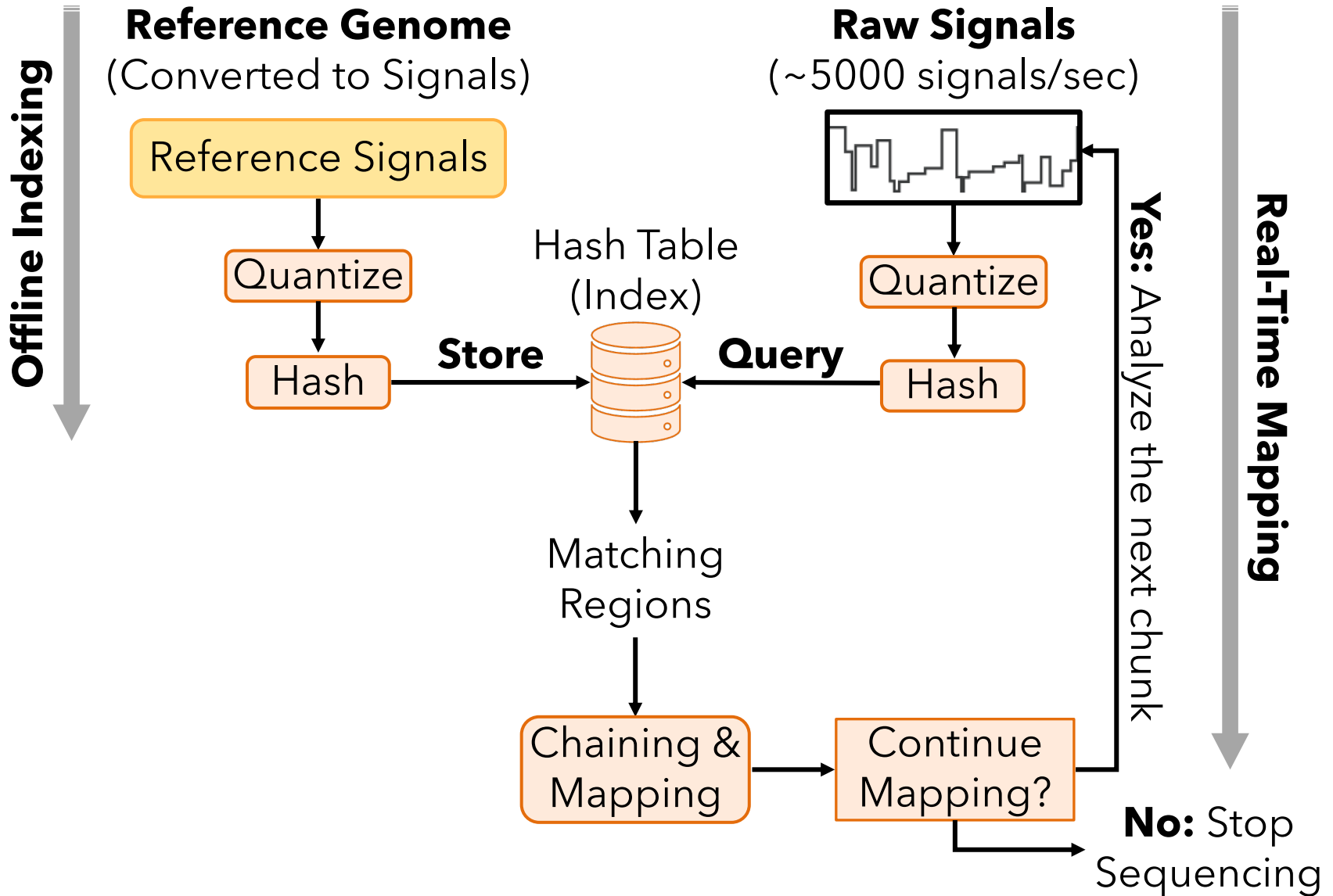
✓ **Enables matching raw signals** by eliminating slight differences

RawHash Key Idea – Hash-based Seeding

Sequencing **CTGCGT****AGCA**



Real-Time Mapping with RawHash



Key Results

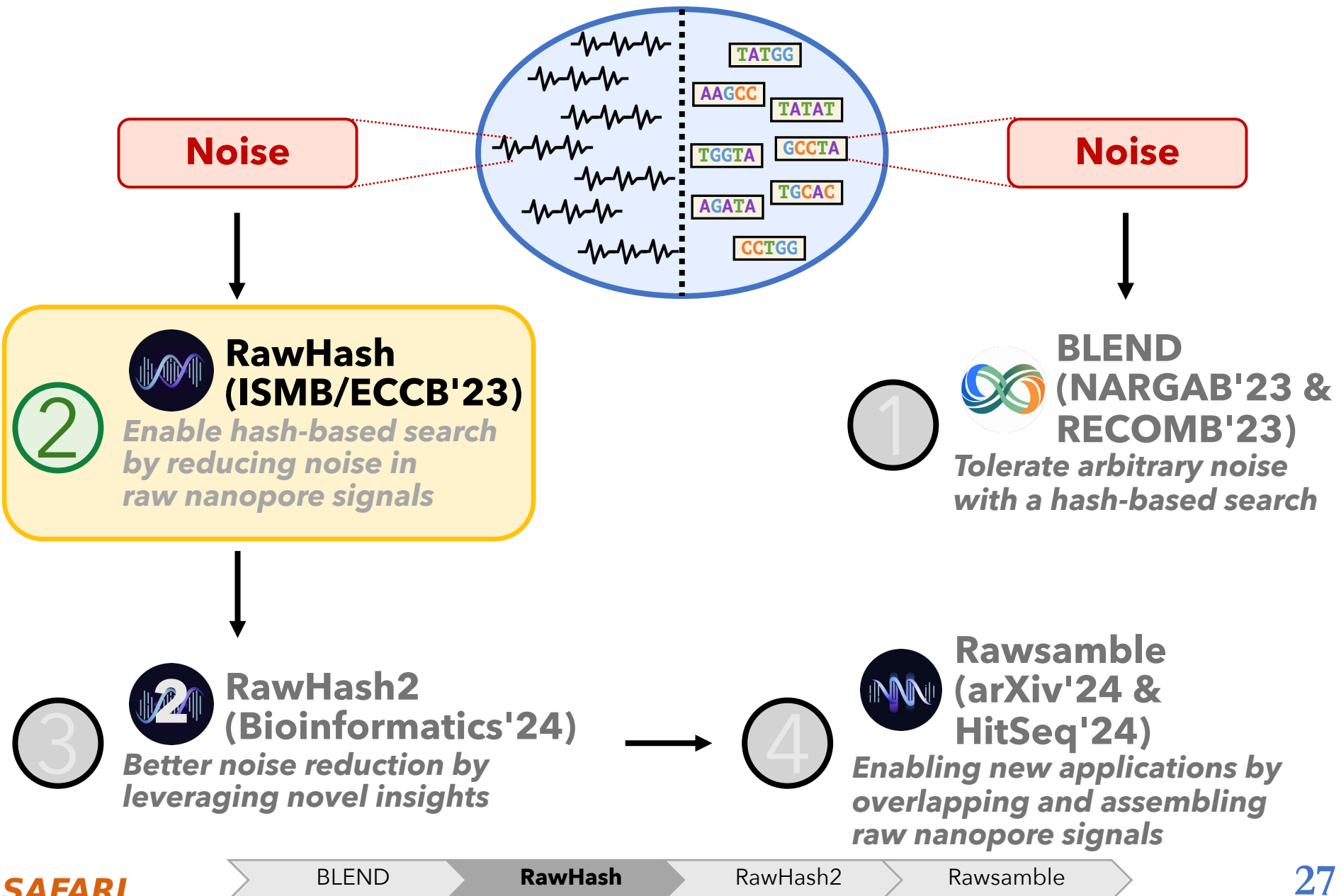
Compared to the state-of-the-art raw signal analysis tools
UNCALLED [Kovaka+'21] and **Sigmap** [Zhang+'21]:

Average speedup of **25.8×** (UNCALLED)
and **3.4×** (Sigmap)

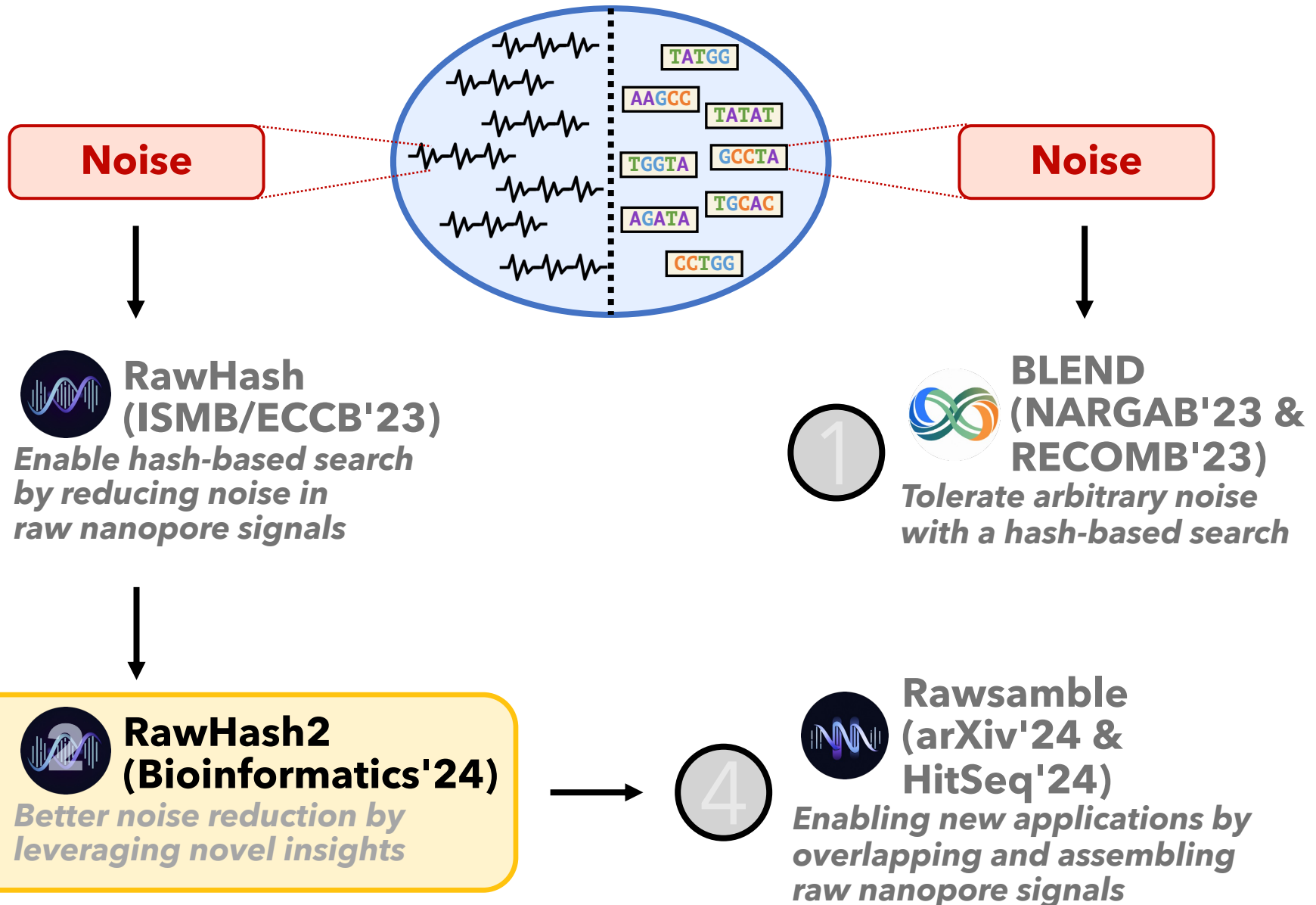
Effective noise reduction
improves both
performance and **accuracy**

Up to ~1.75× better accuracy
for large (human) genomes

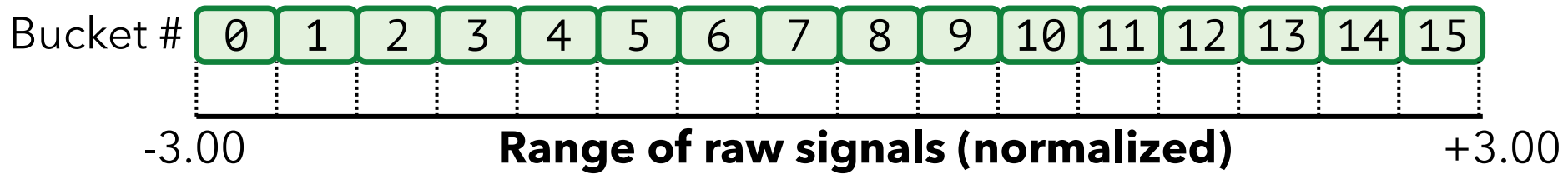
Core Contributions – RawHash



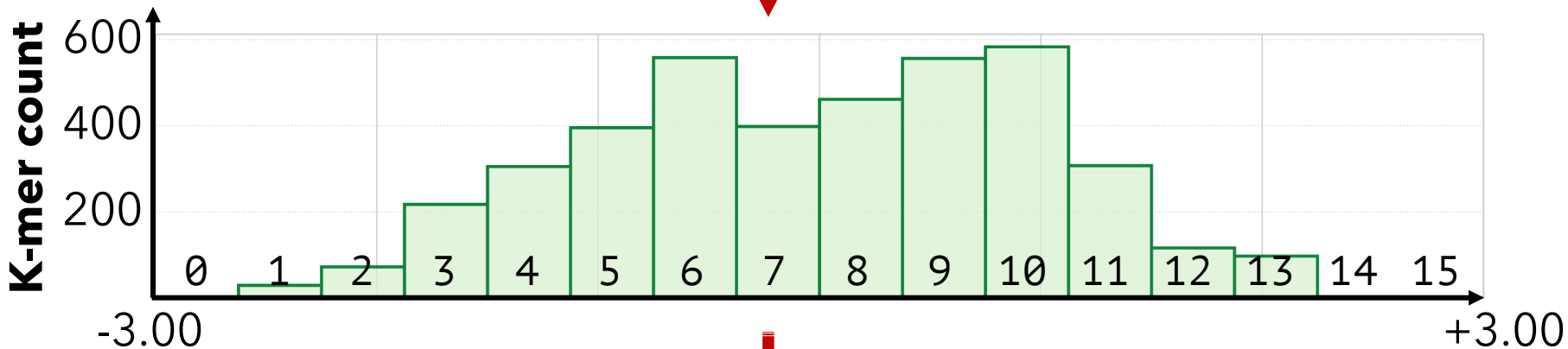
Core Contributions – RawHash2



Better Understanding of Noise



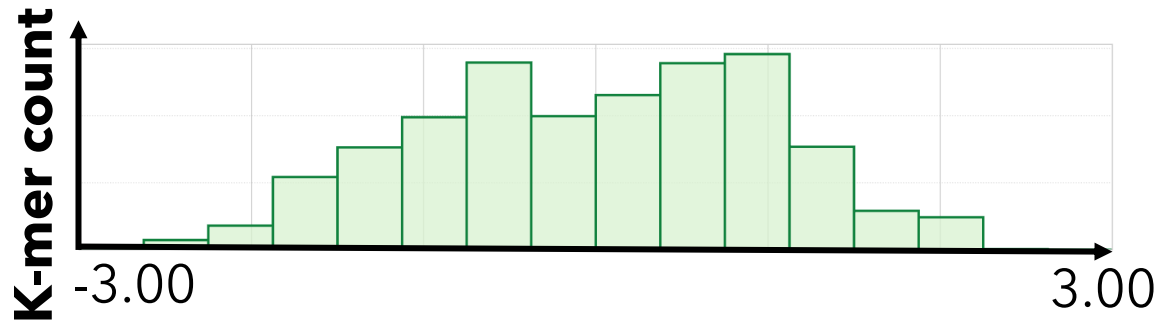
✗ Equal-width buckets leads to **unbalanced loading**



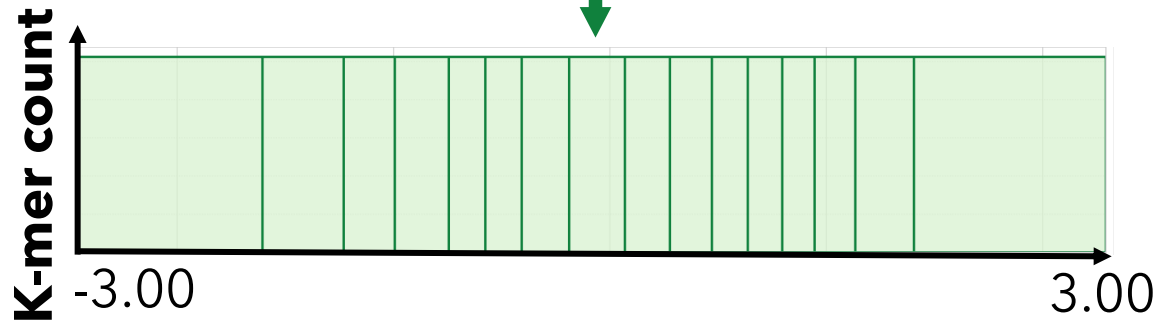
✗ More **false hash matches** due to reduced uniqueness

Adaptive Quantization

- **Key Idea:** Quantizing raw signals with **non-equal bucket widths to maximize load balancing**



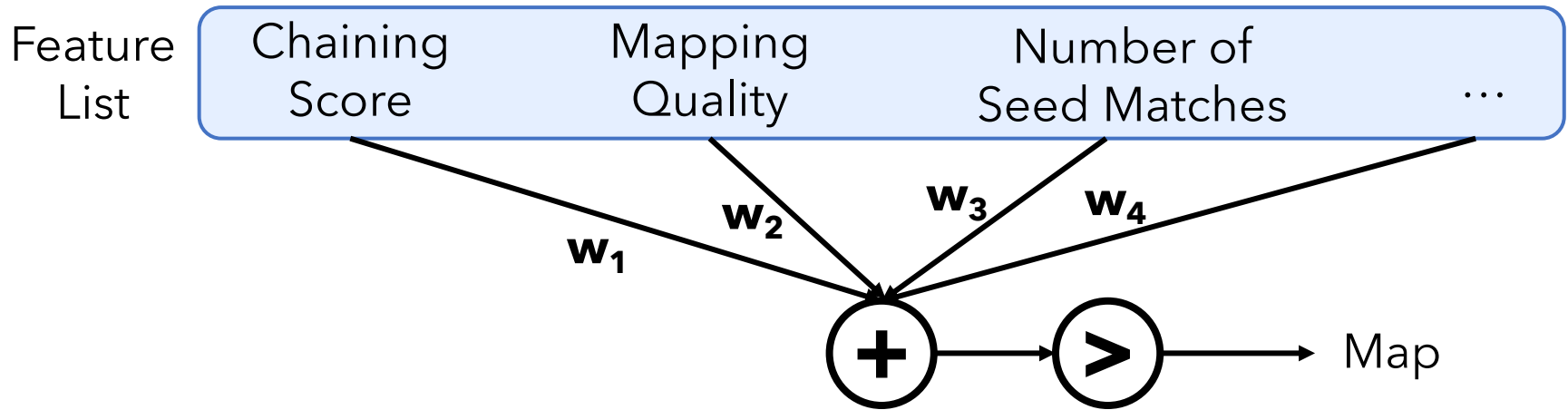
Goal: Ideal Load Balance



Adaptive quantization reduces collisions caused due to skewed raw signal distributions

Other Key Improvements in RawHash2

- **Weighted mapping decisions**

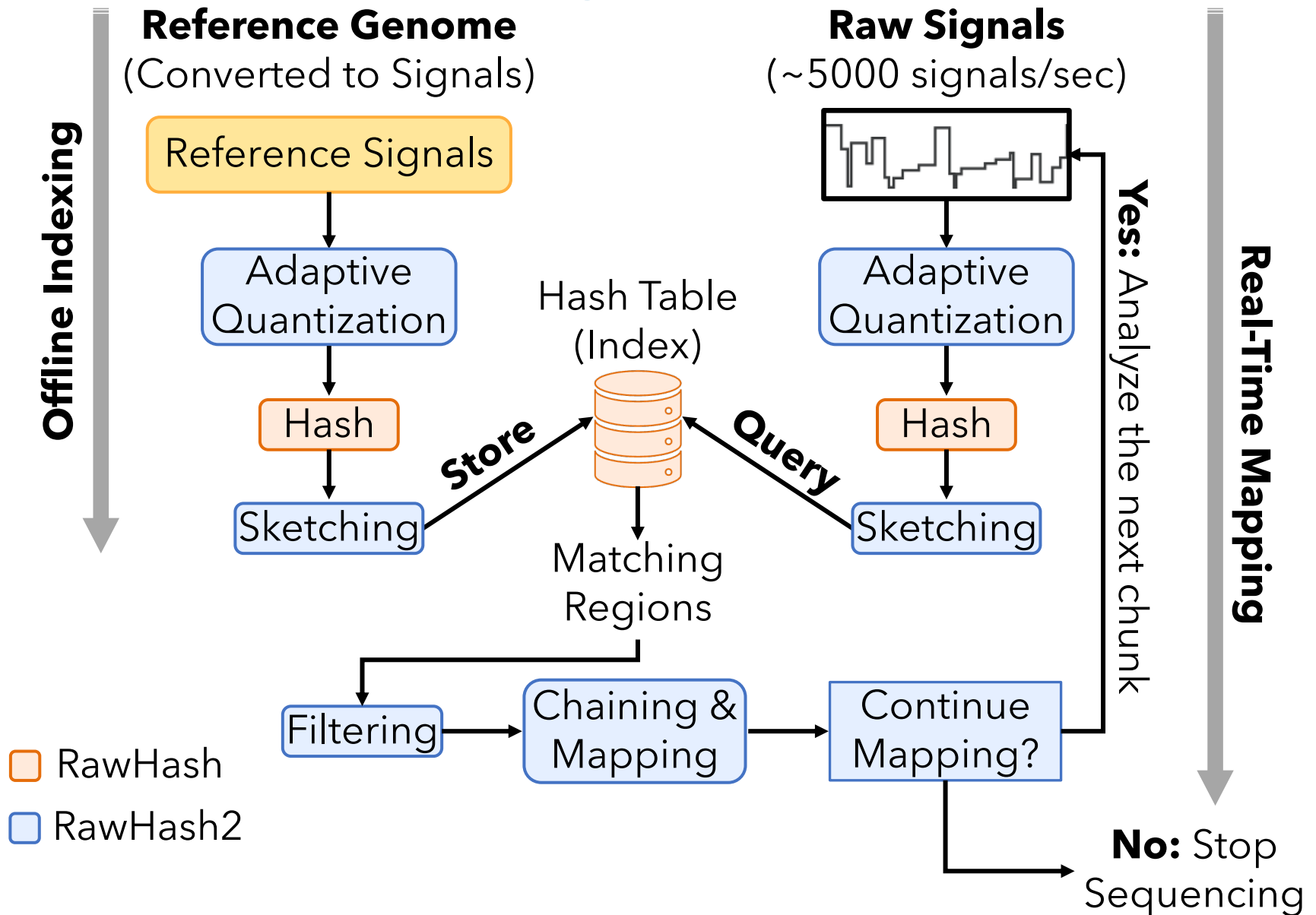


- **Sampling strategies** for reduced storage and computation overheads
 - Frequency filter and minimizer sketching



- **Improved chaining algorithm**
 - More sensitive scoring functions

Real-Time Mapping with RawHash2



Evaluation Methodology

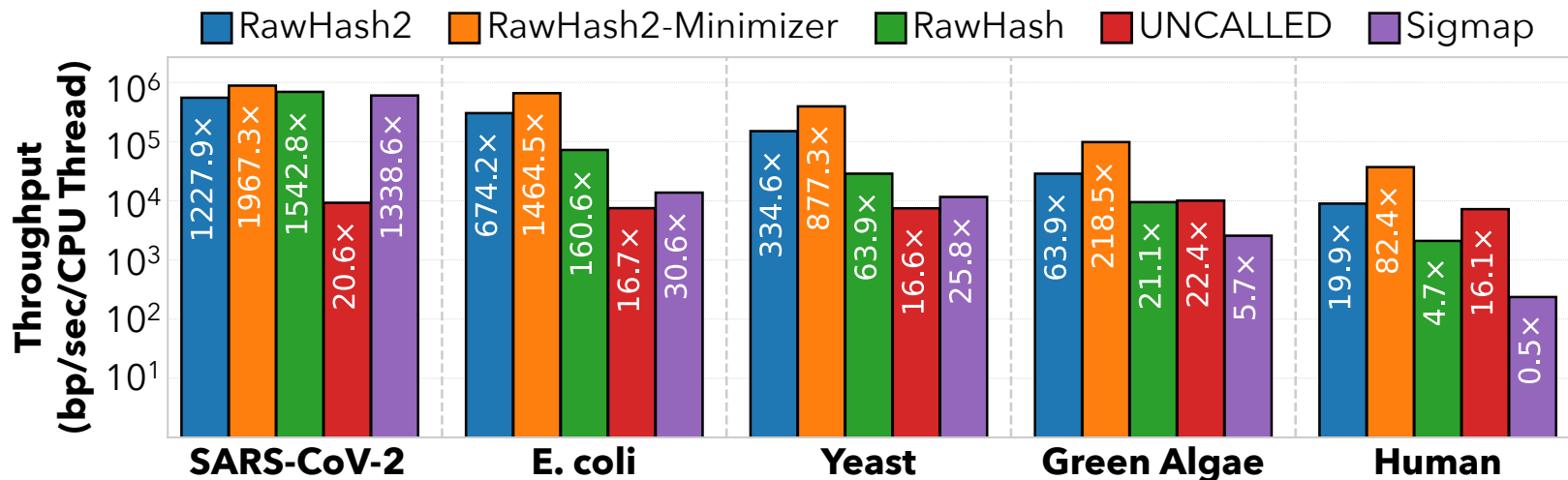
- Two settings for RawHash2:
 - **RawHash2**: All hash values without sampling
 - **RawHash2-Minimizer**: Minimizer sketching

- Compared to **UNCALLED** [Kovaka+, Nat. Biotech.'21], **Sigmap** [Zhang+, ISMB/ECCB'21], and **RawHash** [Firtina+, ISMB/ECCB'23]

- **Use cases** for real-time genome analysis:
 1. Read mapping
 2. Relative abundance estimation
 3. Contamination analysis

Key Results – Throughput

- Data generation throughput of **a single nanopore**: **~450 bp/sec**
 - **A single nanopore device** contains roughly 512 to 2500 nanopores
- Computation throughput of a **single CPU thread**: **bases processed/sec**
 - **Scalability**: The number of nanopores that a single CPU thread can process

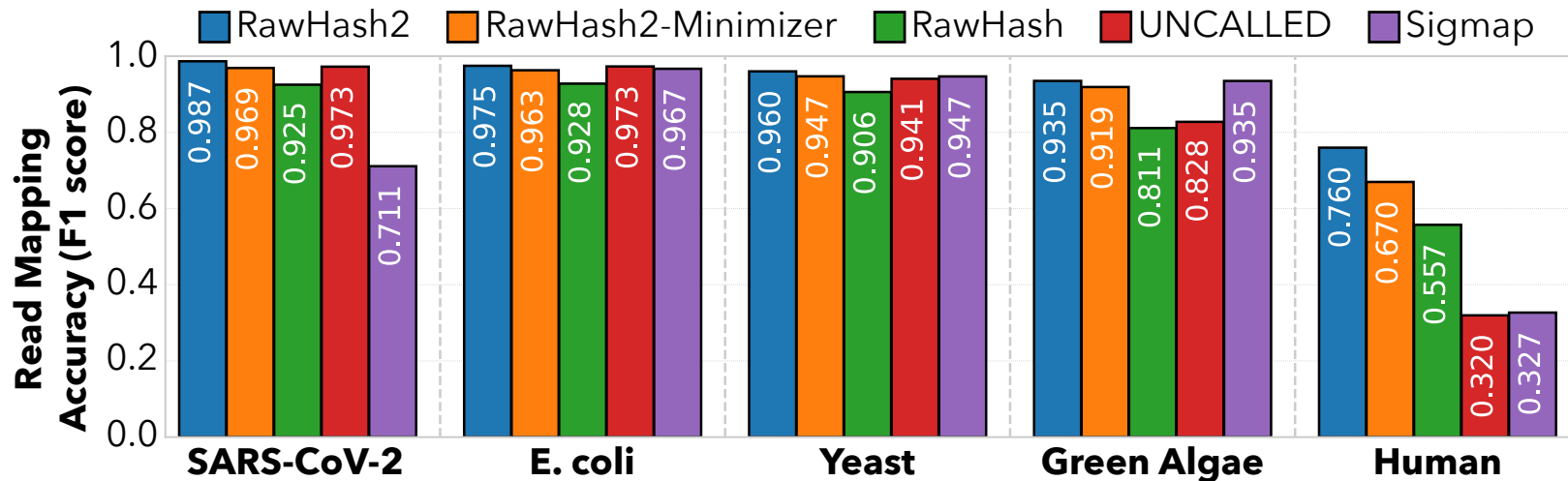


RawHash2 average speedup:
26.5x (UNCALLED), **19.2x** (Sigmap), and **4x** (RawHash)

RawHash2-Minimizer average speedup: 2.5x (RawHash2)

Key Results – Mapping Accuracy

- Accuracy of **mapping positions** (F1 score)
 - Ground truth: Mapping positions of **basecalled sequences** using minimap2



RawHash2 provides the best accuracy in all datasets
(up to ~2.4x for large genomes)

RawHash2-Minimizer provides mapping accuracy comparable to RawHash2

Conclusion – RawHash2

RawHash2 average speedup:
26.5× (UNCALLED), **19.2×** (Sigmap), and **4×** (RawHash)

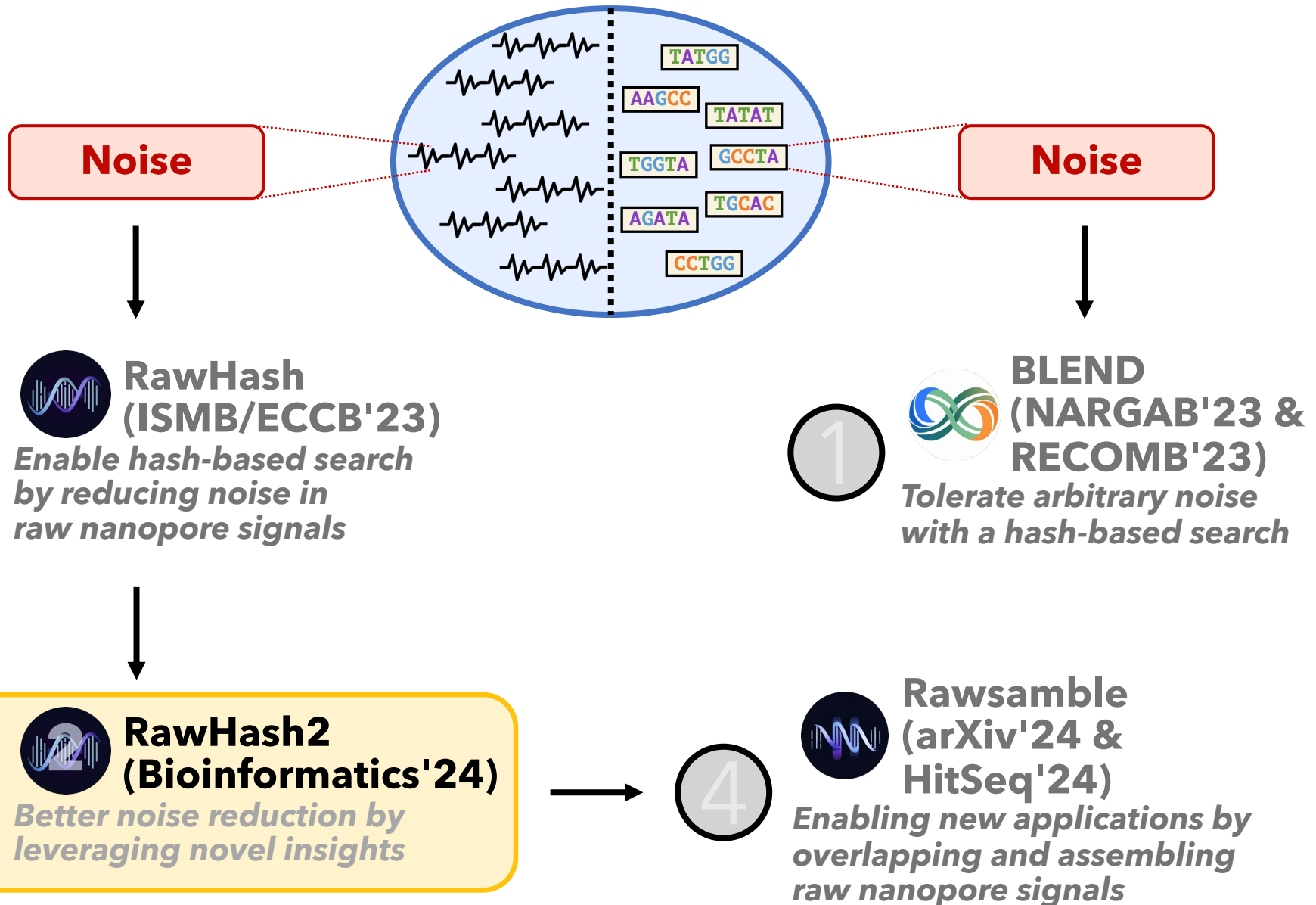
RawHash2-Minimizer average speedup: 2.5× (RawHash2)

Better understanding of noise can be utilized to further improve **performance and accuracy**

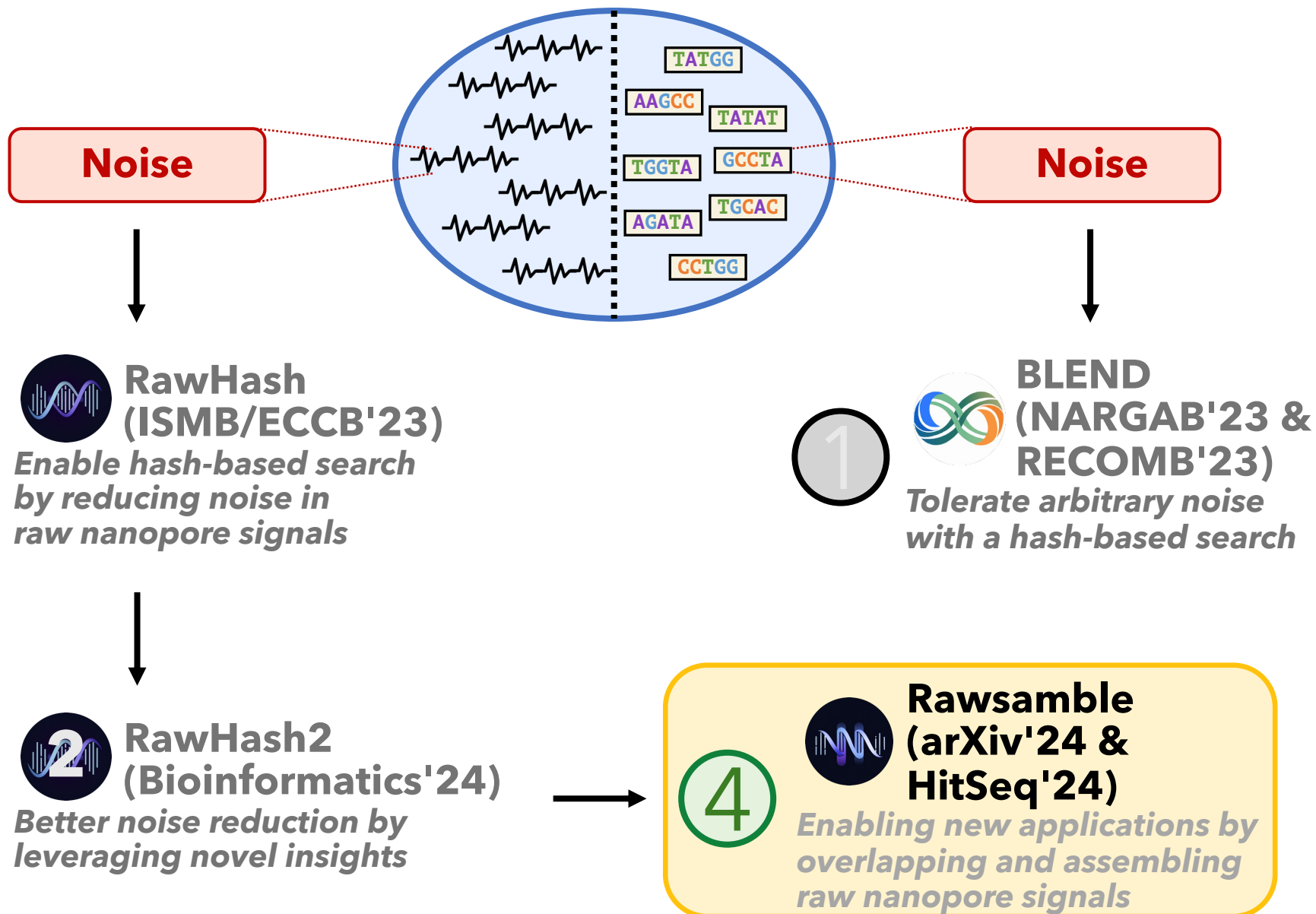
RawHash2 provides the best accuracy in all datasets
(up to ~2.4× for large genomes)

RawHash2-Minimizer provides mapping accuracy **comparable to RawHash2**

Core Contributions – RawHash2



Core Contributions – Rawsambl

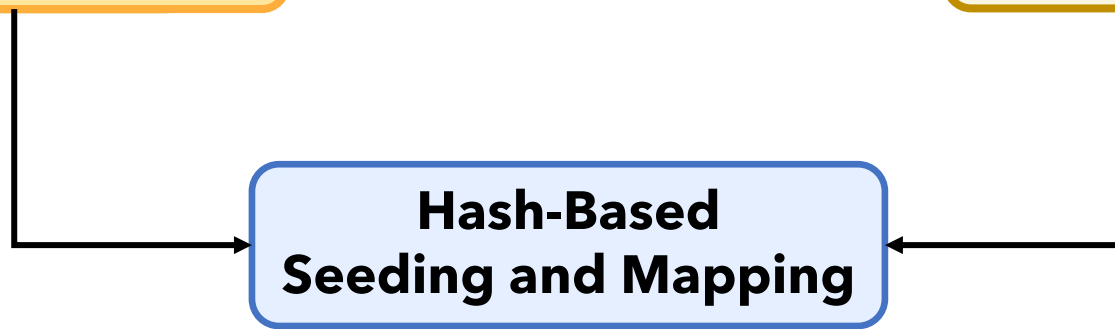


Beyond Reference Mapping: Overlapping

Reference Genome
(Converted to Signals)

Reference Signals

Raw Nanopore Signals



Challenge: Reference genome is not always available

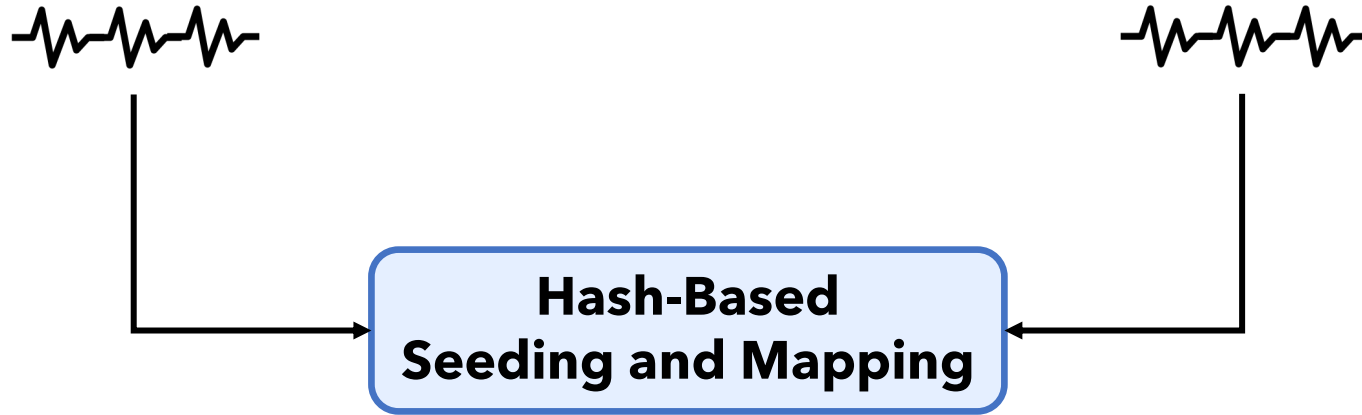


Assembly: Constructing genome from **overlapping reads**




Existing solutions cannot find overlapping reads **without basecalling**

Challenges with Overlapping Raw Signals



 **Challenge:** Identifying hash matches **when both signals are noisy**

 **Challenge:** Finding **many** useful overlapping pairs (all-vs-all overlapping)

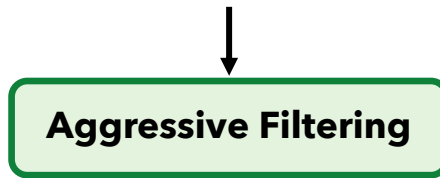
 **Challenge:** Generating **long paths** from useful overlaps

Key Improvements in Rawsamble

- **Aggressively filtering** consecutive and similar signals to **substantially reduce noise** at the cost of **data loss**

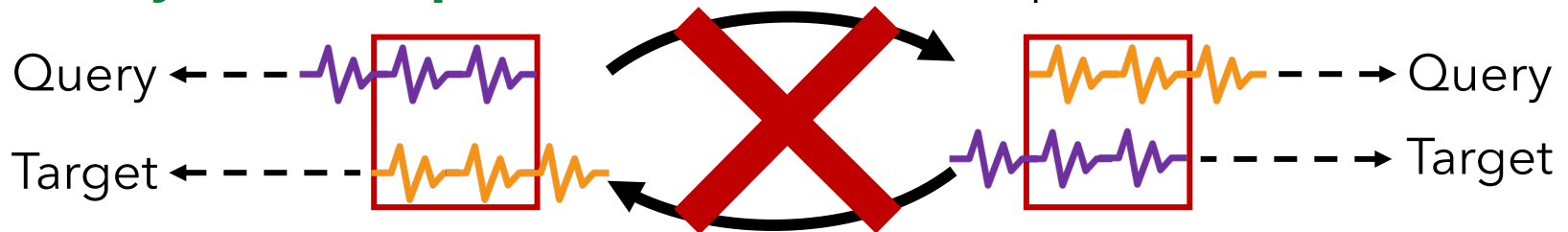
Raw Nanopore Signals

2.21 2.12 2.35 -0.9 -1.05 -0.85 -0.89 -1.01 1.15 1.25 1.20



2.21 2.12 2.35 -0.9 -1.05 -0.85 -0.89 -1.01 1.15 1.25 1.20

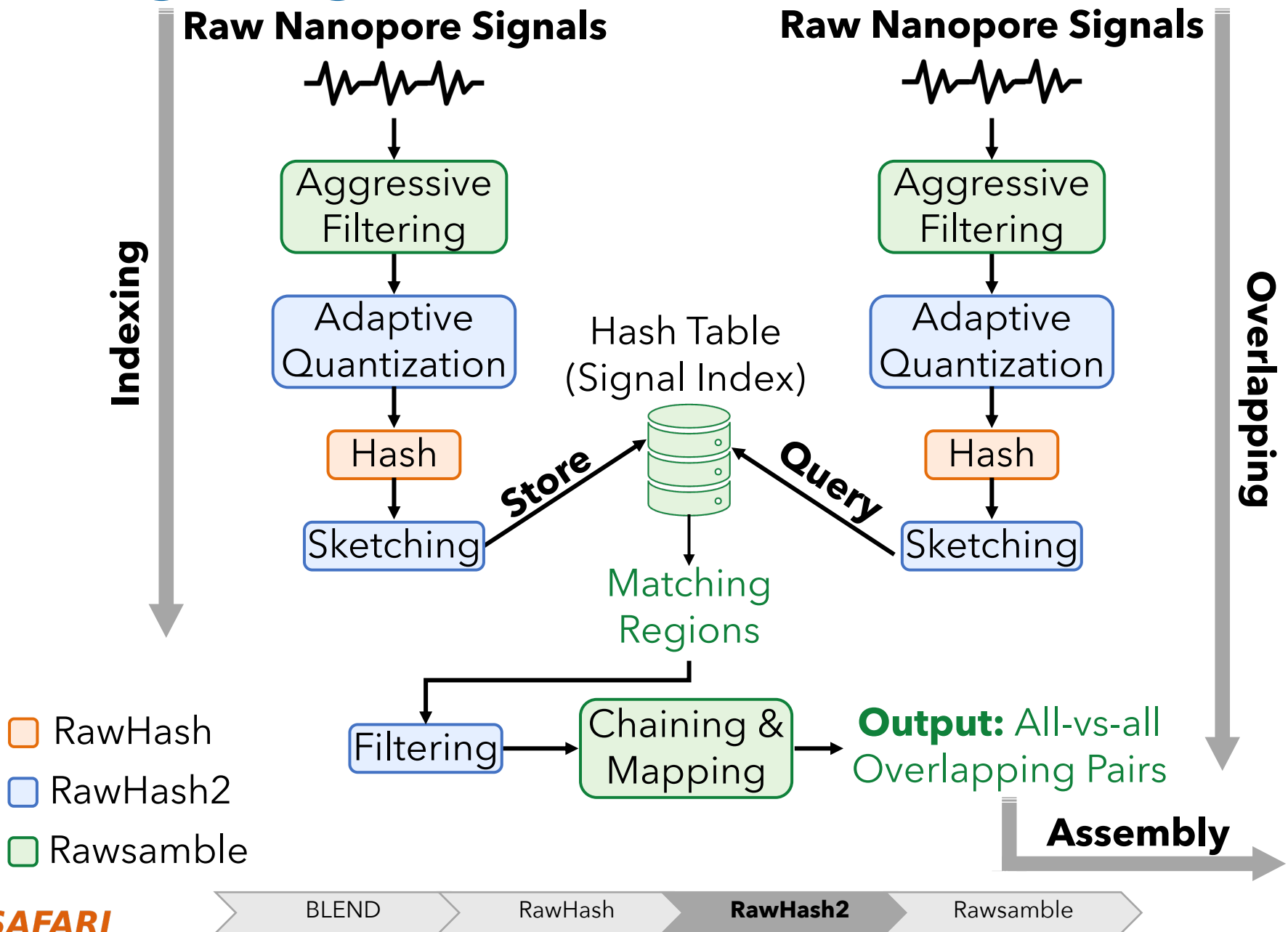
- **Avoid cyclic overlaps** with deterministic comparisons



- Identifying and reporting all **highly accurate chains** to generate **all-vs-all overlapping pairs**

- Generating the hash table index **from raw nanopore signals**

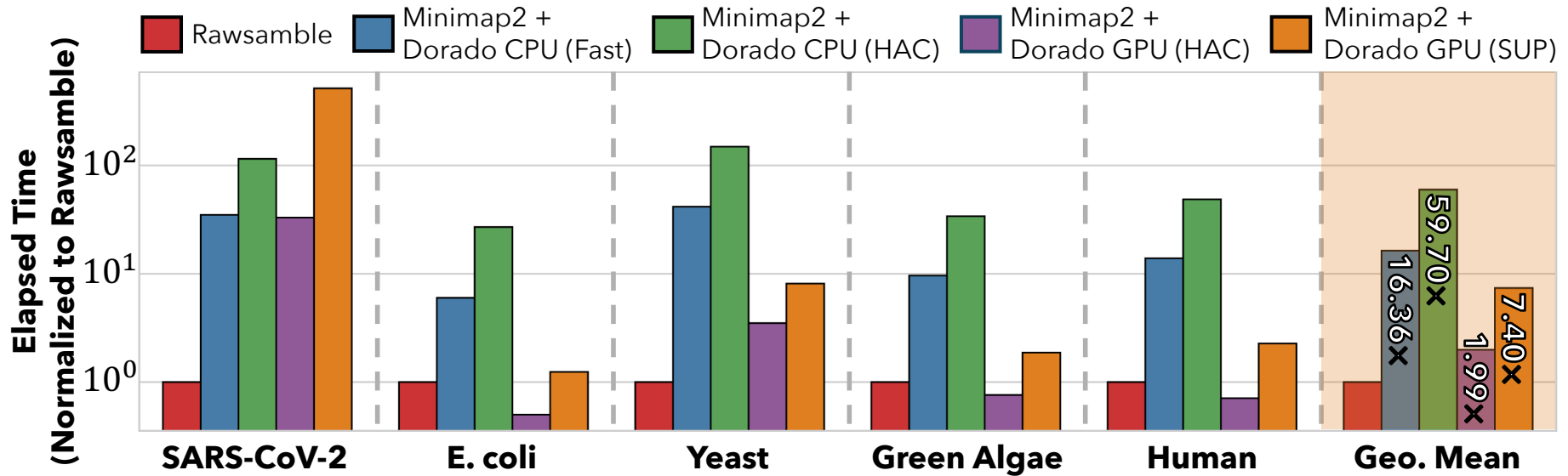
Integrating Rawsamble into RawHash2



Evaluation Methodology

- Rawsample is integrated into **RawHash2** [Firtina+, Bioinformatics'24]
- Compared to the **minimap2** [Li, Bioinformatics'18] overlaps (forward strand)
 - Basecalling with **Dorado**'s various models (using CPUs & GPUs)
- Use case for raw signal overlapping:
 - De novo assembly construction using **miniasm** [Li, Bioinformatics'16]
- **Real datasets** with
 - Various **coverage** (0.6× - 445×) and
 - **Genome lengths** (viral to human genomes)

Key Results – Performance

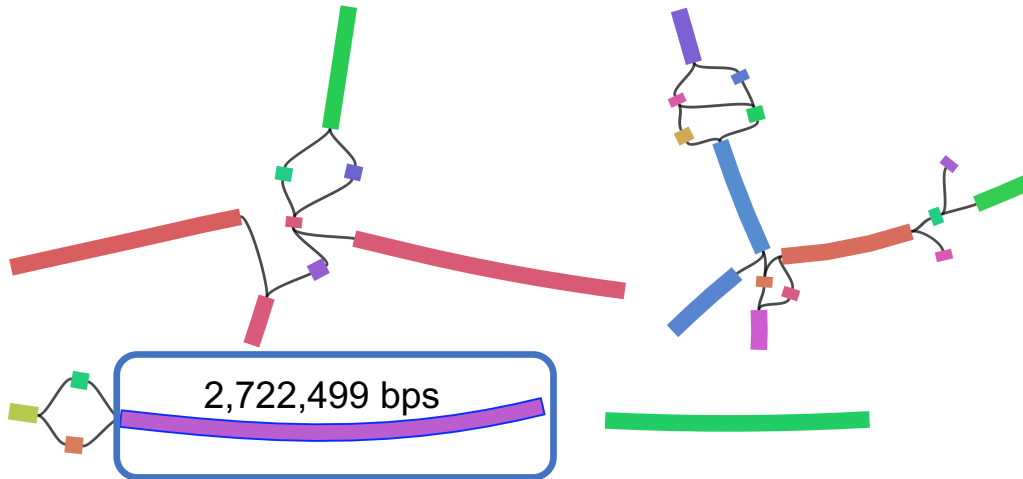


Compared to the fastest CPU model (Fast):
Average speedup of 16.36x

Compared to the conventional GPU model (HAC):
Average speedup of 1.99x

Key Results – *de novo* Assemblies

E. coli Assembly (From the Rawsample Overlaps)

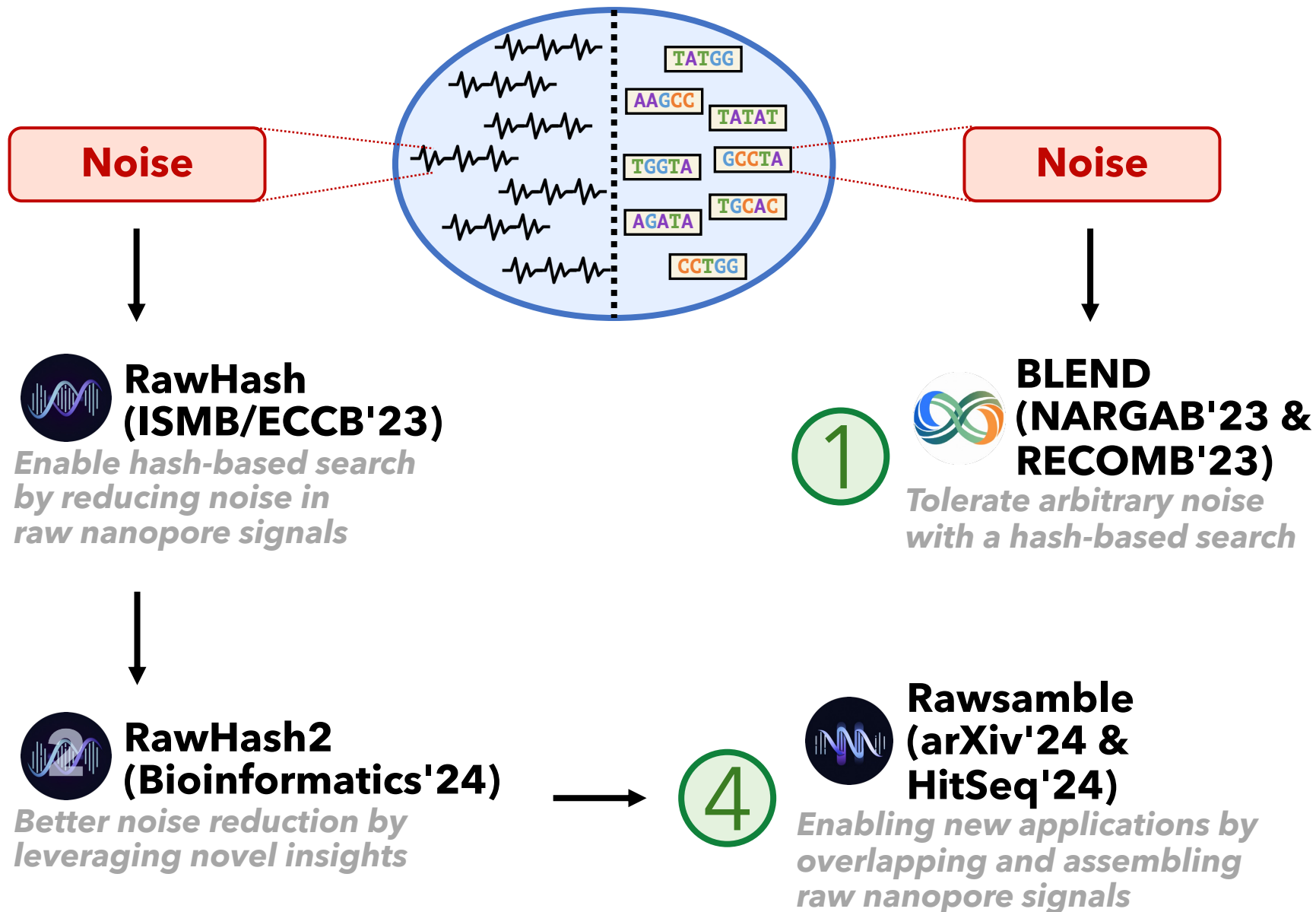


First *de novo* assemblies
ever constructed
from raw signal overlaps
without basecalling

Contigs of **half the E. coli
genome length**
(~2.7 Mbases):
**~400× longer than the
average read length**

**New directions in genome analysis
can be enabled without basecalling**

Core Contributions



Conclusion

We can mitigate **noise** in sequencing data and analysis by

1 Building a **better understanding** of the types of noise, and

2 Developing new algorithms and techniques that can

tolerate and **reduce noise**

Thereby providing

Accurate, scalable, and real-time analysis

Rawsamble of sequencing data and enabling

new applications in genome analysis



RawHash &
RawHash2



Future Research Directions



Guiding Basecalling with Raw Signal Analysis

Pre-basecalling filtering

Utilizing raw signal overlaps with basecallers



Full Genome Analysis without Basecalling

Specialized assemblers for raw signals

Full downstream analysis



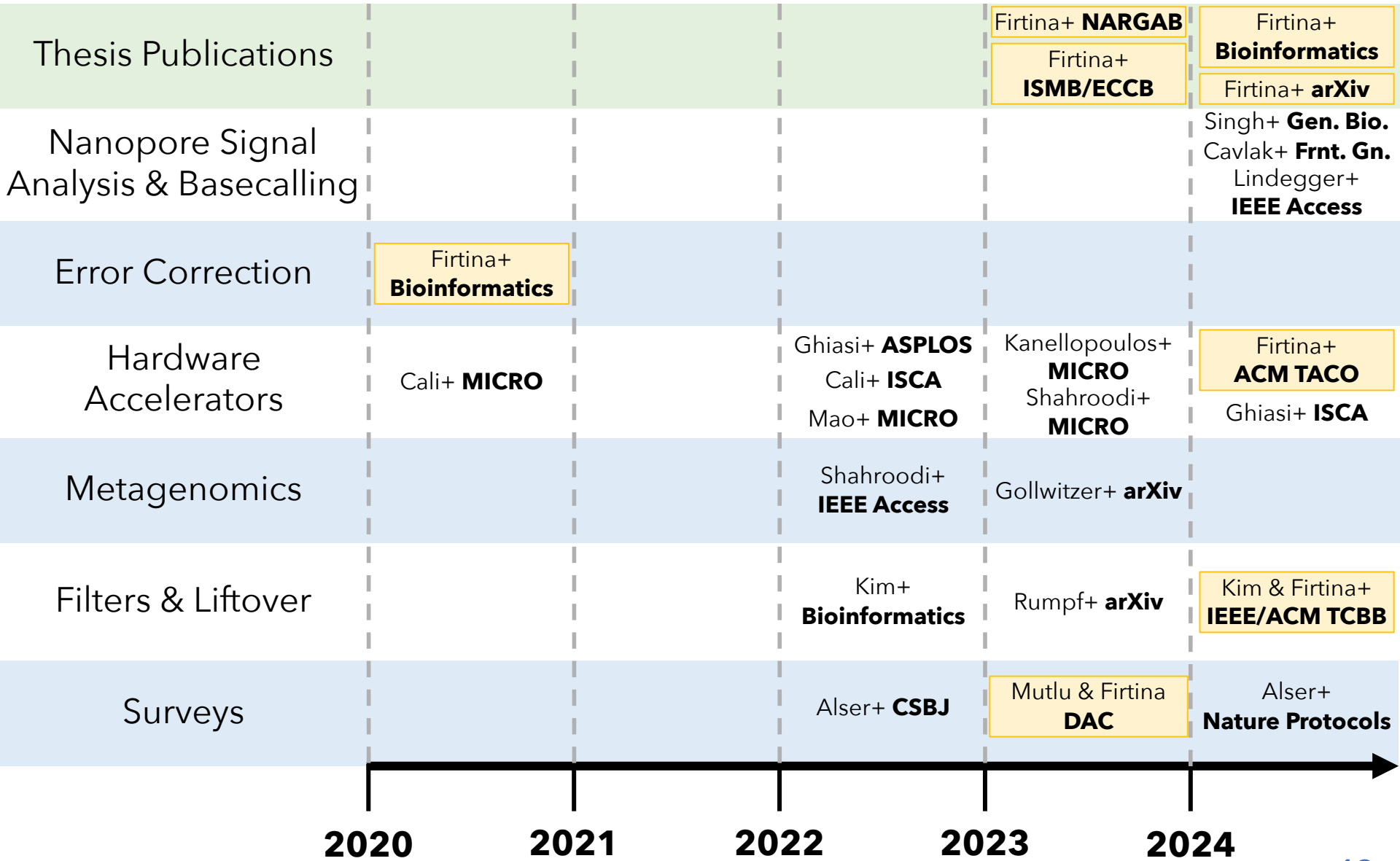
Rethinking Heuristic Techniques for Highly Accurate Reads

Very long seeds with fuzzy seed matching

Reference-free comparisons

My Involvements During my Ph.D.

First/Co-First Author Publications



Acknowledgements

- **Advisor:** Onur Mutlu
- **Committee Members:** Reetuparna Das, Hasindu Gamaarachchi, Benjamin Langmead, and Heng Li
- **Chair:** Janos Vörös

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 - BioPIM, SNSF, Intel, Google, Huawei, Microsoft, VMware, and SRC

- **Colleagues, mentors, collaborators, and friends worldwide:**
 - SAFARI Research Group members
 - Can Alkan & Alkan Lab

- **Family:**
 - **My parents,** Emine and Turan
 - **My wife,** Çiçek

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Sequencing Data Analysis

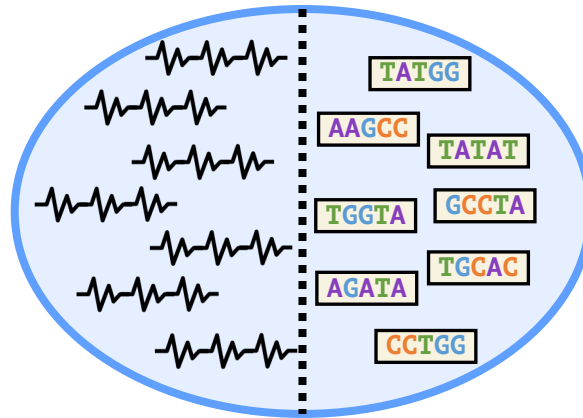
Heuristic Algorithms



Data Structures



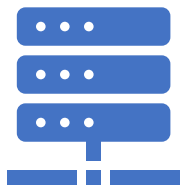
Filters



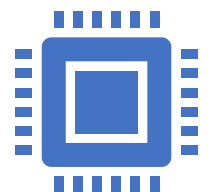
Quick, accurate, and energy-efficient analysis



Imperfections in sequencing data
impacts design choices

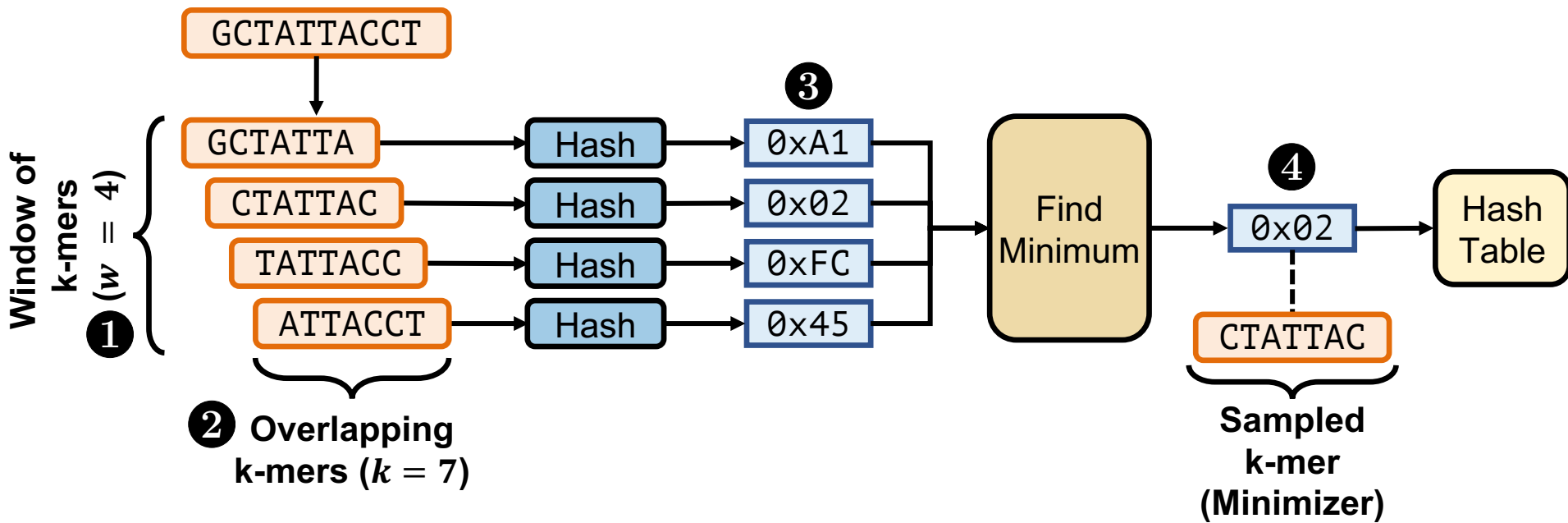


Distributed Computing

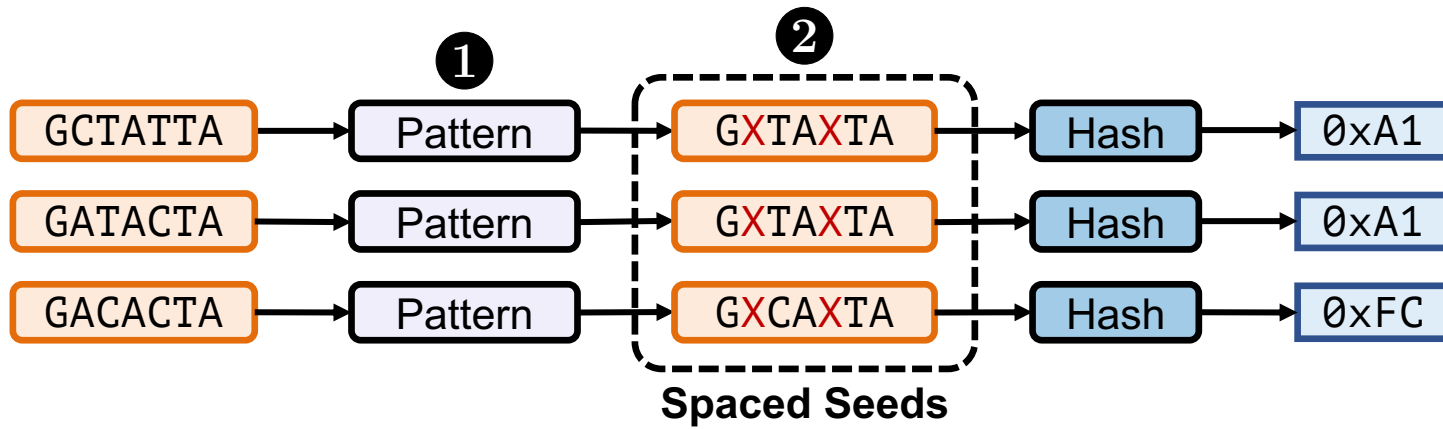


Hardware Accelerators

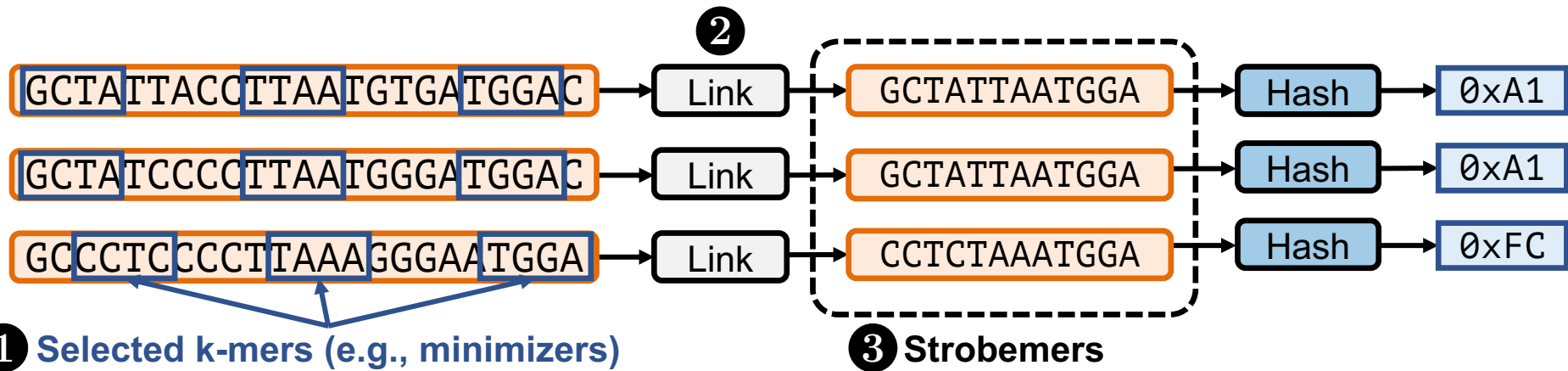
Minimizer Sketching



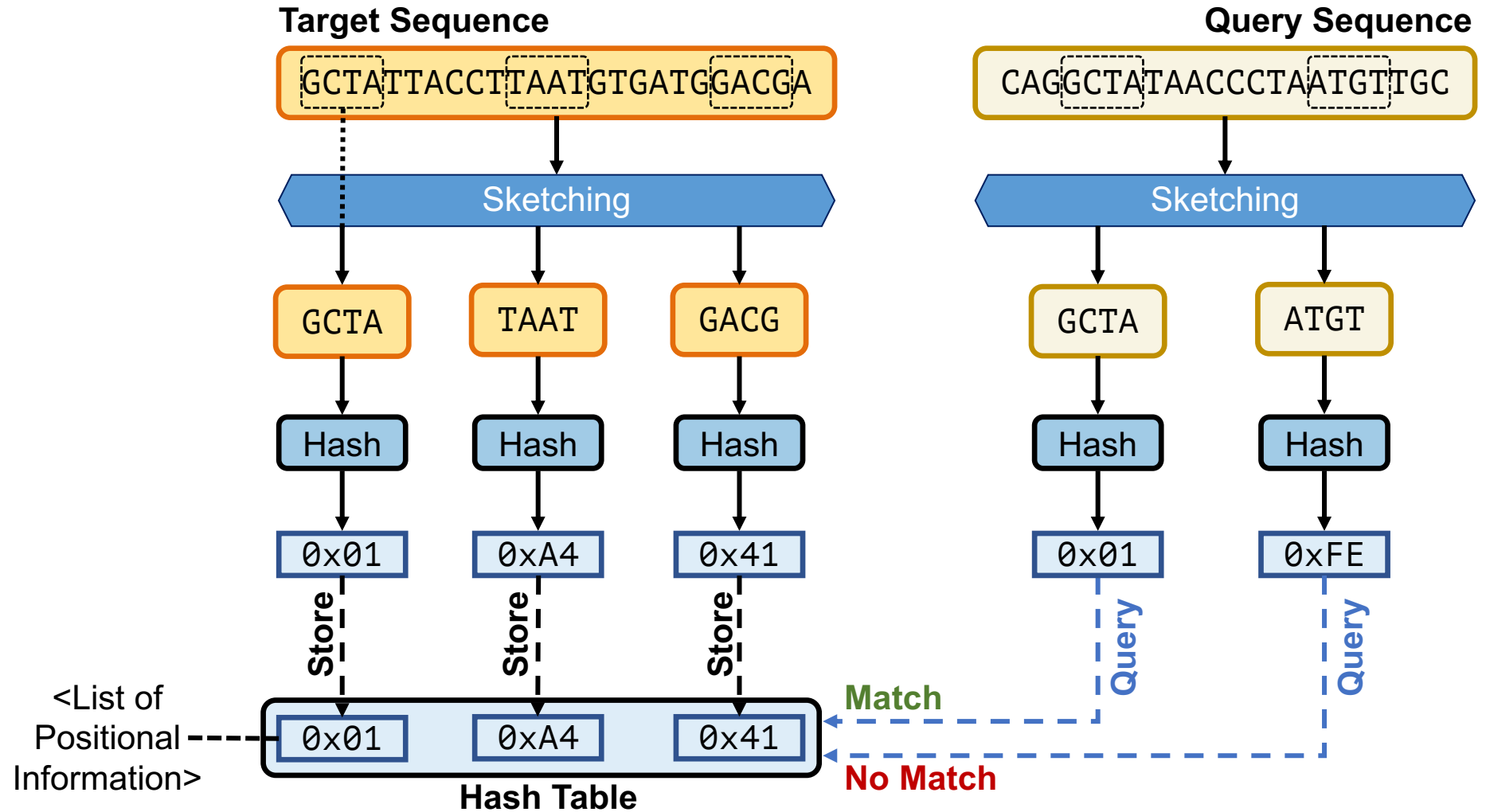
Spaced Seeding



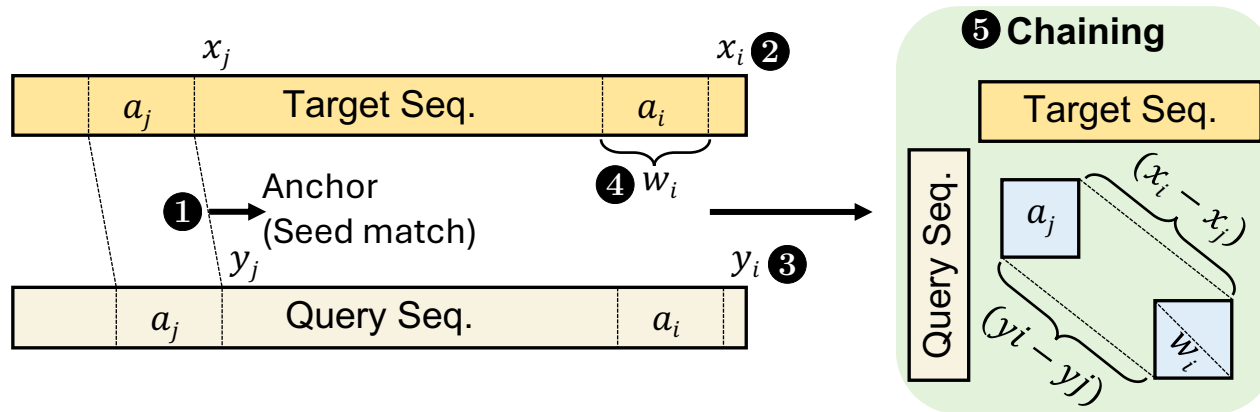
Strobemer Sketches



Hash-Based Sketching and Seed Matching

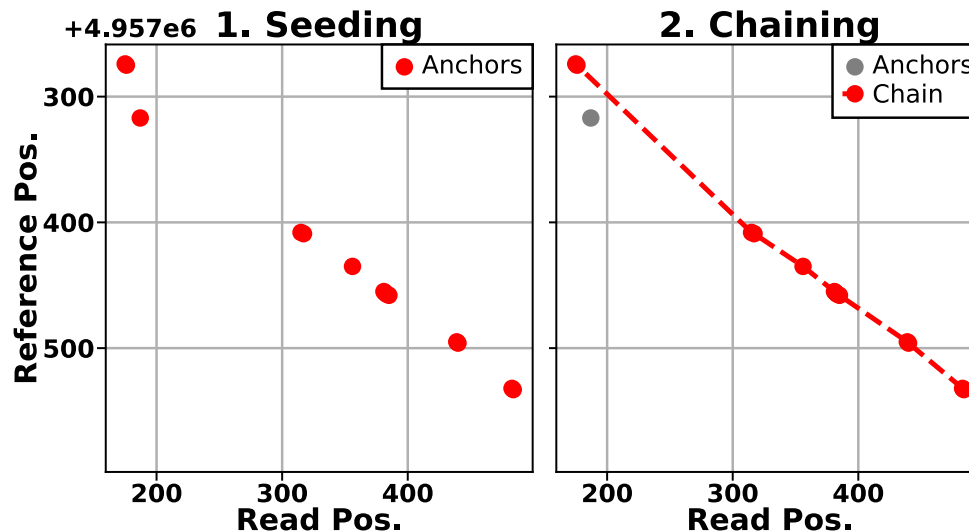


Chaining (Two Points)

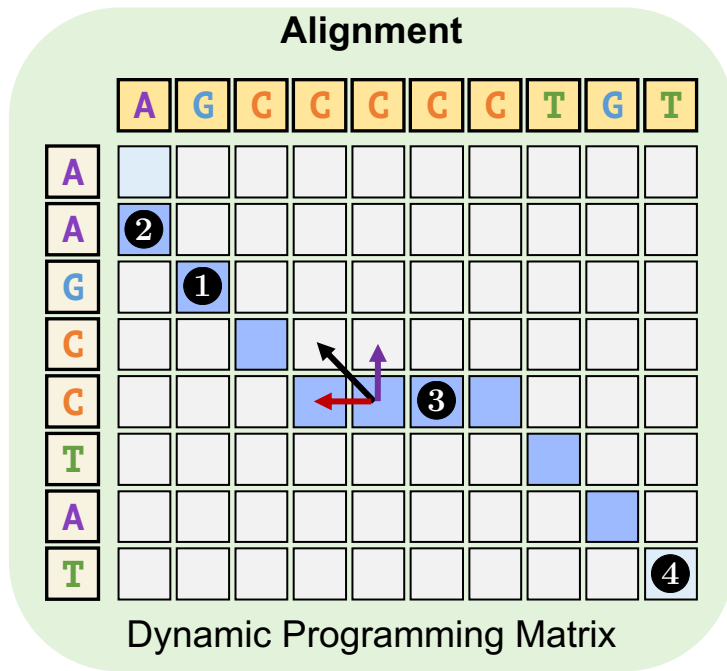


Chaining (Multiple Points)

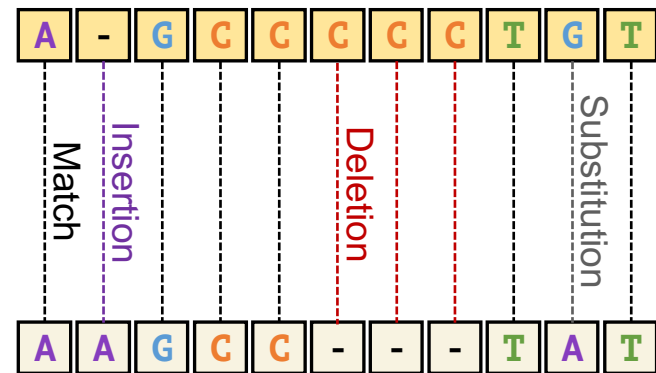
- **Exact hash value matches:** Needed for finding matching regions between a reference genome and a read
- What if there are mutations or errors?
 - **No hash (seed) match** will occur in such positions
- The chaining algorithm links **exact matches in a proximity** even though there are gaps (no seed matches) between them



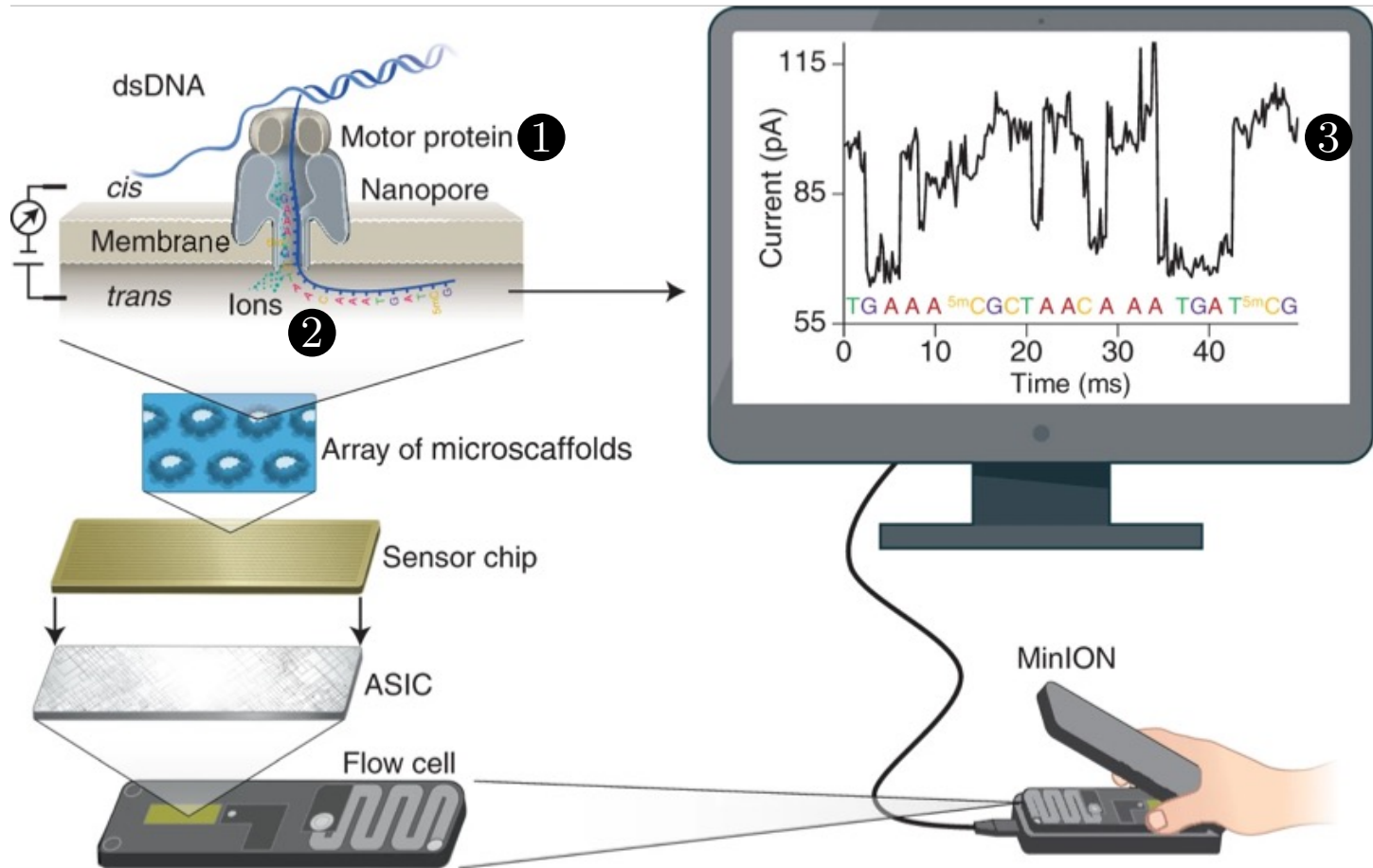
Sequence Alignment



5



Nanopore Sequencing



Source of Noise in Nanopore Sequencing

- **Stochastic thermal fluctuations in the ionic current**
 - Random ionic movement due to inherent thermal energy (Brownian motion)

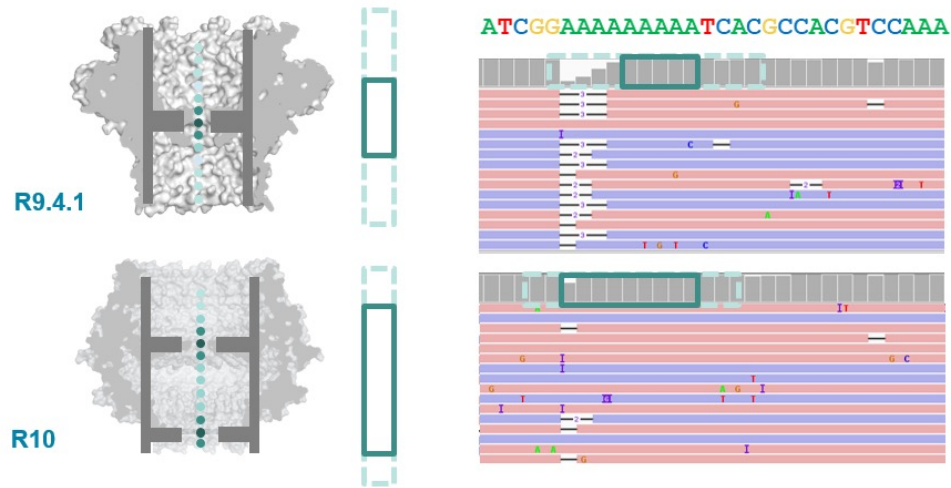
- **Variations in the translocation speed**
 - Mainly due to the motor protein

- **Environmental factors**
 - **Temperature:** Affecting enzymes including the motor protein
 - **pH levels:** Affecting charge and the shape of molecules

- **Maybe: Aging & material-related noise between nanopores**
 - Their effects potentially can be minimized with normalization techniques

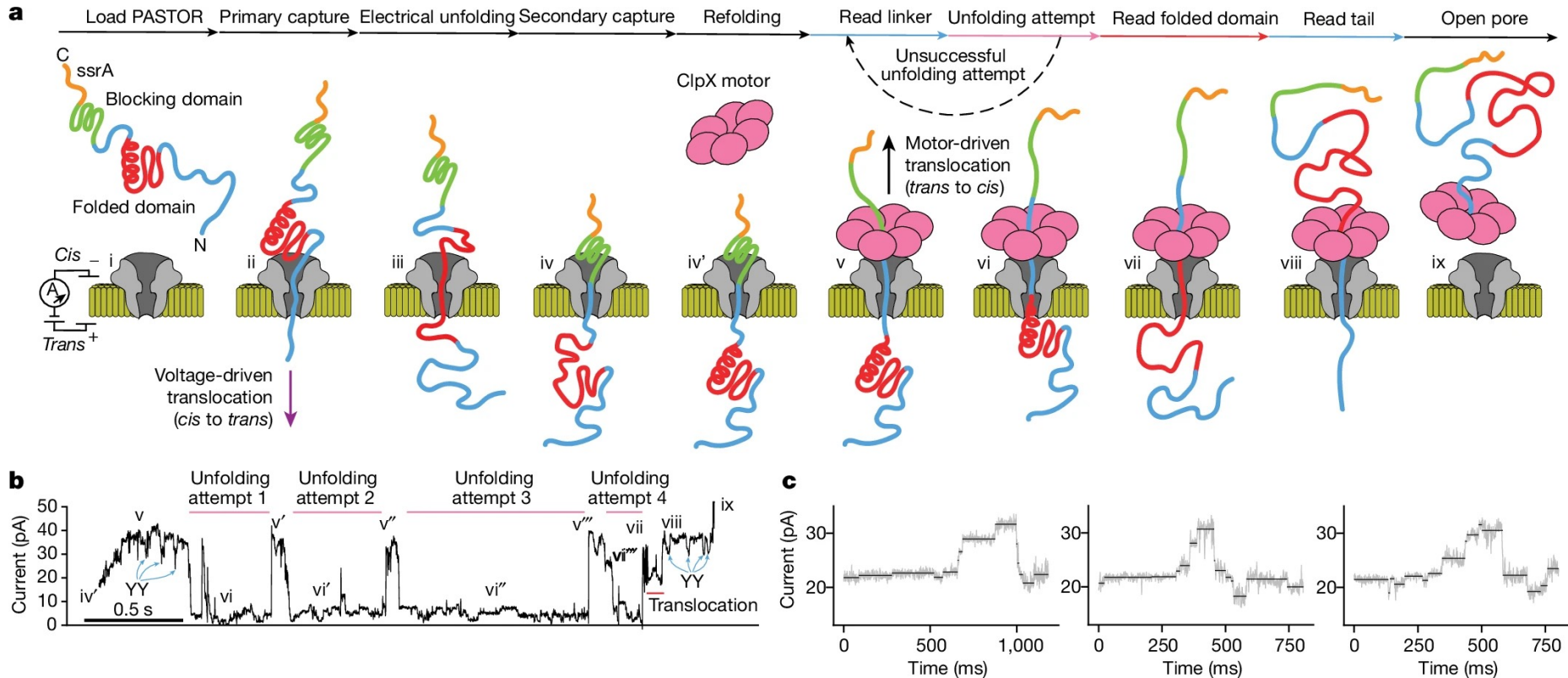
R9 vs. R10 Chemistries

- **Dual reader head**



- **Motor protein** with more consistent translocation speed in R10
- **Duplex sequencing** in R10

Proteomics with Nanopores



Related Works

- **Noise-tolerant sketching**

- Sampling mechanisms (e.g., minimizers, syncmers, strobemers, MinHash)
- Generated sketches must still exactly match
- BLEND can be applied to generate their hash values to find similar sketches

- **Spaced seeds**

- Cannot tolerate arbitrary mismatches due to fixed patterns
- BLEND can be applied to generate hash values of spaced seeds

- **Different masking and hashing techniques**

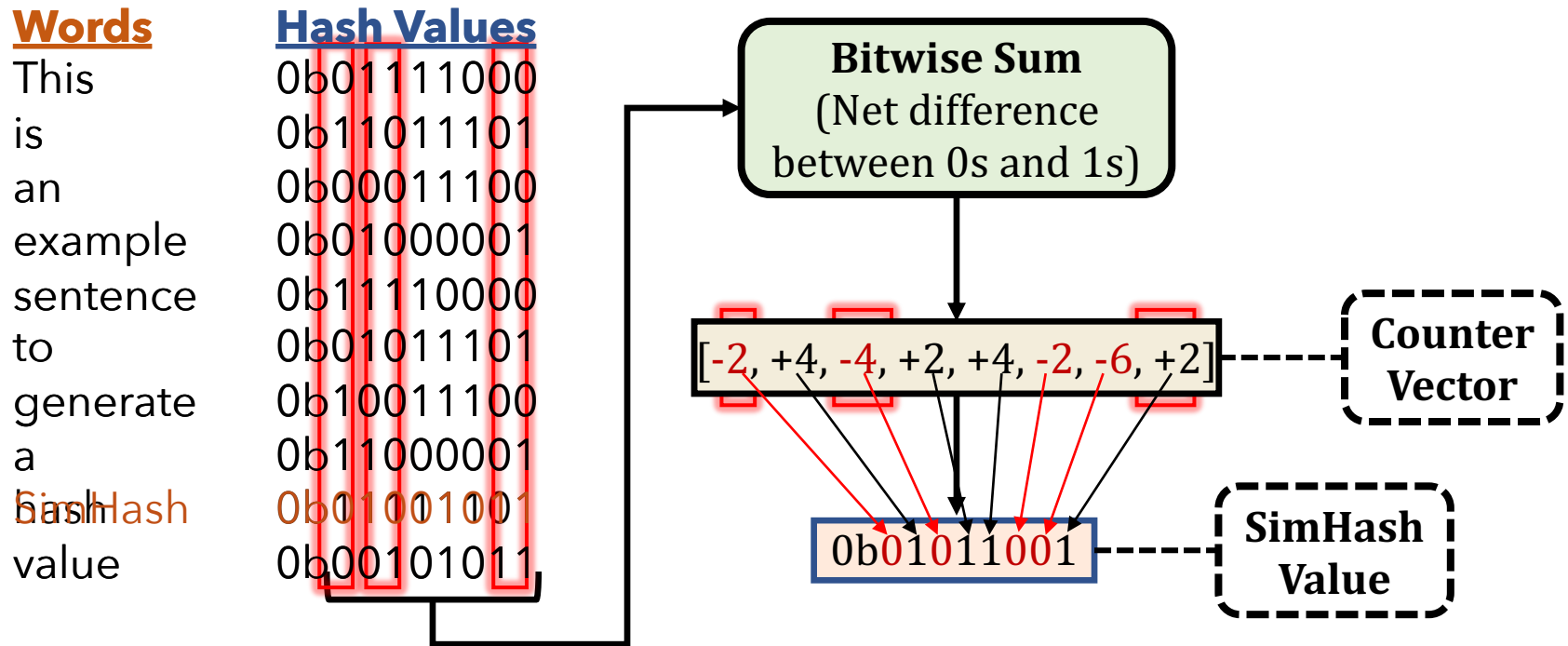
- LexicHash: Similar to finding maximal exact match with MinHash properties
- Order-insensitive hashing

- **Other locality sensitive hashing mechanisms**

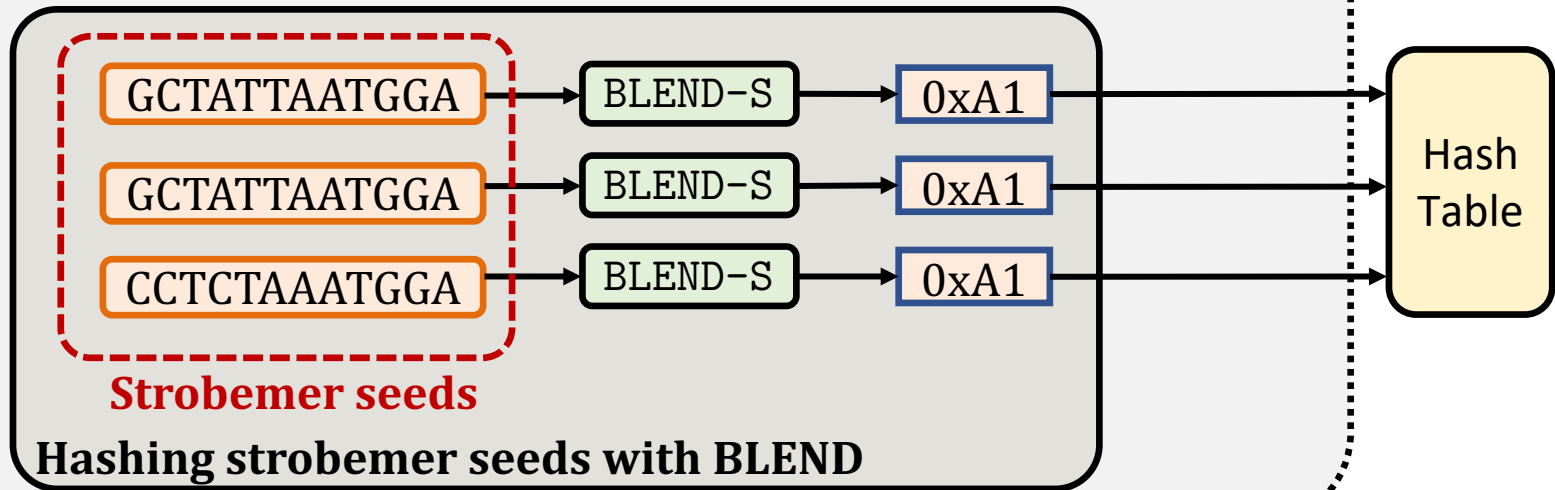
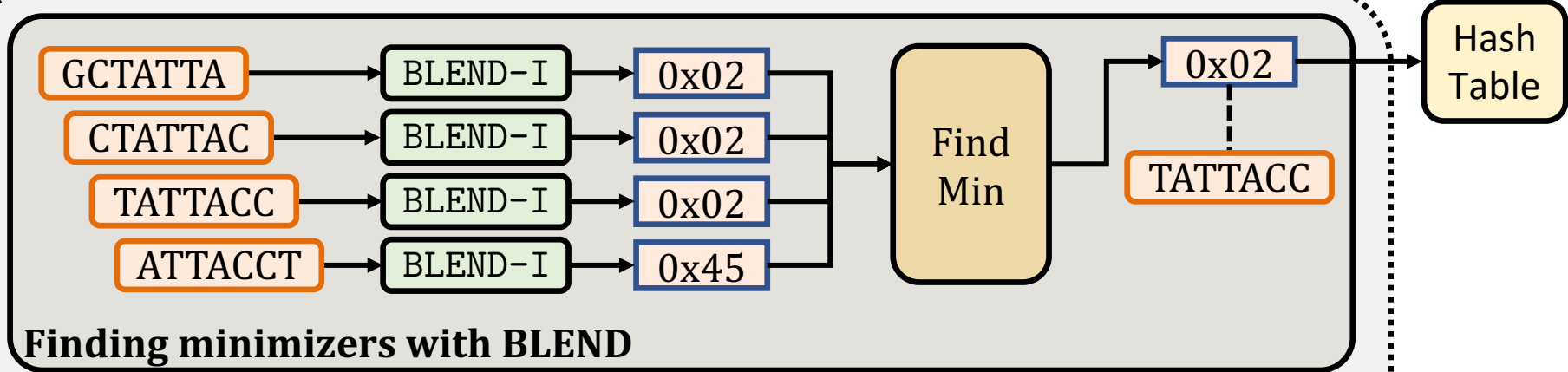
- Identifying similarity with multiple hash matches, instead of a single one
- Future work: DenseFly, FlyHash ... (some of them use Random Matrices)

The SimHash Technique

- Goal: Generate the **same hash value** for **similar vector of items**
 - **Example input:** A sentence (a vector of items)
 - **Items:** Words in a sentence (hash values of items)
- Count the net difference between 0s and 1s at each position
This is an example sentence to generate a hash value

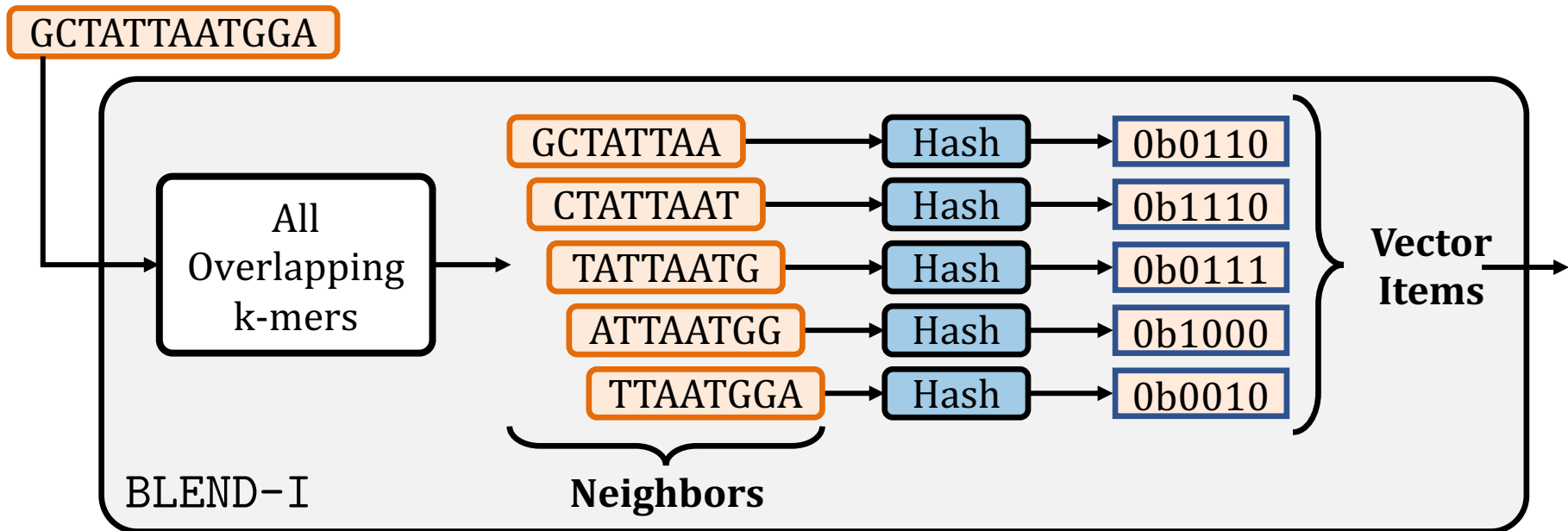


Integrating BLEND for Seeding



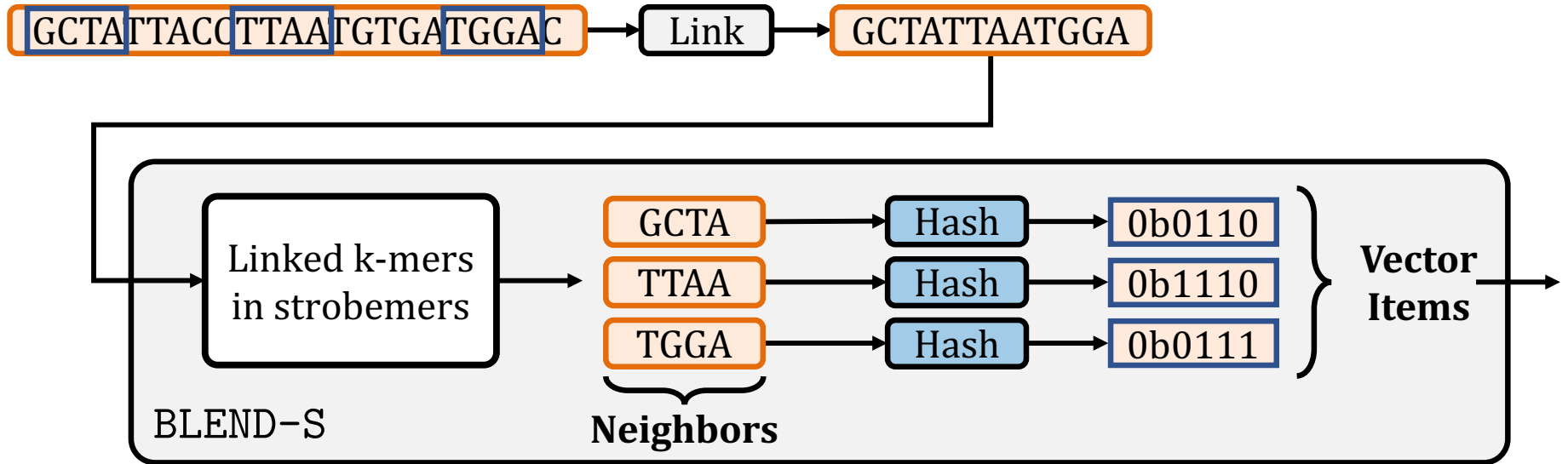
Sequence-to-Vector Conversion (BLEND-I)

- **Goal:** Convert seed sequences into vector of items
 - **Input:** A fixed-length sequence (seed sequence)
 1. Extract **all overlapping k-mers** of the seed (**neighbors**)
 2. Generate the **hash values of neighbors** using any hash function
 3. **Vector items:** Hash values of neighbors

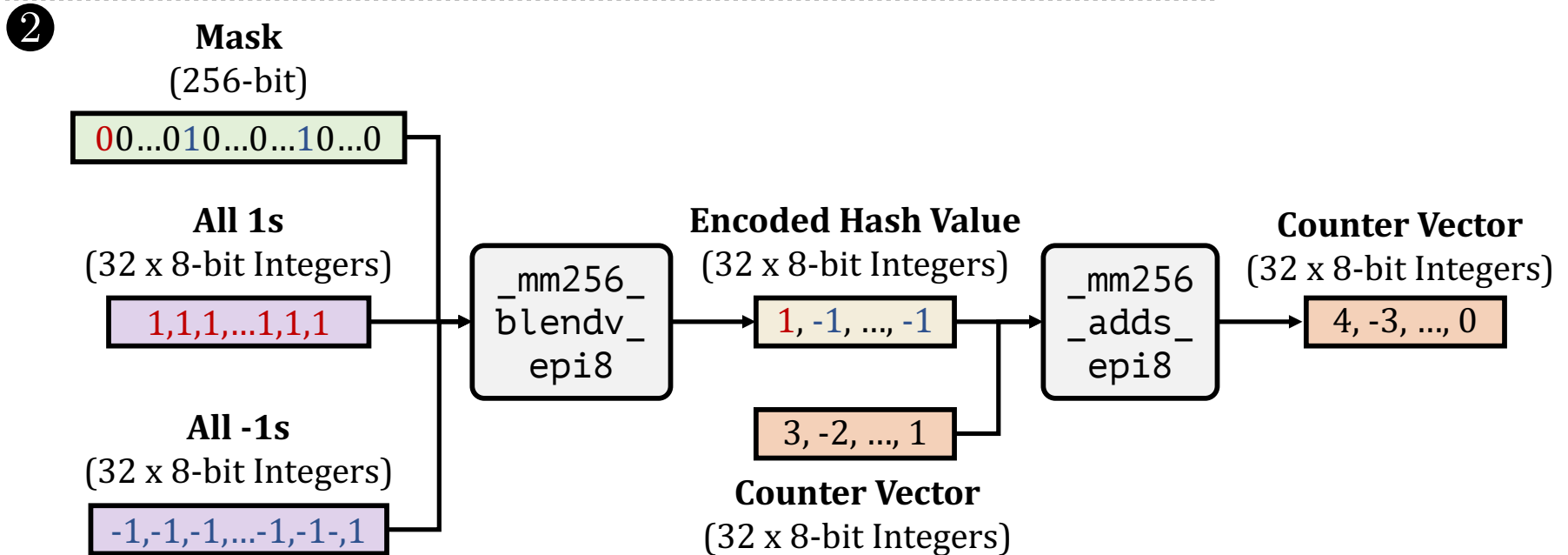
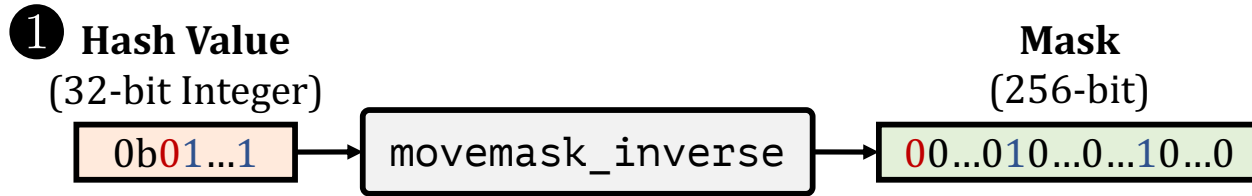


Sequence-to-Vector Conversion (BLEND-S)

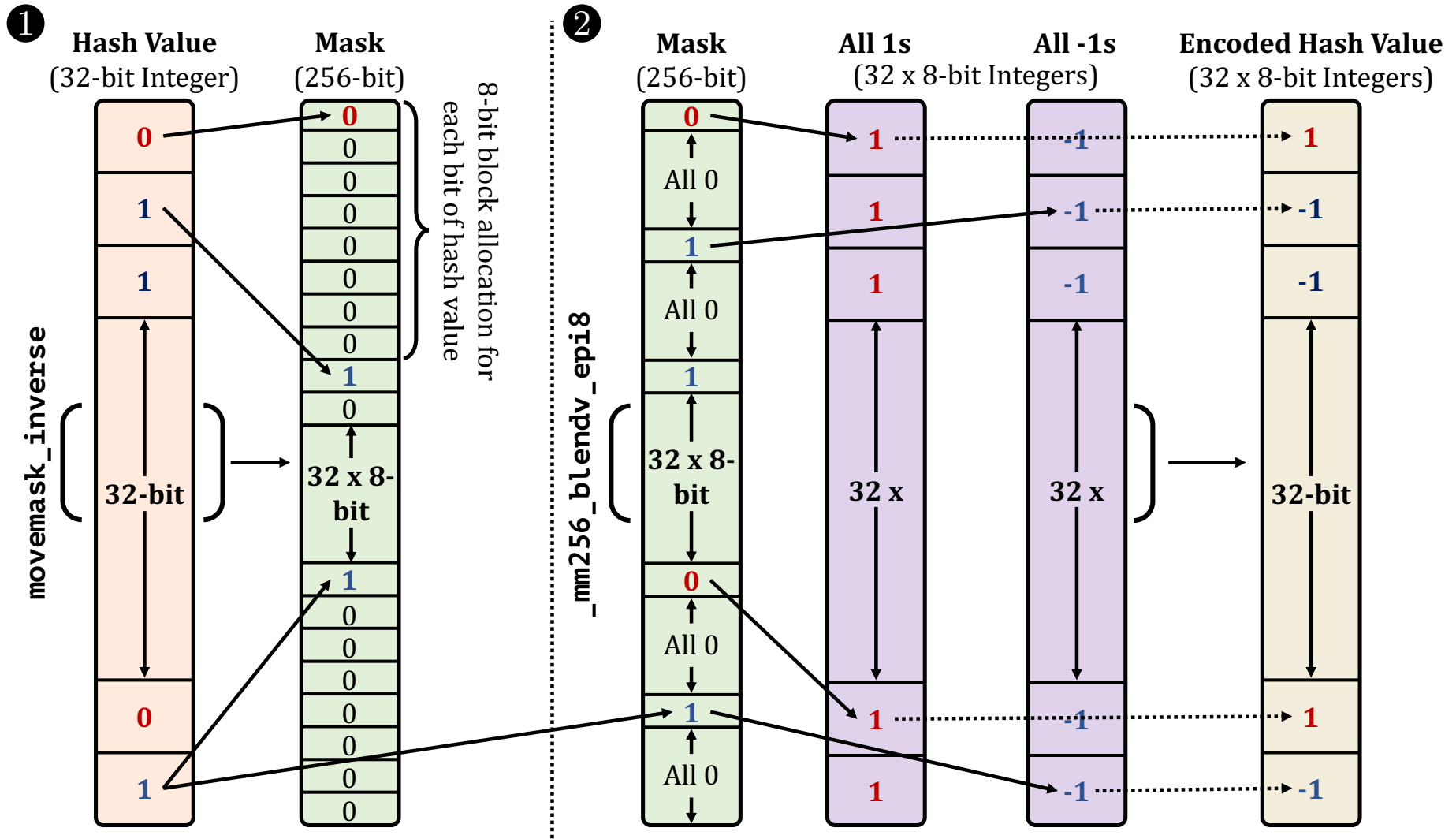
- **Goal:** Convert seed sequences into vector of items
 - **Input:** A fixed-length sequence (seed sequence)



Sequence-to-Vector Conversion (SIMD)

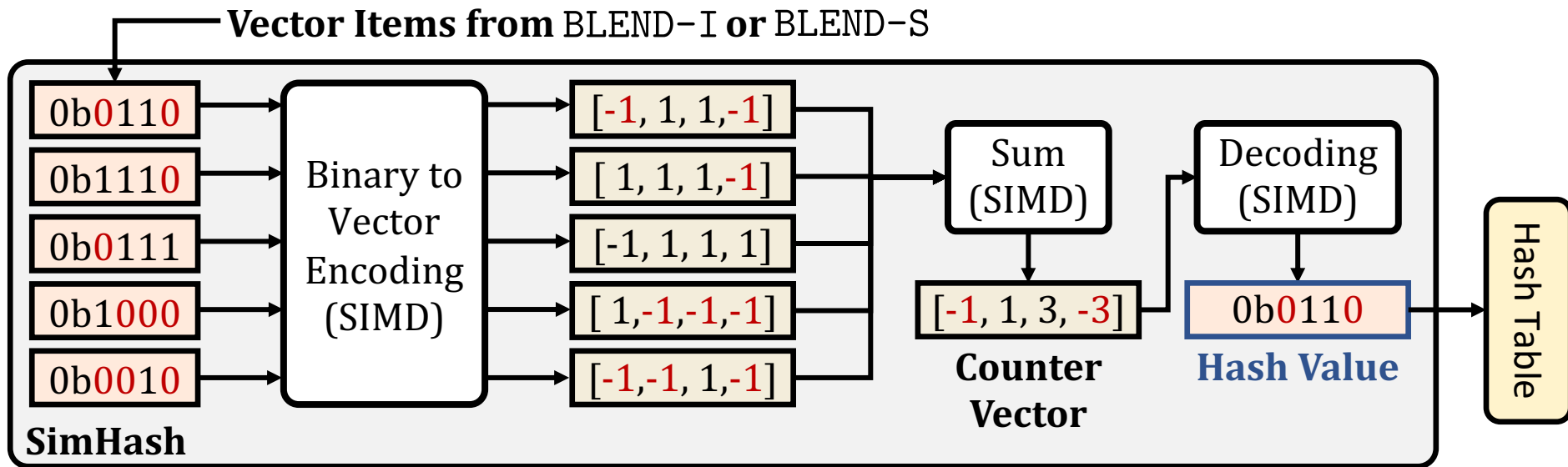


Sequence-to-Vector Conversion (SIMD)



Generating the SimHash values

- **Goal:** Generate the SimHash value of a seed
 - **Input:** Vector items from BLEND-I or BLEND-S
 1. Encode hash values using vectors of **-1s** and **+1s**
 2. Bitwise sum in SimHash: **Vector summation**
 3. Decode the counter vector into a **SimHash value for the seed**



Datasets

Organism	Library	Reads (#)	Seq. Depth	SRA Accession	Reference Genome
<i>Human CHM13</i>	PacBio HiFi	3,167,477	16	SRR11292122-3	T2T-CHM13 (v1.1)
	ONT*	10,380,693	30	Simulated R9.5	T2T-CHM13 (v2.0)
<i>Human HG002</i>	PacBio HiFi	11,714,594	52	SRR10382244-9	GRCh37
<i>D. ananassae</i>	PacBio HiFi	1,195,370	50	SRR11442117	[1036]
<i>Yeast</i>	PacBio CLR*	270,849	200	Simulated P6-C4	GCA_000146045.2
	ONT*	135,296	100	Simulated R9.5	GCA_000146045.2
	Illumina MiSeq	3,318,467	80	ERR1938683	GCA_000146045.2
<i>E. coli</i>	PacBio HiFi	38,703	100	SRR11434954	[1036]
	PacBio CLR	76,279	112	SRR1509640	GCA_000732965.1

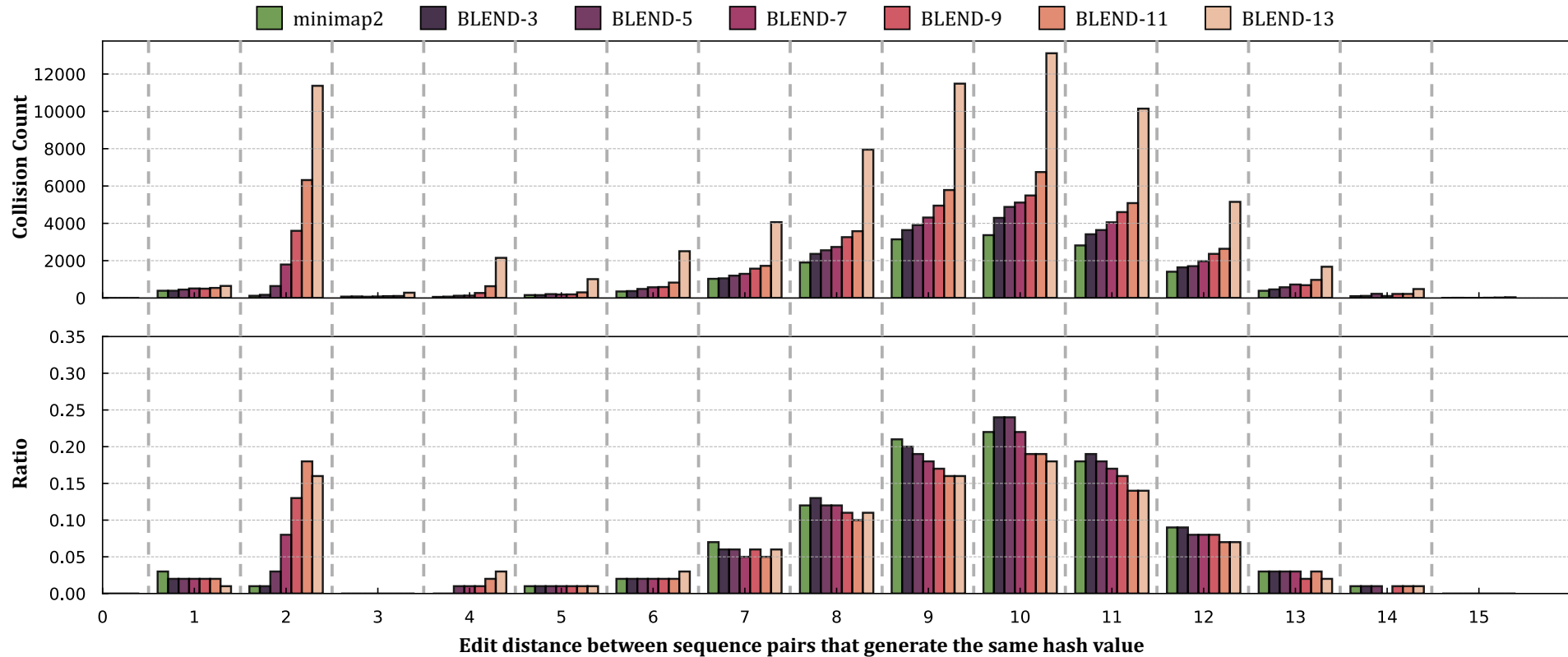
Hash Collisions

Tool	Number of Minimizers	Number of Collisions	Collision/Minimizer Ratio	Avg. Edit Distance Between Minimizers With Collision
minimap2	903,043	15,306	0.016949	9.327061
BLEND-3	1,014,173	18,224	0.017969	9.393437
BLEND-5	1,090,468	20,659	0.018945	9.213660
BLEND-7	1,140,254	23,591	0.020689	8.874698
BLEND-9	1,173,198	28,411	0.024217	8.495301
BLEND-11	1,186,687	35,500	0.029915	8.067549
BLEND-13	1,197,966	72,078	0.060167	8.075918

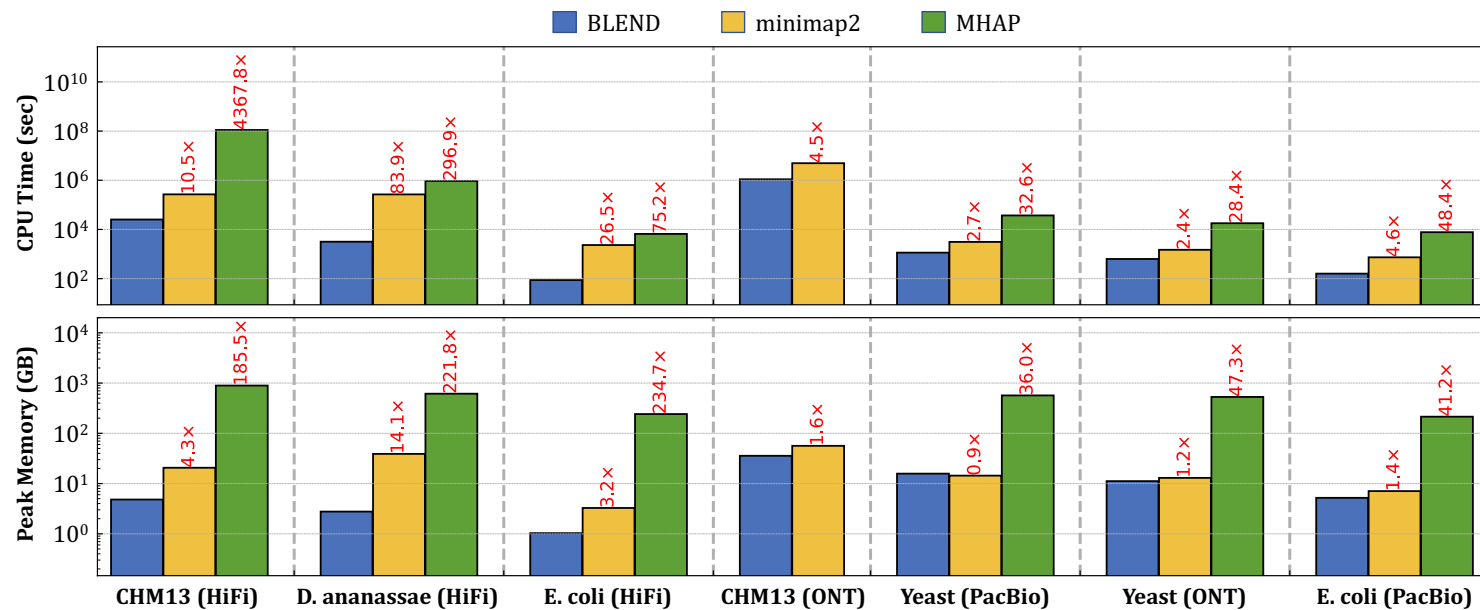
Hash Collisions between Similar Seeds

Tool	Number of Sequences	Number of Sequences with Collision	Collision/Sequence Ratio	Avg. Edit Distance Between K-mers With Collision
minimap2	4,130	0	0	N/A
BLEND-3	4,130	0	0	N/A
BLEND-5	4,130	11	0.00263663	1.45455
BLEND-7	4,130	50	0.0119847	1.5
BLEND-9	4,130	77	0.0184564	2.01299
BLEND-11	4,130	273	0.0654362	2.80952
BLEND-13	4,130	329	0.0788591	2.20669

Empirical Analysis on Fuzzy Seed Matching



Read Overlapping – Performance & Memory



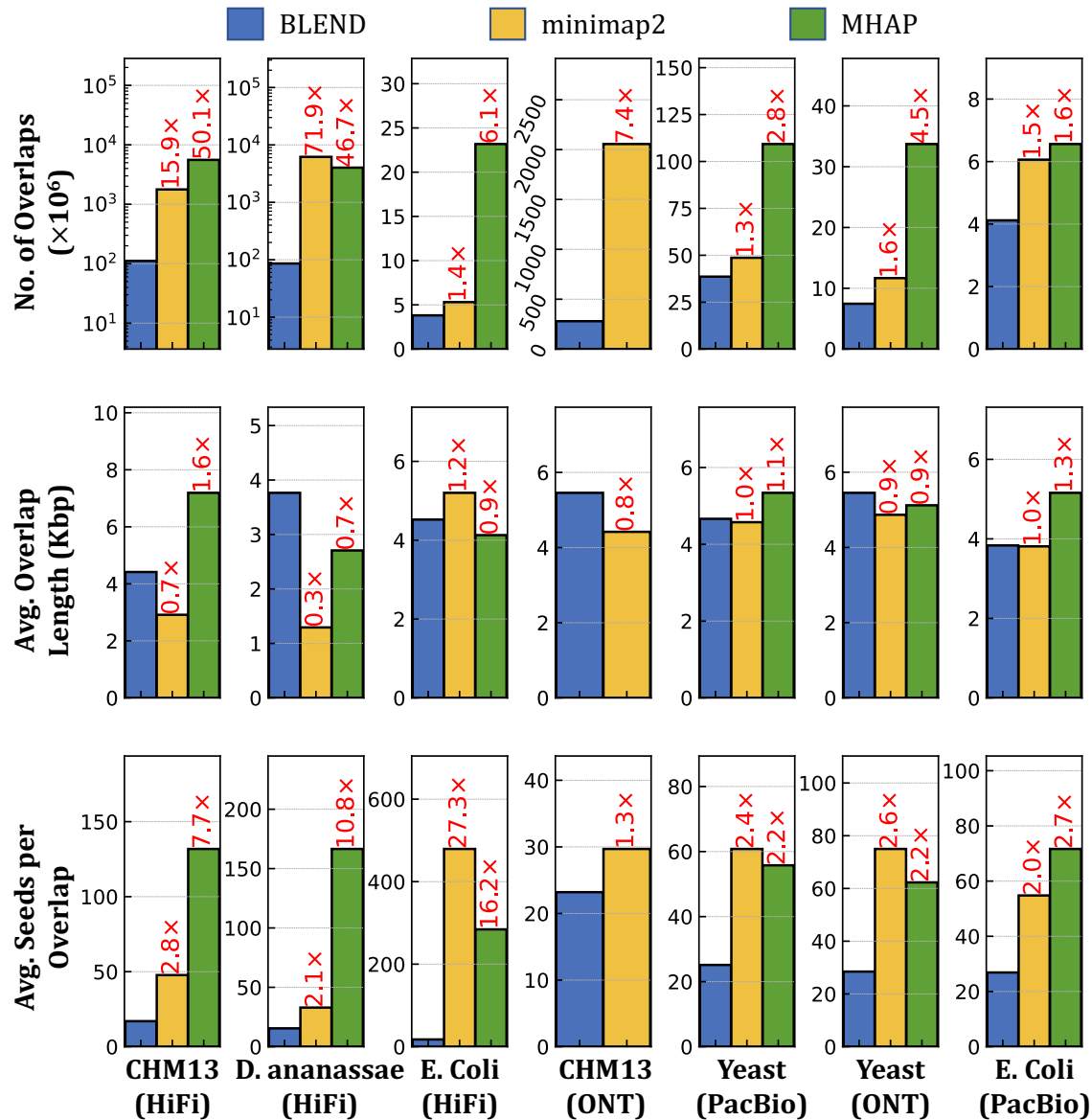
For HiFi: Average **speedup** of **40.3x (minimap2)**

Reducing the **memory** footprint **by 7.2x**

Improving critical parameters without hurting the accuracy:

Window length (200) and **seed length** (31-mers)

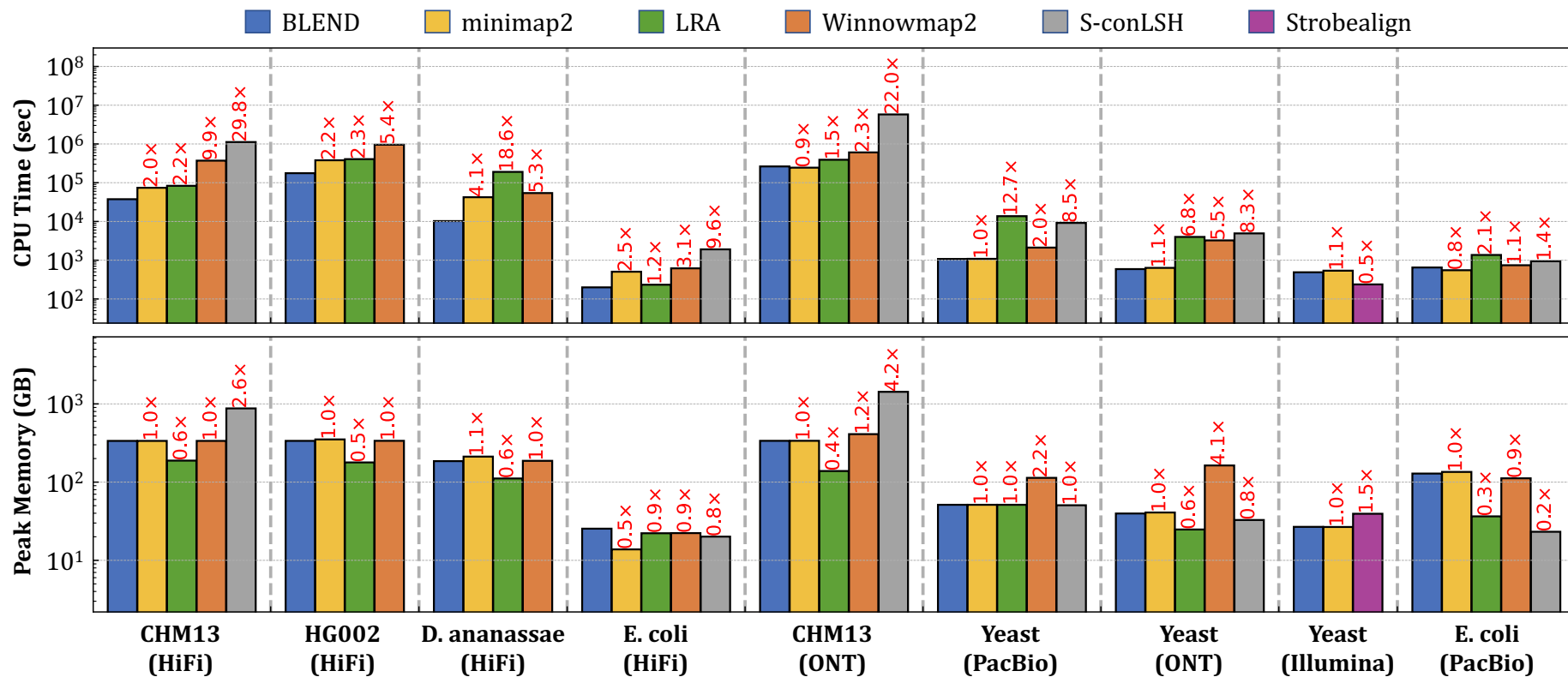
Overlapping Statistics



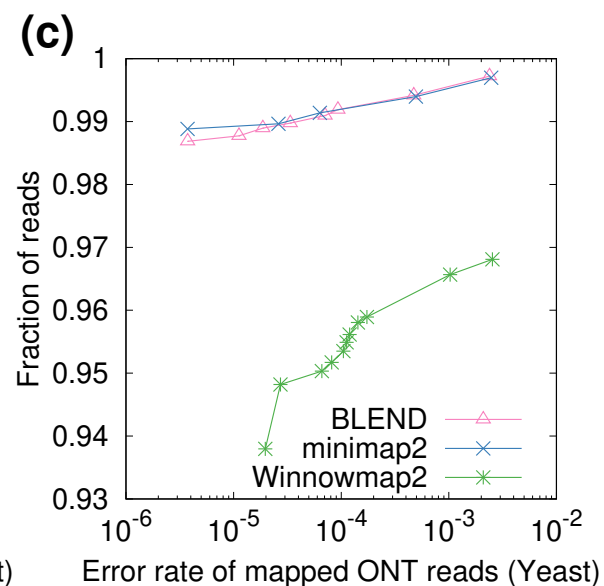
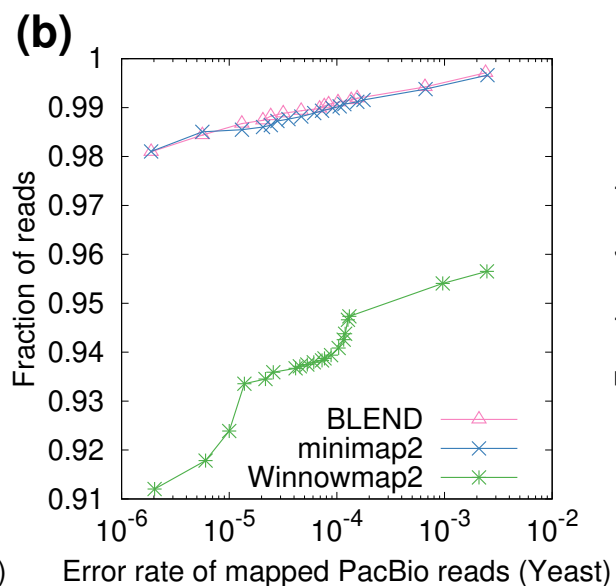
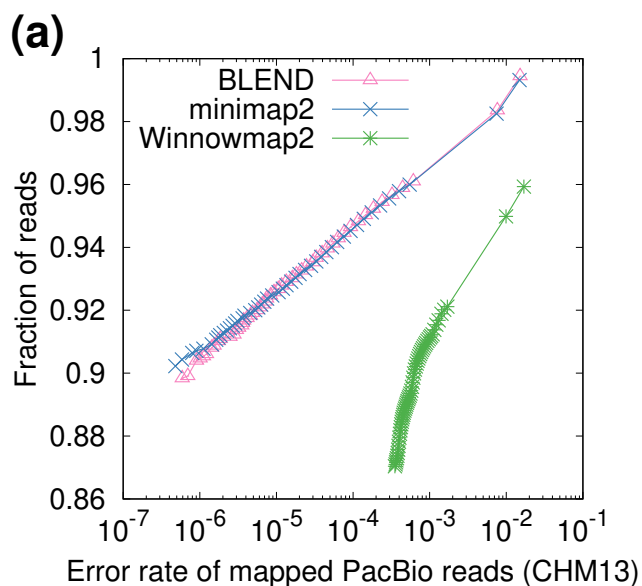
Assembly Results

Dataset	Tool	Average Identity (%)	Genome Fraction (%)	K-mer Compl. (%)	Aligned Length (Mbp)	Mismatch per 100Kbp (#)	Average GC (%)	Assembly Length (Mbp)	Largest Contig (Mbp)	NGA50 (Kbp)	NG50 (Kbp)
<i>CHM13</i> (HiFi)	BLEND	99.8526	98.4847	90.15	3,092.54	22.02	40.78	3,095.21	22.8397	5,442.25	5,442.31
	minimap2	99.7421	97.1493	83.05	3,094.79	55.96	40.71	3,100.97	47.1387	7,133.43	7,134.31
	MHAP	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Reference	100	100	100	3,054.83	0.00	40.85	3,054.83	248.387	154,260	154,260
<i>D. ananassae</i> (HiFi)	BLEND	99.7856	97.2308	86.43	240.391	143.13	41.75	247.153	6.23256	792.407	798.913
	minimap2	99.7044	96.3190	72.33	289.453	191.53	41.68	298.28	4.43396	273.398	278.775
	MHAP	99.5551	0.7276	0.21	2.29	239.76	42.07	2,34951	0.028586	N/A	N/A
	Reference	100	100	100	213.805	0.00	41.81	213.818	30.6728	26,427.4	26,427.4
<i>E. coli</i> (HiFi)	BLEND	99.8320	99.8801	87.91	5.12155	3.77	50.53	5.12155	3.41699	3,416.99	3,416.99
	minimap2	99.7064	99.8748	79.27	5.09249	19.71	50.47	5.09436	3.08849	3,087.05	3,087.05
	MHAP	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Reference	100	100	100	5.04628	0.00	50.52	5.04628	4.94446	4,944.46	4,944.46
<i>CHM13</i> (ONT)	BLEND	N/A	N/A	29.26	2,891.28	4,077.53	41.32	2,897.87	25.2071	5,061.52	5,178.59
	minimap2	N/A	N/A	28.32	2,860.26	4,660.73	41.36	2,908.55	66.7564	13,189.2	13,820.3
	Reference	100	100	100	3,117.29	0.00	40.75	3,117.29	248.387	150,617	150,617
<i>Yeast</i> (PacBio)	BLEND	89.1677	97.0854	33.81	12.3938	2,672.37	38.84	12.4176	1.54807	635.966	636.669
	minimap2	88.9002	96.9709	33.38	12.0128	2,684.38	38.85	12.3325	1.56078	810.046	828.212
	MHAP	89.2182	88.5928	32.39	10.9039	2,552.05	38.81	10.9896	1.02375	85.081	436.285
	Reference	100	100	100	12.1571	0.00	38.15	12.1571	1.53193	924.431	924.431
<i>Yeast</i> (ONT)	BLEND	89.6889	99.2974	35.95	12.3222	2,529.47	38.64	12.3225	1.10582	793.046	793.046
	minimap2	88.9393	99.6878	34.84	12.304	2,782.59	38.74	12.3725	1.56005	796.718	941.588
	MHAP	89.1970	89.2785	33.58	10.8302	2,647.19	38.84	10.9201	1.44328	118.886	618.908
	Reference	100	100	100	12.1571	0.00	38.15	12.1571	1.53193	924.431	924.431
<i>E. coli</i> (PacBio)	BLEND	88.5806	96.5238	32.32	5.90024	1,857.56	49.81	6.21598	2.40671	769.981	2,060.4
	minimap2	88.1365	92.7603	30.74	5.37728	2,005.72	49.66	6.02707	3.77098	367.442	3,770.98
	MHAP	88.4883	90.5533	31.32	5.75159	1,999.48	49.69	6.26216	1.04286	110.535	456.01
	Reference	100	100	100	5.6394	0.00	50.43	5.6394	5.54732	5,547.32	5,547.32

Read Mapping Results



Read Mapping Accuracy – BLEND



Read Mapping Quality

Dataset	Tool	Average Depth of Cov. (x)	Breadth of Coverage (%)	Aligned Reads (#)	Properly Paired (%)
<i>CHM13</i> (HiFi)	BLEND	16.58	99.991	3,171,916	NA
	minimap2	16.58	99.991	3,172,261	NA
	LRA	16.37	99.064	3,137,631	NA
	Winnomap2	16.58	99.990	3,171,313	NA
<i>HG002</i> (HiFi)	BLEND	51.25	92.245	11,424,762	NA
	minimap2	53.08	92.242	12,407,589	NA
	LRA	52.48	92.275	13,015,195	NA
	Winnomap2	53.81	92.248	12,547,868	NA
<i>D. ananassae</i> (HiFi)	BLEND	57.37	99.662	1,223,388	NA
	minimap2	57.57	99.665	1,245,931	NA
	LRA	57.06	99.599	1,235,098	NA
	Winnomap2	57.40	99.663	1,249,575	NA
<i>E. coli</i> (HiFi)	BLEND	99.14	99.897	39,048	NA
	minimap2	99.14	99.897	39,065	NA
	LRA	99.10	99.897	39,063	NA
	Winnomap2	99.14	99.897	39,036	NA
<i>CHM13</i> (ONT)	BLEND	29.34	99.999	10,322,767	NA
	minimap2	29.33	99.999	10,310,182	NA
	LRA	28.84	99.948	9,999,432	NA
	Winnomap2	28.98	99.936	9,958,402	NA
<i>Yeast</i> (PacBio)	BLEND	195.87	99.980	270,064	NA
	minimap2	195.86	99.980	269,935	NA
	LRA	194.65	99.967	267,399	NA
	Winnomap2	192.35	99.977	259,073	NA
<i>Yeast</i> (ONT)	BLEND	97.88	99.964	134,919	NA
	minimap2	97.88	99.964	134,885	NA
	LRA	97.25	99.952	132,862	NA
	Winnomap2	97.04	99.963	130,978	NA
<i>Yeast</i> (Illumina)	BLEND	79.92	99.975	6,493,730	95.88
	minimap2	79.91	99.974	6,492,994	95.89
	Strobealign	79.92	99.970	6,498,380	97.59
<i>E. coli</i> (PacBio)	BLEND	97.51	100	83,924	NA
	minimap2	97.29	100	85,326	NA
	LRA	93.61	100	80,802	NA
	Winnomap2	89.78	100	69,884	NA

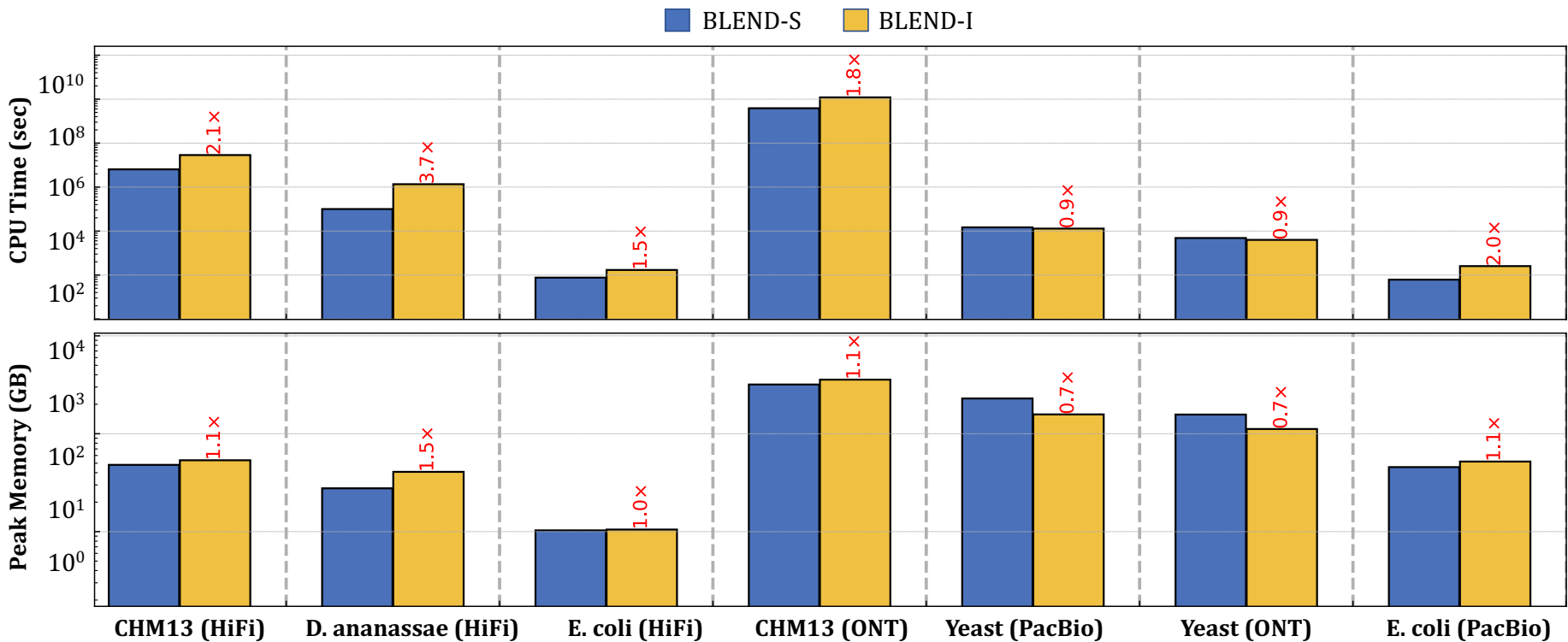
Read Mapping – SV Calling

- Structural variant (SV) calling using read mappings from each tool
 - Sniffles2 to call SVs from HG002 long read mappings
 - Truvari to compare the resulting SVs with the benchmarking SV set (Tier 1 set from GIAB)

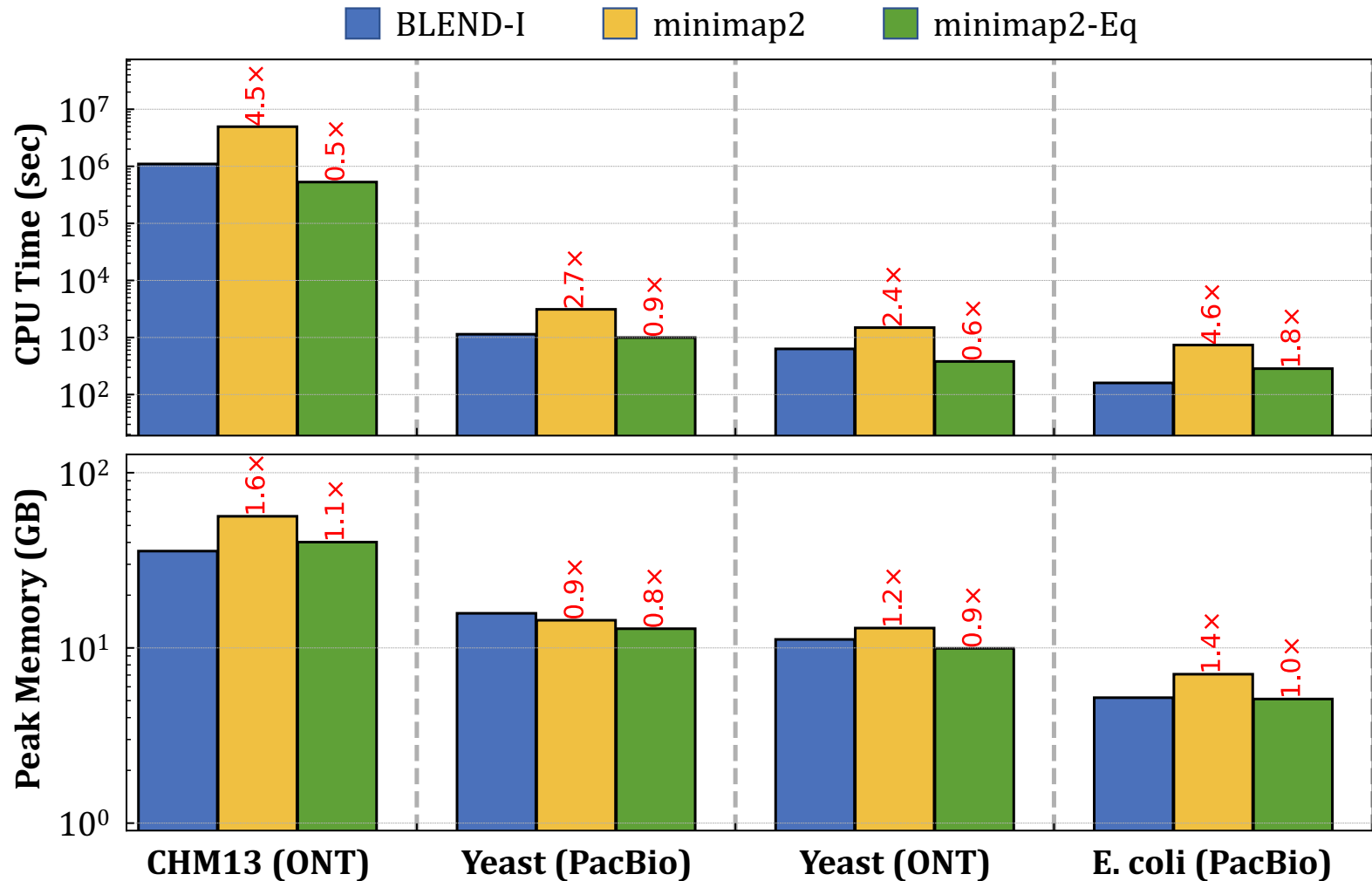
Tool	HG002 SVs (high-confidence tier 1 SV set)					
	TP (#)	FP (#)	FN (#)	Precision	Recall	F_1
BLEND	9229	855	412	0.9152	0.9573	0.9358
minimap2	9222	915	419	0.9097	0.9565	0.9326
LRA	9155	830	486	0.9169	0.9496	0.9329
Winnomap2	9170	1029	471	0.8991	0.9511	0.9244

Best overall accuracy in downstream analysis

Overlapping Perf. – BLEND-I vs BLEND-S



Overlapping Perf. – BLEND-I vs. minimap2



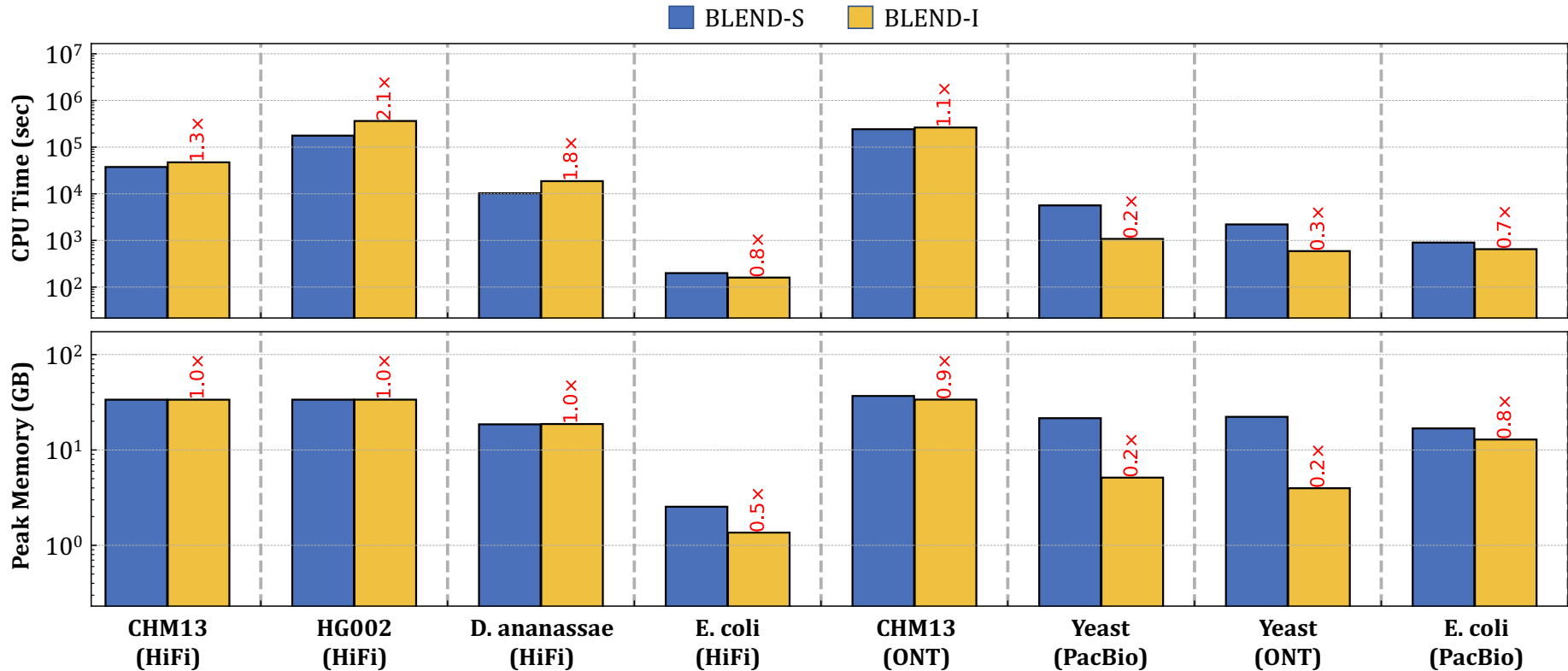
Assembly Stats. – BLEND-I vs. BLEND-S

Dataset	Tool	Average Identity (%)	Genome Fraction (%)	K-mer Compl. (%)	Aligned Length (Mbp)	Mismatch per 100Kbp (#)	Average GC (%)	Assembly Length (Mbp)	Largest Contig (Mbp)	NGA50 (Kbp)	NG50 (Kbp)
<i>CHM13</i> (HiFi)	BLEND-I	99.7535	96.7203	83.65	3,054.49	48.49	40.79	3,059.29	41.8342	8,507.53	8,508.92
	BLEND-S	99.8526	98.4847	90.15	3,092.54	22.02	40.78	3,095.21	22.8397	5,442.25	5,442.31
	Reference	100	100	100	3,054.83	0.00	40.85	3,054.83	248.387	154,260	154,260
<i>D. ananassae</i> (HiFi)	BLEND-I	99.6890	97.2290	77.85	270.218	233.18	41.95	280.388	5.01099	356.745	356.745
	BLEND-S	99.7856	97.2308	86.43	240.391	143.13	41.75	247.153	6.23256	792.407	798.913
	Reference	100	100	100	213.805	0.00	41.81	213.818	30.6728	26,427.4	26,427.4
<i>E. coli</i> (HiFi)	BLEND-I	99.6902	99.8824	79.36	5.04157	17.92	50.52	5.04263	4.94601	4,025.48	4,946.01
	BLEND-S	99.8320	99.8801	87.91	5.12155	3.77	50.53	5.12155	3.41699	3,416.99	3,416.99
	Reference	100	100	100	5.04628	0.00	50.52	5.04628	4.94446	4,944.46	4,944.46
<i>CHM13</i> (ONT)	BLEND-I	N/A	N/A	29.26	2,891.28	4,077.53	41.32	2,897.87	25.2071	5,061.52	5,178.59
	BLEND-S	N/A	N/A	0	0.010546	3,250.70	51.30	0.010548	0.010548	0	0
	Reference	100	100	100	3,117.29	0.00	40.75	3,117.29	248.387	150,617	150,617
<i>Yeast</i> (PacBio)	BLEND-I	89.1677	97.0854	33.81	12.3938	2,672.37	38.84	12.4176	1.54807	635.966	636.669
	BLEND-S	90.3347	83.8814	33.17	22.9473	4,795.58	38.71	22.9523	0.265118	114.125	116.143
	Reference	100	100	100	12.1571	0.00	38.15	12.1571	1.53193	924.431	924.431
<i>Yeast</i> (ONT)	BLEND-I	89.6889	99.2974	35.95	12.3222	2,529.47	38.64	12.3225	1.10582	793.046	793.046
	BLEND-S	91.0865	7.9798	4.90	0.898565	2,006.91	38.35	0.899654	0.043321	0	0
	Reference	100	100	100	12.1571	0.00	38.15	12.1571	1.53193	924.431	924.431
<i>E. coli</i> (PacBio)	BLEND-I	88.5806	96.5238	32.32	5.90024	1,857.56	49.81	6.21598	2.40671	769.981	2,060.4
	BLEND-S	90.3551	36.6230	17.07	2.10137	1,299.50	48.91	2.10704	0.095505	0	0
	Reference	100	100	100	5.6394	0.00	50.43	5.6394	5.54732	5,547.32	5,547.32

Assembly Stats. – BLEND-I vs. minimap2

Dataset	Tool	Average Identity (%)	Genome Fraction (%)	K-mer Compl. (%)	Aligned Length (Mbp)	Mismatch per 100Kbp (#)	Average GC (%)	Assembly Length (Mbp)	Largest Contig (Mbp)	NGA50 (Kbp)	NG50 (Kbp)
<i>CHM13</i> (ONT)	BLEND-I	N/A	N/A	29.26	2,891.28	4,077.53	41.32	2,897.87	25.2071	5,061.52	5,178.59
	minimap2	N/A	N/A	28.32	2,860.26	4,660.73	41.36	2,908.55	66.7564	13,189.2	13,820.3
	minimap2-Eq	N/A	N/A	29.32	3,117.29	4,025.22	41.32	2,882.94	24.6651	3,634.05	3,653.47
	Reference	100	100	100	3,117.29	0.00	40.75	3,117.29	248.387	150,617	150,617
<i>Yeast</i> (PacBio)	BLEND-I	89.1677	97.0854	33.81	12.3938	2,672.37	38.84	12.4176	1.54807	635.966	636.669
	minimap2	88.9002	96.9709	33.38	12.0128	2,684.38	38.85	12.3325	1.56078	810.046	828.212
	minimap2-Eq	89.2166	97.2674	33.93	12.3886	2,653.08	38.82	12.4241	1.53435	643.136	781.136
	Reference	100	100	100	12.1571	0.00	38.15	12.1571	1.53193	924.431	924.431
<i>Yeast</i> (ONT)	BLEND-I	89.6889	99.2974	35.95	12.3222	2,529.47	38.64	12.3225	1.10582	793.046	793.046
	minimap2	88.9393	99.6878	34.84	12.304	2,782.59	38.74	12.3725	1.56005	796.718	941.588
	minimap2-Eq	89.6653	97.3273	35.62	11.826	2,465.87	38.64	11.8282	1.07367	605.201	677.415
	Reference	100	100	100	12.1571	0.00	38.15	12.1571	1.53193	924.431	924.431
<i>E. coli</i> (PacBio)	BLEND-I	88.5806	96.5238	32.32	5.90024	1,857.56	49.81	6.21598	2.40671	769.981	2,060.4
	minimap2	88.1365	92.7603	30.74	5.37728	2,005.72	49.66	6.02707	3.77098	367.442	3,770.98
	minimap2-Eq	88.6371	96.8540	32.33	5.82218	1,816.29	49.76	6.05821	3.77318	1,119.04	3,773.18
	Reference	100	100	100	5.6394	0.00	50.43	5.6394	5.54732	5,547.32	5,547.32

Mapping Perf. – BLEND-I vs. BLEND-S



Mapping Quality – BLEND-I vs. BLEND-S

Dataset	Tool	Average Depth of Cov. (×)	Breadth of Coverage (%)	Aligned Reads (#)	Properly Paired (%)
<i>CHM13</i> (HiFi)	BLEND-I	16.58	99.991	3,172,305	NA
	BLEND-S	16.58	99.991	3,171,916	NA
<i>HG002</i> (HiFi)	BLEND-I	51.25	92.245	6,813,886	NA
	BLEND-S	11.24	13.860	11,424,762	NA
<i>D. ananassae</i> (HiFi)	BLEND-I	57.51	99.650	1,249,666	NA
	BLEND-S	57.37	99.662	1,223,388	NA
<i>E. coli</i> (HiFi)	BLEND-I	99.14	99.897	39,064	NA
	BLEND-S	99.14	99.897	39,048	NA
<i>CHM13</i> (ONT)	BLEND-I	29.34	99.999	10,322,767	NA
	BLEND-S	17.51	99.700	5,760,401	NA
<i>Yeast</i> (PacBio)	BLEND-I	195.87	99.980	270,064	NA
	BLEND-S	142.31	99.975	179,039	NA
<i>Yeast</i> (ONT)	BLEND-I	97.88	99.964	134,919	NA
	BLEND-S	59.57	99.906	75,110	NA
<i>E. coli</i> (PacBio)	BLEND-I	97.51	100	83,924	NA
	BLEND-S	56.87	100	40,694	NA

Mapping Acc. – BLEND-I vs. BLEND-S

Dataset	Overall Error Rate (%)	
	BLEND-I	BLEND-S
<i>CHM13</i> (ONT)	1.5168427	5.996888
<i>Yeast</i> (PacBio)	0.2403134	0.6959378
<i>Yeast</i> (ONT)	0.2386617	0.6284117

BLEND Parameter Definitions

Parameter	Definition
-strobemers	Use the BLEND-S mechanism when generating the list of k-mers of a seed
-immediate	Use the BLEND-I mechanism when generating the list of k-mers of a seed
-H	Use homopolymer-compressed k-mers
-w INT	Window size used when finding minimizers.
-k INT	k-mer size used when generating the list of k-mers of a seed
-neighbors INT	Number of k-mers included in the list of seeds. Combination of both -k (k) and -neighbors (n) determines the seed length. Seed length in BLEND-S is calculated as: $k \times n$ Seed length in BLEND-I is calculated as: $k + (n - 1)$
-fixed-bits INT	Bit length of hash values that BLEND generates for each seed. Setting it to $2 \times k$ is the default behavior.
-t INT	Number of CPU threads to use.
-x STR	Preset for setting the default parameters given the use case (STR)
-x map-ont	Preset for mapping ONT reads. It uses the following parameters: -immediate -w 10 -k 9 -neighbors 7 -fixed-bits 30
-x map-pb	Preset for mapping erroneous PacBio reads. It uses the following parameters: -immediate -H -w 10 -k 13 -neighbors 7 -fixed-bits 32
-x map-hifi	Preset for mapping accurate long (HiFi) reads. It uses the following parameters: -strobemers -w 50 -k 19 -neighbors 5 -fixed-bits 38
-x sr	Preset for mapping short reads. It uses the following parameters: -immediate -w 11 -k 21 -neighbors 5 -fixed-bits 32
-x ava-ont	Preset for overlapping ONT reads. It uses the following parameters: -immediate -w 10 -k 15 -neighbors 5 -fixed-bits 30
-x ava-pb	Preset for overlapping erroneous PacBio reads. It uses the following parameters: -immediate -H -w 10 -k 19 -neighbors 5 -fixed-bits 38
-x ava-hifi	Preset for overlapping accurate long (HiFi) reads. It uses the following parameters: -strobemers -w 200 -k 25 -neighbors 7 -fixed-bits 50

Parameter Settings – Overlapping

Tool	Dataset	Parameters
BLEND	<i>CHM13 (HiFi)</i>	-x ava-hifi -t 32
BLEND	<i>D. ananassae (HiFi)</i>	-x ava-hifi -t 32
BLEND	<i>E. coli (HiFi)</i>	-x ava-hifi -t 32
BLEND	<i>CHM13 (ONT)</i>	-x ava-ont -t 32
BLEND	<i>Yeast (PacBio)</i>	-x ava-pb -t 32
BLEND	<i>Yeast (ONT)</i>	-x ava-ont -t 32
BLEND	<i>E. coli (PacBio)</i>	-x ava-pb -t 32
minimap2	<i>CHM13 (HiFi)</i>	-x ava-pb -Hk21 -w14 -t 32
minimap2	<i>D. ananassae (HiFi)</i>	-x ava-pb -Hk21 -w14 -t 32
minimap2	<i>E. coli (HiFi)</i>	-x ava-pb -Hk21 -w14 -t 32
minimap2	<i>CHM13 (ONT)</i>	-x ava-ont -t 32
minimap2	<i>Yeast (PacBio)</i>	-x ava-pb -t 32
minimap2	<i>Yeast (ONT)</i>	-x ava-ont -t 32
minimap2	<i>E. coli (PacBio)</i>	-x ava-pb -t 32
minimap2-Eq	<i>CHM13 (ONT)</i>	-x ava-ont -k19 -w10 -t 32
minimap2-Eq	<i>Yeast (PacBio)</i>	-x ava-pb -k23 -w10 -t 32
minimap2-Eq	<i>Yeast (ONT)</i>	-x ava-ont -k19 -w10 -t 32
minimap2-Eq	<i>E. coli (PacBio)</i>	-x ava-pb -k23 -w10 -t 32
MHAP	<i>CHM13 (HiFi)</i>	-store-full-id -ordered-kmer-size 18 -num-hashes 128 -num-min-matches 5 -ordered-sketch-size 1000 -threshold 0.95 -num-threads 32
MHAP	<i>D. ananassae (HiFi)</i>	-store-full-id -ordered-kmer-size 18 -num-hashes 128 -num-min-matches 5 -ordered-sketch-size 1000 -threshold 0.95 -num-threads 32
MHAP	<i>E. coli (HiFi)</i>	-store-full-id -ordered-kmer-size 18 -num-hashes 128 -num-min-matches 5 -ordered-sketch-size 1000 -threshold 0.95 -num-threads 32
MHAP	<i>Yeast (PacBio)</i>	-store-full-id -num-threads 32
MHAP	<i>Yeast (ONT)</i>	-store-full-id -num-threads 32
MHAP	<i>E. coli (PacBio)</i>	-store-full-id -num-threads 32

Parameter Settings – Read Mapping #1

Tool	Dataset	Parameters
BLEND	<i>CHM13 (HiFi)</i>	-ax map-hifi -t 32 -secondary=no
BLEND	<i>HG002 (HiFi)</i>	-ax map-hifi -t 32 -secondary=no
BLEND	<i>D. ananassae (HiFi)</i>	-ax map-hifi -t 32 -secondary=no
BLEND	<i>E. coli (HiFi)</i>	-ax map-hifi -t 32 -secondary=no
BLEND	<i>CHM13 (ONT)</i>	-ax map-ont -t 32 -secondary=no
BLEND	<i>Yeast (PacBio)</i>	-ax map-pb -t 32 -secondary=no
BLEND	<i>Yeast (ONT)</i>	-ax map-ont -t 32 -secondary=no
BLEND	<i>Yeast (Illumina)</i>	-ax sr -t 32
BLEND	<i>E. coli (PacBio)</i>	-ax map-pb -t 32 -secondary=no
minimap2	<i>CHM13 (HiFi)</i>	-ax map-hifi -t 32 -secondary=no
minimap2	<i>HG002 (HiFi)</i>	-ax map-hifi -t 32 -secondary=no
minimap2	<i>D. ananassae (HiFi)</i>	-ax map-hifi -t 32 -secondary=no
minimap2	<i>E. coli (HiFi)</i>	-ax map-hifi -t 32 -secondary=no
minimap2	<i>CHM13 (ONT)</i>	-ax map-ont -t 32 -secondary=no
minimap2	<i>Yeast (PacBio)</i>	-ax map-pb -t 32 -secondary=no
minimap2	<i>Yeast (ONT)</i>	-ax map-ont -t 32 -secondary=no
minimap2	<i>Yeast (Illumina)</i>	-ax sr -t 32
minimap2	<i>E. coli (PacBio)</i>	-ax map-pb -t 32 -secondary=no

Parameter Settings – Read Mapping #1

Winnowmap2	<i>CHM13 (HiFi)</i>	meryl count k=15 meryl print greater-than distinct=0.9998 -ax map-pb -t 32
Winnowmap2	<i>HG002 (HiFi)</i>	meryl count k=15 meryl print greater-than distinct=0.9998 -ax map-pb -t 32
Winnowmap2	<i>D. ananassae (HiFi)</i>	meryl count k=15 meryl print greater-than distinct=0.9998 -ax map-pb -t 32
Winnowmap2	<i>E. coli (HiFi)</i>	meryl count k=15 meryl print greater-than distinct=0.9998 -ax map-pb -t 32
Winnowmap2	<i>CHM13 (ONT)</i>	meryl count k=15 meryl print greater-than distinct=0.9998 -ax map-ont -t 32
Winnowmap2	<i>Yeast (PacBio)</i>	meryl count k=15 meryl print greater-than distinct=0.9998 -ax map-pb-clr -t 32
Winnowmap2	<i>Yeast (ONT)</i>	meryl count k=15 meryl print greater-than distinct=0.9998 -ax map-ont -t 32
Winnowmap2	<i>E. coli (PacBio)</i>	meryl count k=15 meryl print greater-than distinct=0.9998 -ax map-pb-clr -t 32
LRA	<i>CHM13 (HiFi)</i>	align -CCS -t 32 -p s
LRA	<i>HG002 (HiFi)</i>	align -CCS -t 32 -p s
LRA	<i>D. ananassae (HiFi)</i>	align -CCS -t 32 -p s
LRA	<i>E. coli (HiFi)</i>	align -CCS -t 32 -p s
LRA	<i>CHM13 (ONT)</i>	align -ONT -t 32 -p s
LRA	<i>Yeast (PacBio)</i>	align -CLR -t 32 -p s
LRA	<i>Yeast (ONT)</i>	align -ONT -t 32 -p s
LRA	<i>E. coli (PacBio)</i>	align -CLR -t 32 -p s
S-conLSH	<i>CHM13 (HiFi)</i>	-threads 32 -align 1
S-conLSH	<i>E. coli (HiFi)</i>	-threads 32 -align 1
S-conLSH	<i>CHM13 (ONT)</i>	-threads 32 -align 1
S-conLSH	<i>Yeast (PacBio)</i>	-threads 32 -align 1
S-conLSH	<i>Yeast (ONT)</i>	-threads 32 -align 1
S-conLSH	<i>E. coli (PacBio)</i>	-threads 32 -align 1
Strobealign	<i>Yeast (Illumina)</i>	-t 32

Challenges in Real-Time Analysis



Rapid analysis to match the nanopore sequencer throughput



Timely decisions to stop sequencing as early as possible



Accurate analysis from noisy raw signal data



Power-efficient computation for scalability and portability

Applications of Read Until

Depletion: Reads mapping to a particular reference genome is ejected

- Microbiome studies by removing host DNA
- Eliminating known residual DNA or RNA (e.g., mitochondrial DNA)
- High abundance genome removal

Enrichment: Reads **not** mapping to a particular reference genome is ejected

- Removing contaminated organisms
- Targeted sequencing (e.g., to a particular region of interest in the genome)
- Low abundance genome enrichment

Applications of Run Until & Sequence Until

Run Until: Stopping the entire sequencing run

- Stopping when reads reach to a particular depth of coverage
- Stopping when the abundance of all genomes reach a particular threshold

Sequence Until: Run Until with accuracy-aware decision making

- Stopping when relative abundance estimations do not change substantially (for high-abundance genomes)
- Stopping when finding that the sample is contaminated with a particular set of genomes
- ...

In Vitro (e.g., PCR) vs. In Silico

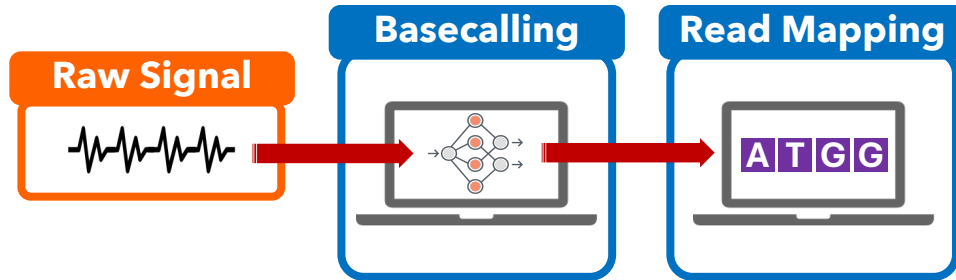
- **Polymerase Chain Reaction (PCR)** as a way of in vitro “analysis”
 - Can increase the quantity of DNA in a sample
 - **Non-dynamic** targeted sequencing (e.g., low abundance *known* targets)
 - **Requires additional resources:** Time and money for preparation and execution of PCR
- **Adaptive sampling** as a way of in silico (i.e., computational) analysis
 - **Cannot** increase the existing quantity of DNA in a sample
 - **Dynamic targeted sequencing:** Decisions can be made based on real-time analysis (e.g., Sequence Until)
 - Minimal additional resources
 - **Almost no additional resources** for preparation and execution
 - **Simultaneous** enrichment and depletion is possible
 - Better suited for rapid whole genome sequencing
 - *Beauty* of computational analysis (e.g., high flexibility - no need for primers)
- PCR and adaptive sampling can be combined depending on the analysis type

Finding Mapping Positions

- Useful for **any application** that requires exact genomic position
 - Variant calling in downstream analysis
 - Specifically: Identifying rare variants in cancer genomics
 - Methylation profiling
- Accurate and flexible **depth of coverage estimation**
 - **Alternative: DNA quantification** (without computational analysis)
 - DNA quantification is challenging for metagenomics analysis
 - **Computational method:** We can map to almost entire set of known reference genomes to accurately estimate the coverage of a metagenomics sample
- **Transcriptome analysis**
 - Accurately quantifying expression levels & alternative splicing
- **Better resolution** (i.e., more sensitive analysis) for any other application that does not specifically require mapping positions

Analyzing Raw Nanopore Signals

Traditional: Translating (**basecalling**) signals to bases **before** analysis

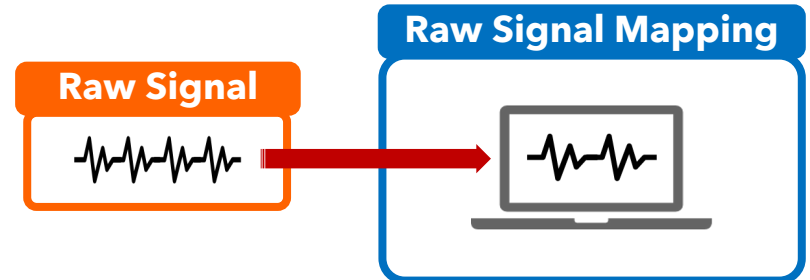


✓ Basecalled sequences are less noisy than raw signals

✓ Many analysis tools use basecalled sequences

✗ Costly and power-hungry computational requirements

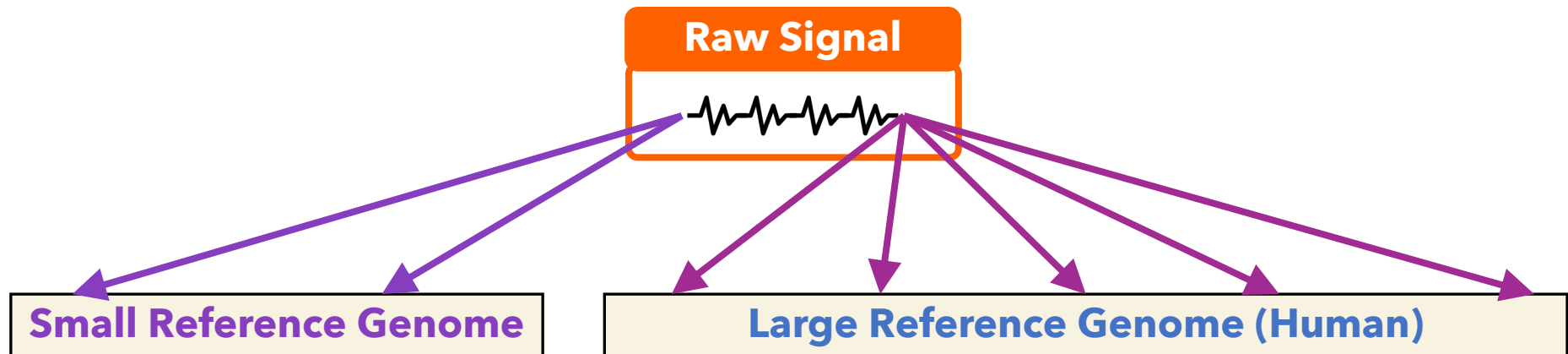
Recent Work: Directly analyzing signals **without basecalling**



✓ Efficient analysis with better scalability and portability

✓ Raw signals retain more information than just bases

The Problem – Mapping Raw Signals



Fewer candidate regions in **small genomes**

Substantially **larger number of regions** to check **per read** as the genome size increases

Accurate mapping

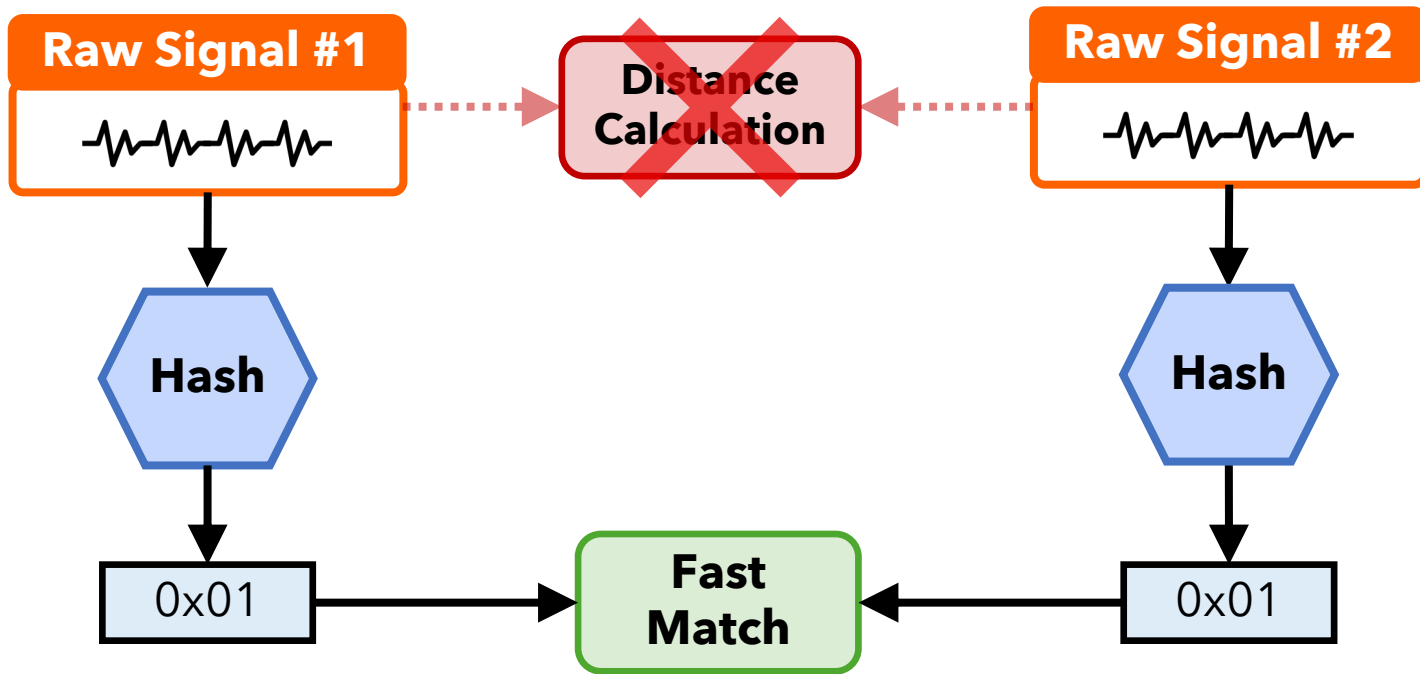
Problem: Probabilistic mechanisms on **many regions** → **inaccurate mapping**

High throughput

Problem: Distance calculation on **many regions** → **reduced throughput**

RawHash – Key Idea

Key Observation: **Identical** nucleotides generate **similar** raw signals

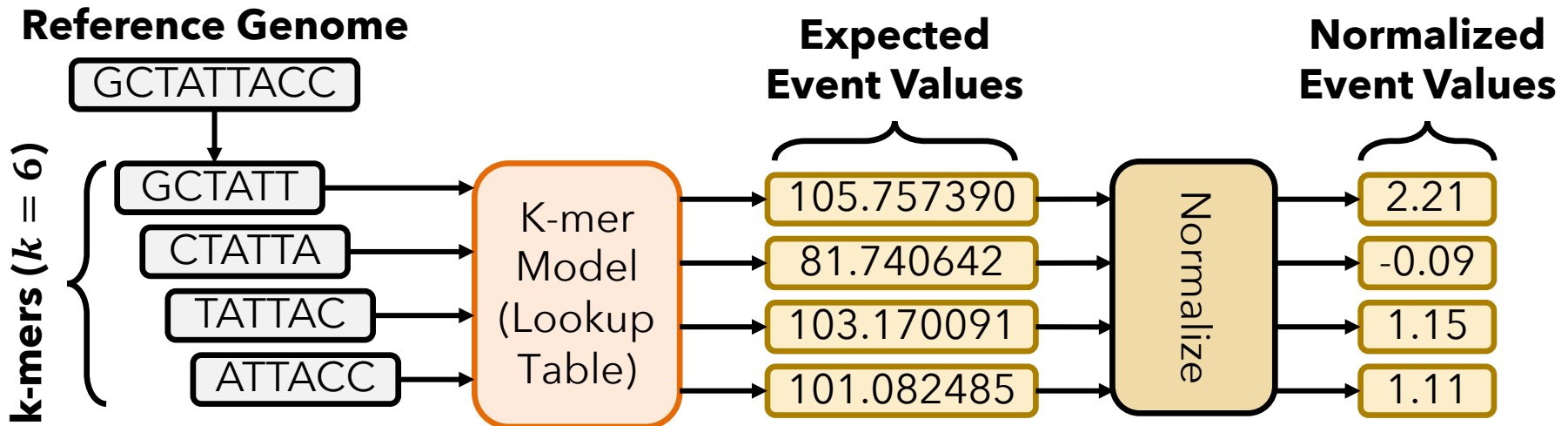


Challenge #1: Generating the **same** hash value for **similar enough** signals

Challenge #2: **Accurately** finding as **few** similar regions as possible

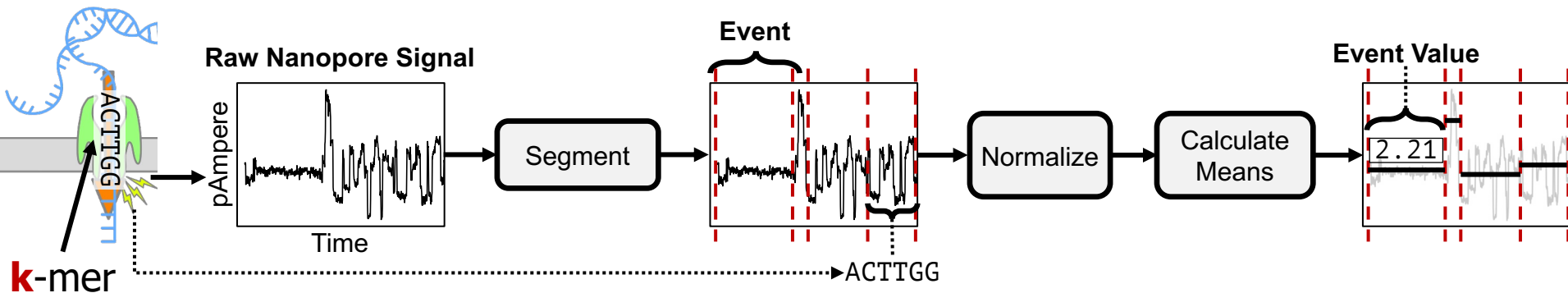
Reference-to-Event Conversion

- **K-mer model:** Provides **expected** event values **for each k-mer**
 - Preconstructed based on nanopore sequencer characteristics
- Use the **k-mer model** to convert **all k-mers** of a reference genome to their **expected** event values



Enabling Analysis From Electrical Signals

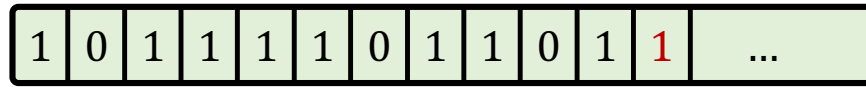
- K many nucleotides (k -mers) sequenced at a time
- **Event:** A **segment** of the raw signal
 - Corresponds to a **particular** k -mer



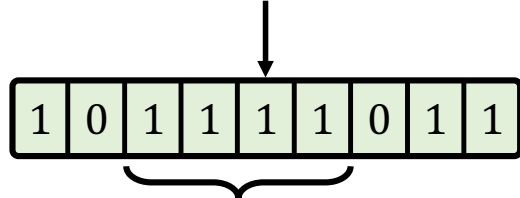
- **Observation:** Event values generated after sequencing **the same k -mer** are **similar** in value (not necessarily the same)

Quantization -- RawHash

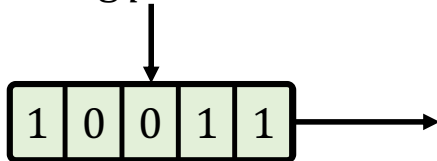
-0.091 in Binary:



Most significant $Q = 9$ bits:

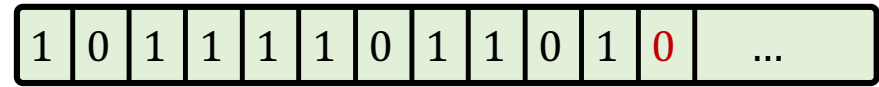


Pruning $p = 4$ bits:

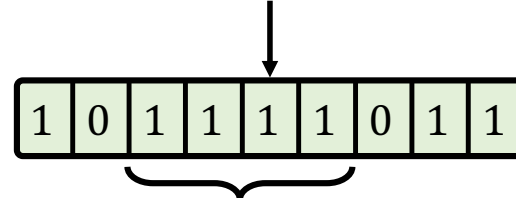


Quantized
Event Values

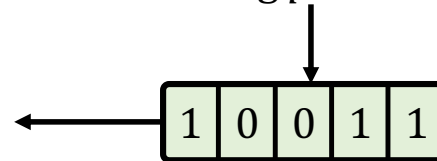
-0.084 in Binary:



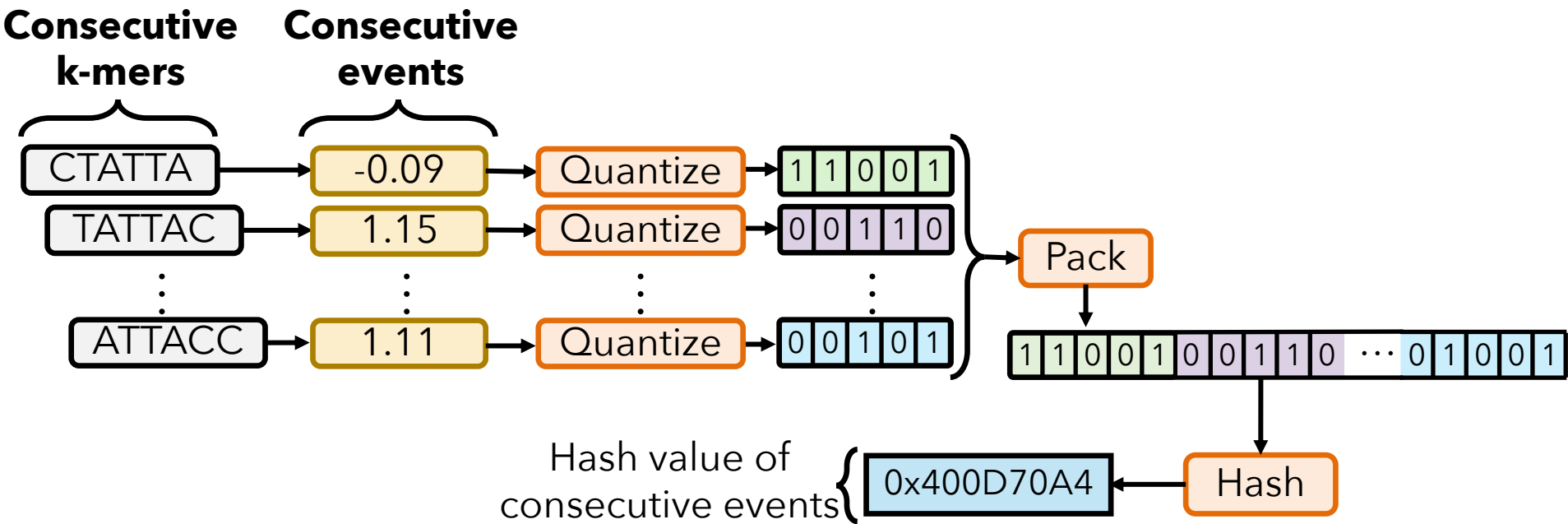
Most significant $Q = 9$ bits:



Pruning $p = 4$ bits:



Packing and Hashing



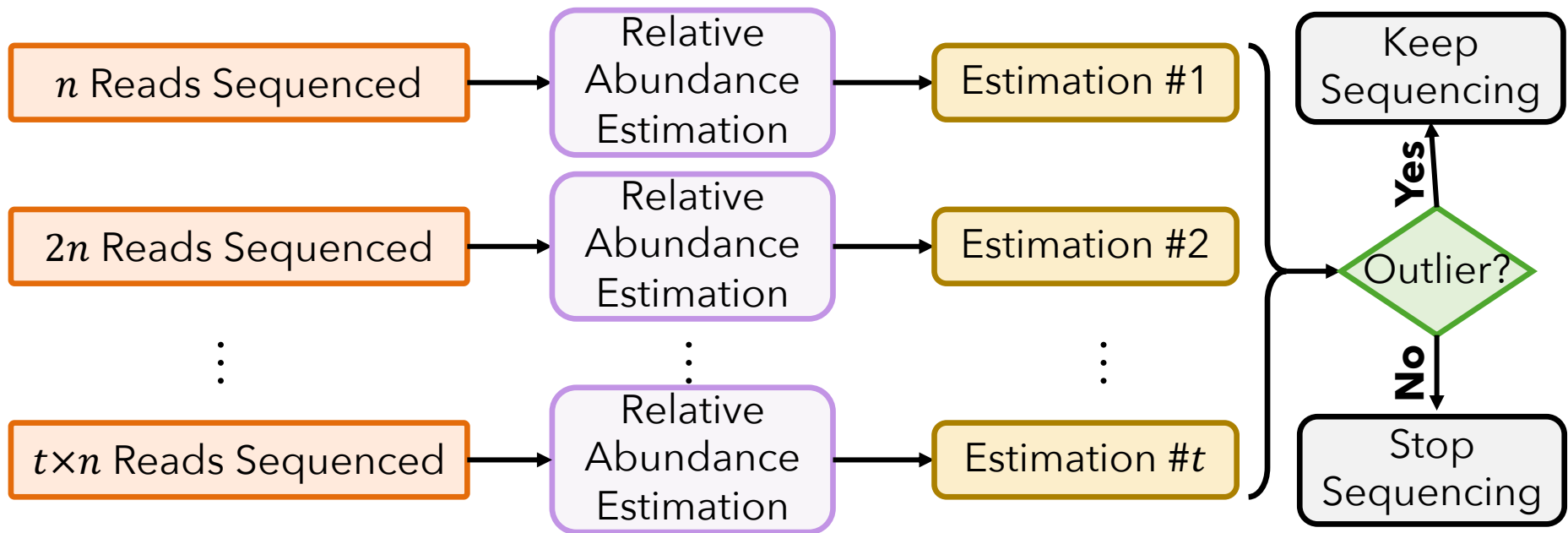
The Sequence Until Mechanism

- **Problem:**
 - Unnecessary sequencing waste time, power and money
- **Key Idea:**
 - **Dynamically** decide if further sequencing of the entire sample is necessary to achieve high accuracy
 - Stop sequencing early without sacrificing accuracy
- **Potential Benefits:**
 - Significant **reduction in sequencing time and cost**
- Example real-time genome analysis use case:
 - **Relative abundance estimation**

The Sequence Until Mechanism

- **Key Steps:**

1. Continuously generate relative abundance estimation after every n reads
2. Keep the last t estimation results
3. **Detect outliers** in the results via **cross-correlation** of the recent t results
4. Absence of outliers indicates **consistent results**
 - Further sequencing **is likely** to generate consistent results → Stop the sequencing



Sequence Until – RawHash & UNCALLED

Tool	Estimated Relative Abundance Ratios					
	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>	Distance
Ground Truth	0.0929	0.4365	0.0698	0.1179	0.2828	N/A
UNCALLED (25%)	0.0026	0.5890	0.0613	0.1332	0.2139	0.1910
RawHash (25%)	0.0271	0.4853	0.0920	0.0786	0.3170	0.0995
UNCALLED (10%)	0.0026	0.5906	0.0611	0.1316	0.2141	0.1920
RawHash (10%)	0.0273	0.4869	0.0963	0.0772	0.3124	0.1004
UNCALLED (1%)	0.0026	0.5750	0.0616	0.1506	0.2103	0.1836
RawHash (1%)	0.0259	0.4783	0.0987	0.0882	0.3088	0.0928
UNCALLED (0.1%)	0.0040	0.4565	0.0380	0.1910	0.3105	0.1242
RawHash (0.1%)	0.0212	0.5045	0.1120	0.0810	0.2814	0.1136
UNCALLED (0.01%)	0.0000	0.5551	0.0000	0.0000	0.4449	0.2602
RawHash (0.01%)	0.0906	0.6122	0.0000	0.0000	0.2972	0.2232

Sequence Until – RawHash

Estimated Relative Abundance Ratios in 50,000 Random Reads

Tool	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>	Distance
RawHash (100%)	0.0270	0.3636	0.3062	0.1951	0.1081	N/A
RawHash + <i>Sequence Until (7%)</i>	0.0283	0.3539	0.3100	0.1946	0.1133	0.0118

Presets

Preset (-x)	Corresponding parameters	Usage
viral	-e 5 -q 9 -l 3	Viral genomes
sensitive	-e 6 -q 9 -l 3	Small genomes (i.e., < 50M bases)
fast	-e 7 -q 9 -l 3	Large genomes (i.e., > 50M bases)

Versions – RawHash

Tool	Version
RawHash	0.9
UNCALLED	2.2
Sigmap	0.1
Minimap2	2.24

Related Works

- **Basecalled real-time analysis**

- ReadFish, ReadBouncer, RUBRIC: Basecalled read mapping
- SPUMONI, SPUMONI 2: Basecalled binary classification using r-index
- Coriolis: Basecalled metagenomics classification
- baseLess: k-mer calling for classification

- **Raw signal analysis without basecalling**

- SquiggleNet, DeepSelectNet, RawMap: Target/non-target classification
- Sigmoni: Target/non-target classification using r-index
- UNCALLED, Sigmap, RawHash: Read mapping

Adaptive Quantization

$$q(s) = \begin{cases} \lfloor n \times (f_r \times \frac{(s-f_{min})}{f_{max}-f_{min}}) \rfloor & \text{if } f_{min} \leq s \leq f_{max} \\ \lfloor n \times (f_r + c_r \times s) \rfloor & \text{if } s < f_{min} \\ \lfloor n \times (f_r + c_r + c_r \times s) \rfloor & \text{if } s > f_{max} \end{cases}$$

Chaining Scores – RawHash vs RawHash2

- **RawHash Chaining**

$$f(i) = \max \left\{ \max_{i>j \geq 1} \{f(j) + \alpha(j, i)\}, w_i \right\}$$

$$\alpha(j, i) = \min \left\{ \min\{y_i - y_j, x_i - x_j\}, w_i \right\}$$

- **RawHash2 Chaining**

$$f(i) = \max \left\{ \max_{i>j \geq 1} \{f(j) + \alpha(j, i) - \beta(j, i)\}, w_i \right\}$$

$$\beta(j, i) = \gamma_c((y_i - y_j) - (x_i - x_j))$$

$$\gamma_c(l) = \begin{cases} 0.01 \cdot \bar{w} \cdot |l| + 0.5 \log_2 |l| & (l \neq 0) \\ 0 & (l = 0) \end{cases}$$

Datasets

Organism	Device Type	Flow Cell Type	Transloc. Speed	Sampling Frequency	Basecaller Model	Reads (#)	Bases (#)	SRA Accession	Reference Genome	Genome Size	
Read Mapping											
D1	<i>SARS-CoV-2</i>	MinION	R9.4.1 e8 (FLO-MIN106)	450	4000	Guppy HAC v3.2.6	1,382,016	594M	CADDE Centre	GCF_009858895.2	29,903
D2	<i>E. coli</i>	GridION	R9.4.1 e8 (FLO-MIN106)	450	4000	Guppy HAC v5.0.12	353,317	2,365M	ERR9127551	GCA_000007445.1	5M
D3	<i>Yeast</i>	MinION	R9.4.1 e8 (FLO-MIN106)	450	4000	Albacore v2.1.7	49,989	380M	SRR8648503	GCA_000146045.2	12M
D4	<i>Green Algae</i>	PromethION	R9.4.1 e8 (FLO-PRO002)	450	4000	Albacore v2.3.1	29,933	609M	ERR3237140	GCF_000002595.2	111M
D5	<i>Human</i>	MinION	R9.4.1 e8 (FLO-MIN106)	450	4000	Guppy Flip-Flop v2.3.8	269,507	1,584M	FAB42260	T2T-CHM13 (v2)	3,117M
D6	<i>E. coli</i>	GridION	R10.4 e8.1 (FLO-MIN112)	450	4000	Guppy HAC v5.0.16	1,172,775	6,123M	ERR9127552	GCA_000007445.1	5M
D7	<i>S. aureus</i>	GridION	R10.4 e8.1 (FLO-MIN112)	450	4000	Dorado SUP v0.5.3	407,727	1,281M	SRR21386013	GCF_000144955.2	2.8M
Contamination Analysis											
D1 and D5						1,651,523	2,178M	D1 and D5	D1	29,903	
Relative Abundance Estimation											
D1-D5						2,084,762	5,531M	D1-D5	D1-D5	3,246M	

Accuracy

Dataset	Metric	RH2	RH2-Min.	RH	UNCALLED	Sigmap
SARS-CoV-2	F1	0.9867	0.9691	0.9252	0.9725	0.7112
E. coli	F1	0.9748	0.9631	0.9280	0.9731	0.9670
Yeast	F1	0.9602	0.9472	0.9060	0.9407	0.9469
Green Algae	F1	0.9351	0.9191	0.8114	0.8277	0.9350
Human	F1	0.7599	0.6699	0.5574	0.3197	0.3269
Contamination	Precision	0.9595	0.9424	0.8702	0.9378	0.7856
Rel. Abundance	Distance	0.2678	0.4243	0.4385	0.6812	0.5430

Mapping Accuracy – Radar

RawHash2

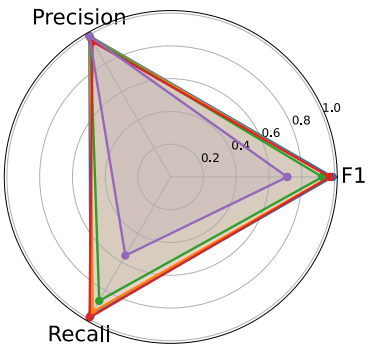
RawHash2-Minimizer

RawHash

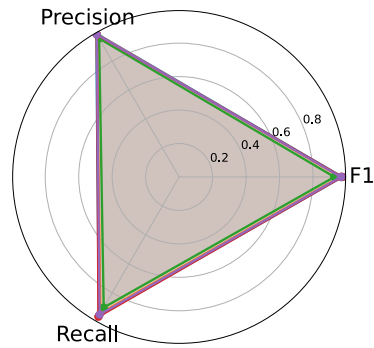
UNCALLED

Sigmap

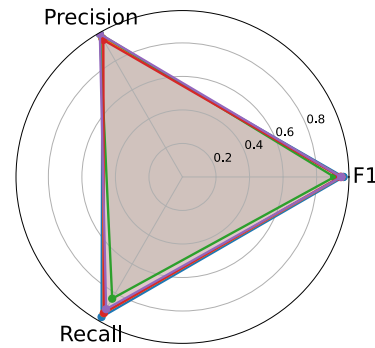
SARS-CoV-2



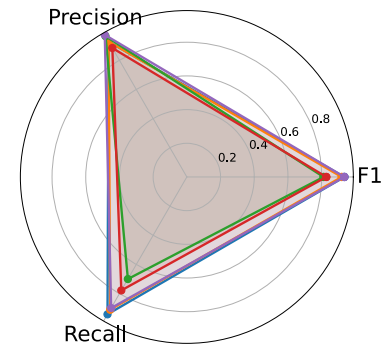
E. coli



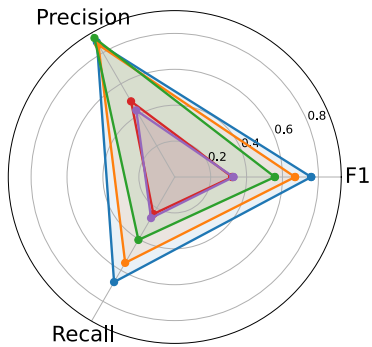
Yeast



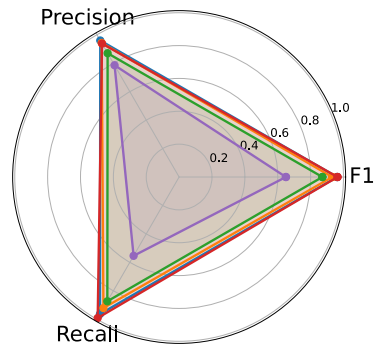
Green Algae



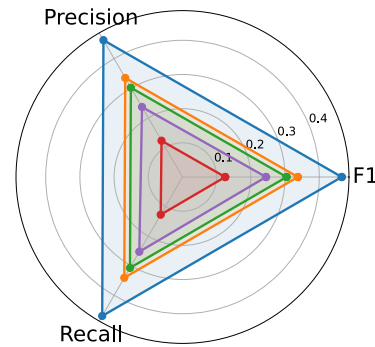
Human



Contamination



Relative Abundance



Mapping Accuracy – All Metrics

Dataset	Metric	RH2	RH2-Min.	RH	UNCALLED	Sigmap
SARS-CoV-2	F1	0.9867	0.9691	0.9252	0.9725	0.7112
	Precision	0.9939	0.9868	0.9832	0.9547	0.9929
	Recall	0.9796	0.9521	0.8736	0.9910	0.5540
E. coli	F1	0.9748	0.9631	0.9280	0.9731	0.9670
	Precision	0.9904	0.9865	0.9563	0.9817	0.9842
	Recall	0.9597	0.9408	0.9014	0.9647	0.9504
Yeast	F1	0.9602	0.9472	0.9060	0.9407	0.9469
	Precision	0.9553	0.9561	0.9852	0.9442	0.9857
	Recall	0.9652	0.9385	0.8387	0.9372	0.9111
Green Algae	F1	0.9351	0.9191	0.8114	0.8277	0.9350
	Precision	0.9284	0.9280	0.9652	0.8843	0.9743
	Recall	0.9418	0.9104	0.6999	0.7779	0.8987
Human	F1	0.7599	0.6699	0.5574	0.3197	0.3269
	Precision	0.8675	0.8511	0.8943	0.4868	0.4288
	Recall	0.6760	0.5523	0.4049	0.2380	0.2642
Contamination	F1	0.9614	0.9317	0.8718	0.9637	0.6498
	Precision	0.9595	0.9424	0.8702	0.9378	0.7856
	Recall	0.9632	0.9212	0.8736	0.9910	0.5540
Rel. Abundance	F1	0.4659	0.3375	0.3045	0.1249	0.2443
	Precision	0.4623	0.3347	0.3018	0.1226	0.2366
	Recall	0.4695	0.3404	0.3071	0.1273	0.2525

Combined Benefits – Radar

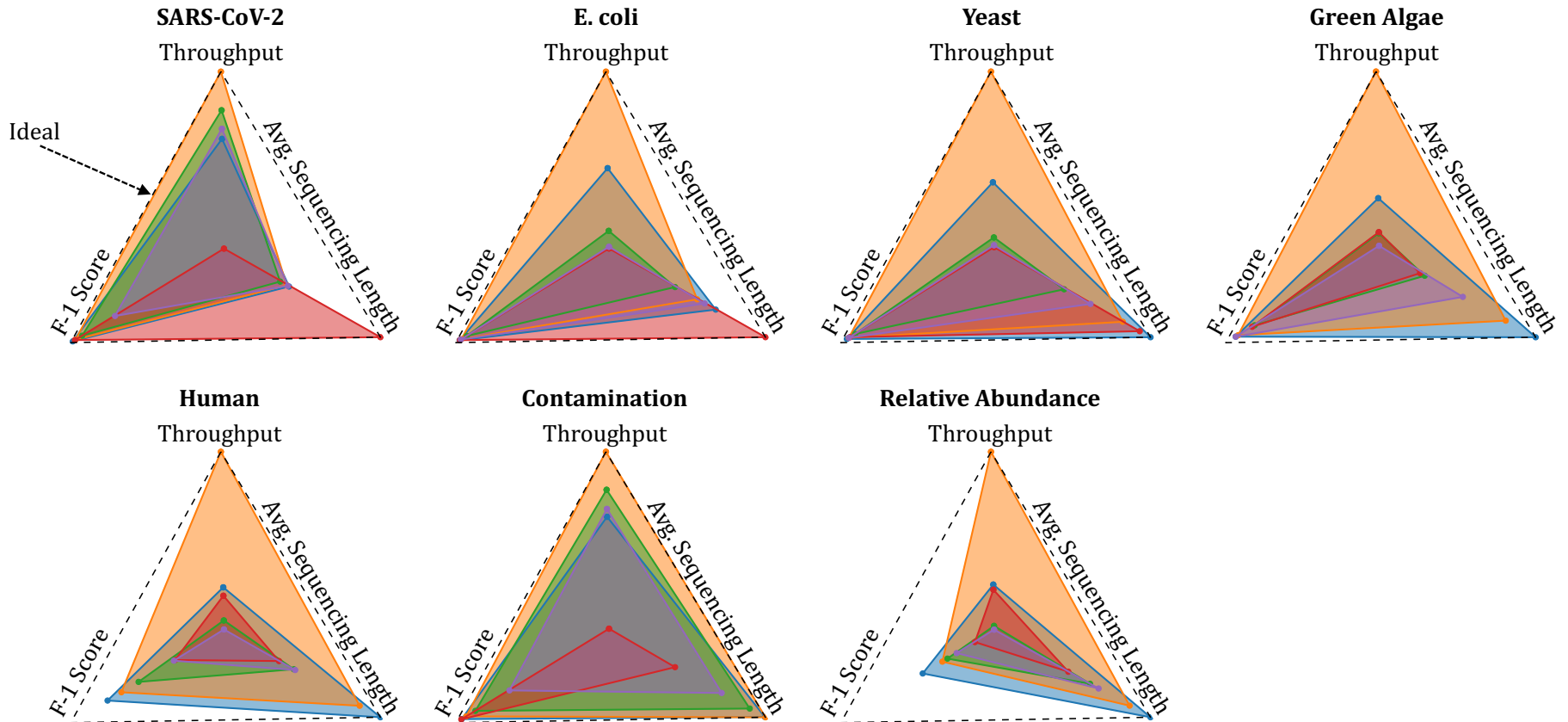
RawHash2

RawHash2-Minimizer

RawHash

UNCALLED

Sigmap



Sequenced Length

Dataset	RH2	RH2-Min.	RH	UNCALLED	Sigmap
SARS-CoV-2	443.92	460.85	513.95	184.51	452.38
E. coli	851.31	1,030.74	1,376.14	580.52	950.03
Yeast	1,147.66	1,395.87	2,565.09	1,233.20	1,862.69
Green Algae	1,385.59	1,713.46	4,760.59	5,300.15	2,591.16
Human	2,130.59	2,455.99	4,773.58	6,060.23	4,680.50
Contamination	670.69	667.89	742.56	1,582.63	927.82
Rel. Abundance	1,024.28	1,182.04	1,669.46	2,158.50	1,533.04

Computational Resources #1

Dataset	RH2	RH2-Min.	RH	UNCALLED	Sigmap
Indexing CPU Time (sec)					
SARS-CoV-2	0.12	0.06	0.16	8.40	0.02
E. coli	2.48	1.61	2.56	10.57	8.86
Yeast	4.56	3.02	4.44	16.40	25.29
Green Algae	27.60	17.73	24.51	213.13	420.25
Human	1,093.56	588.30	809.08	3,496.76	41,993.26
Contamination	0.13	0.06	0.15	8.38	0.03
Rel. Abundance	747.74	468.14	751.67	3,666.14	36,216.87
Indexing Peak Memory (GB)					
SARS-CoV-2	0.01	0.01	0.01	0.06	0.01
E. coli	0.35	0.19	0.35	0.11	0.40
Yeast	0.75	0.39	0.76	0.30	1.04
Green Algae	5.11	2.60	5.33	11.94	8.63
Human	80.75	40.59	83.09	48.43	227.77
Contamination	0.01	0.01	0.01	0.06	0.01
Rel. Abundance	152.59	75.62	152.84	47.80	238.32
Mapping CPU Time (sec)					
SARS-CoV-2	1,705.43	1,227.05	1,539.64	29,282.90	1,413.32
E. coli	1,296.34	787.49	7,453.21	28,767.58	22,923.09
Yeast	545.77	246.37	4,145.38	7,181.44	7,146.32
Green Algae	2,135.83	657.63	22,103.03	12,593.01	26,778.44
Human	100,947.58	21,860.05	1,825,061.23	245,128.15	6,101,179.89
Contamination	3,783.69	2,332.28	3,480.43	234,199.60	3,011.78
Rel. Abundance	250,076.90	62,477.76	4,551,349.79	569,824.13	15,178,633.11

Computational Resources #2

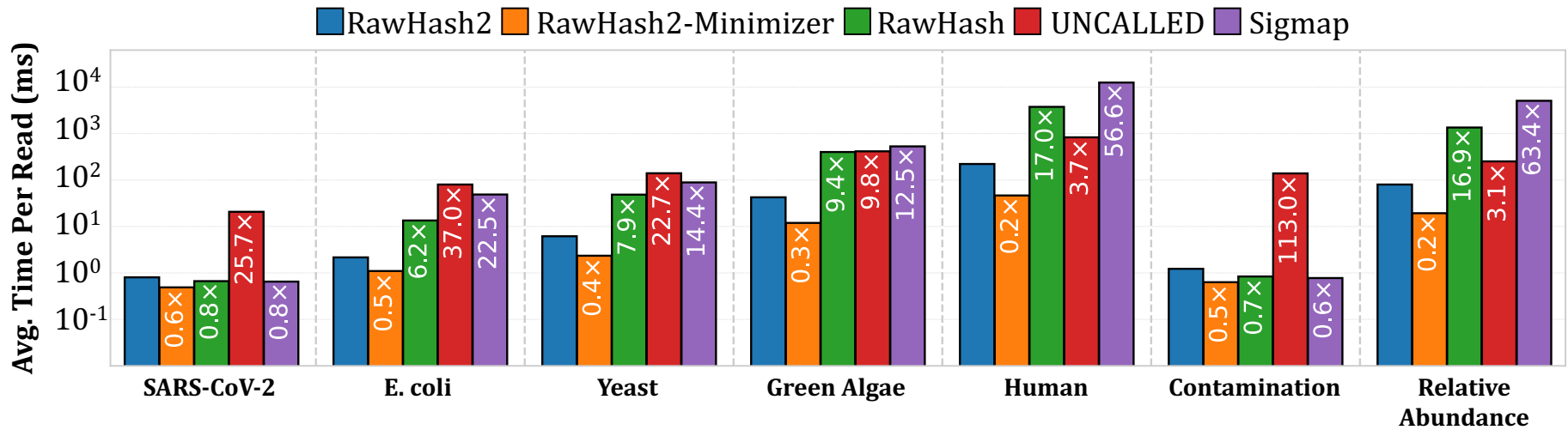
Mapping Peak Memory (GB)					
SARS-CoV-2	4.15	4.16	4.20	0.17	28.26
E. coli	4.13	4.03	4.18	0.50	111.12
Yeast	4.38	4.12	4.37	0.36	14.66
Green Algae	6.11	4.98	11.77	0.78	29.18
Human	48.75	25.04	52.43	10.62	311.94
Contamination	4.16	4.14	4.17	0.62	111.70
Rel. Abundance	49.14	25.82	54.89	8.99	486.63

Mapping Throughput (bp/sec)					
SARS-CoV-2	552,561.25	885,263.48	694,274.92	9,260.31	602,380.96
E. coli	303,382.45	659,013.57	72,281.32	7,515.76	13,750.97
Yeast	150,547.61	394,766.80	28,757.15	7,471.48	11,624.82
Green Algae	28,742.46	98,323.70	9,488.79	10,069.41	2,569.89
Human	8,968.78	37,086.38	2,099.35	7,225.67	236.45
Contamination	563,129.81	884,929.30	696,873.20	9,343.95	601,936.49
Rel. Abundance	9,501.37	36,919.79	962.79	8,437.70	196.48

CPU Threads Needed for the entire MinION Flowcell (512 pores)					
SARS-CoV-2	1	1	1	25	1
E. coli	1	1	4	31	17
Yeast	2	1	9	31	20
Green Algae	9	3	25	23	90
Human	26	7	110	32	975
Contamination	1	1	1	25	1
Rel. Abundance	25	7	240	28	1173



Average Time Spent per Read



FAST5 vs. POD5. vs S/BLOW5

Tool	<i>E. coli</i>	<i>Yeast</i>
Elapsed Time (mm:ss)		
RH2-FAST5	19:27	08:35
RH2-POD5	16:55	07:33
RH2-BLOW5	17:32	07:38
RH2-Min.-FAST5	12:13	03:56
RH2-Min.-POD5	09:42	02:56
RH2-Min.-BLOW5	10:16	03:02

Flow Cell Types R9 vs R10.4

Flow Cell		RH2	RH2-Min.
Read Mapping Accuracy (E. coli)			
R9.4	F1	0.9748	0.9631
	Precision	0.9904	0.9865
	Recall	0.9597	0.9408
R10.4	F1	0.8960	0.8389
	Precision	0.9506	0.9325
	Recall	0.8473	0.7623
Read Mapping Accuracy (S. aureus)			
R10.4	F1	0.7749	0.6778
	Precision	0.8649	0.8167
	Recall	0.7018	0.5793
Performance (E. coli)			
R9.4	Throughput [bp/sec]	303,382.45	659,013.57
	Mean time per read [ms]	2.161	1.099
R10.4	Throughput [bp/sec]	175,351.94	480,471.75
	Mean time per read [ms]	6.598	2.505
Performance (S. aureus)			
R10.4	Throughput [bp/sec]	256,680.4	617,308.7
	Mean time per read [ms]	5.478	2.243

Ratio of Filtered Seed Hits

Dataset	Average Filtered Ratio
SARS-CoV-2	0.0627
E. coli	0.5505
Yeast	0.5356
Green Algae	0.8106
Human	0.5104
E. coli (R10.4)	0.6895
S. aureus (R10.4)	0.6003

Presets

Preset	Corresponding parameters	Usage
viral	-e 6 -q 4 -max-chunks 5 -bw 100 -max-target-gap 500 -max-target-gap 500 -min-score 10 -chain-gap-scale 1.2 -chain-skip-scale 0.3	Viral genomes
sensitive	-e 8 -q 4 -fine-range 0.4	Small genomes (i.e., < 500M bases)
fast	-e 8 -q 4 -max-chunks 20	Large genomes (i.e., > 500M bases)
Other helper parameters		
depletion	-best-chains 5 -min-mapq 10 -w-threshold 0.5 -min-anchors 2 -min-score 15 -chain-skip-scale 0	Contamination analysis
r10	-k9 -seg-window-length1 3 -seg-window-length2 6 -seg-threshold1 6.5 -seg-threshold2 4 -seg-peak-height 0.2 -chain-gap-scale 1.2	For R10.4 Flow Cells

Versions

Tool	Version
RawHash2	2.1
RawHash	1.0
UNCALLED	2.3
Sigmap	0.1
Minimap2	2.24
FAST5 (HDF5)	1.10
POD5	0.2.2
S/BLOW5	1.2.0-beta

Datasets

	Organism	Device Type	Reads (#)	Bases (#)	Avg. Read Length	Estimated Coverage (×)	SRA Accession
D1	<i>SARS-CoV-2</i>	MinION	10,001	4.02M	402	135×	CADDE Centre
D2	<i>E. coli</i>	GridION	353,948	2,332M	6,588	445×	ERR9127551
D3	<i>Yeast</i>	MinION	50,023	385M	7,698	32×	SRR8648503
D4	<i>Green Algae</i>	PromethION	30,012	622M	20,731	5.6×	ERR3237140
D5	<i>Human</i>	MinION	270,006	1,773M	6,567	0.6×	FAB42260

Throughput

	D1	D2	D3	D4	D5
	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>
Throughput	2,065,764	2,720,702	2,128,800	1,668,065	3,579,472

Performance

Organism	Tool	Elapsed time (hh:mm:ss)	CPU time (sec)	Peak Mem. (GB)
D1 <i>SARS-CoV-2</i>	Rawsamble	0:00:03	33	1.07
	Minimap2	0:00:01 (0.33×)	19 (0.58×)	0.16 (0.15×)
	Minimap2 + Dorado CPU (Fast)	0:01:45 (35.00×)	3,227 (97.79×)	44.93 (41.99×)
	Minimap2 + Dorado CPU (HAC)	0:05:45 (115.00×)	5,457 (165.36×)	57.98 (54.19×)
	Minimap2 + Dorado GPU (HAC)	0:01:41 (33.67×)	NA	0.8 (0.75×)
	Minimap2 + Dorado GPU (SUP)	0:25:47 (515.67×)	NA	1.23 (1.15×)
D2 <i>E. coli</i>	Rawsamble	1:12:44	132,758	6.72
	Minimap2	0:14:25 (0.20×)	25,721 (0.19×)	26.73 (3.98×)
	Minimap2 + Dorado CPU (Fast)	7:17:05 (6.01×)	583,358 (4.39×)	50.43 (7.50×)
	Minimap2 + Dorado CPU (HAC)	32:26:12 (26.76×)	1,335,697 (10.06×)	38.0 (5.65×)
	Minimap2 + Dorado GPU (HAC)	0:36:14 (0.50×)	NA	26.73 (3.98×)
	Minimap2 + Dorado GPU (SUP)	1:30:30 (1.24×)	NA	26.73 (3.98×)
D3 <i>Yeast</i>	Rawsamble	0:01:18	2,241	6.39
	Minimap2	0:00:21 (0.27×)	290 (0.13×)	5.25 (0.82×)
	Minimap2 + Dorado CPU (Fast)	0:54:04 (41.59×)	71,796 (32.04×)	56.13 (8.78×)
	Minimap2 + Dorado CPU (HAC)	3:13:56 (149.18×)	193,640 (86.41×)	65.43 (10.24×)
	Minimap2 + Dorado GPU (HAC)	0:04:33 (3.50×)	NA	5.25 (0.82×)
	Minimap2 + Dorado GPU (SUP)	0:10:33 (8.12×)	NA	5.92 (0.93×)
D4 <i>Green Algae</i>	Rawsamble	0:07:57	14,064	8.67
	Minimap2	0:00:47 (0.10×)	882 (0.06×)	8.7 (1.00×)
	Minimap2 + Dorado CPU (Fast)	1:16:35 (9.63×)	79,606 (5.66×)	50.88 (5.87×)
	Minimap2 + Dorado CPU (HAC)	4:30:07 (33.98×)	286,362 (20.36×)	64.07 (7.39×)
	Minimap2 + Dorado GPU (HAC)	0:06:01 (0.76×)	NA	8.7 (1.00×)
	Minimap2 + Dorado GPU (SUP)	0:14:54 (1.87×)	NA	8.7 (1.00×)
D5 <i>Human</i>	Rawsamble	0:28:56	51,975	6.0
	Minimap2	0:01:52 (0.06×)	1,372 (0.03×)	20.21 (3.37×)
	Minimap2 + Dorado CPU (Fast)	6:42:24 (13.91×)	802,983 (15.45×)	81.98 (13.66×)
	Minimap2 + Dorado CPU (HAC)	23:27:18 (48.64×)	1,219,043 (23.45×)	46.12 (7.69×)
	Minimap2 + Dorado GPU (HAC)	0:20:24 (0.71×)	NA	20.31 (3.38×)
	Minimap2 + Dorado GPU (SUP)	1:05:48 (2.27×)	NA	20.21 (3.37×)

Overlapping Statistics

	Organism	Unique to Rawsamble (%)	Unique to Minimap2 (%)	Shared Overlaps (%)
D1	<i>SARS-CoV-2</i>	11.55	15.27	73.18
D2	<i>E. coli</i>	8.33	50.62	41.05
D3	<i>Yeast</i>	24.94	35.17	39.89
D4	<i>Green Algae</i>	3.76	78.64	17.61
D5	<i>Human</i>	32.69	56.18	11.13

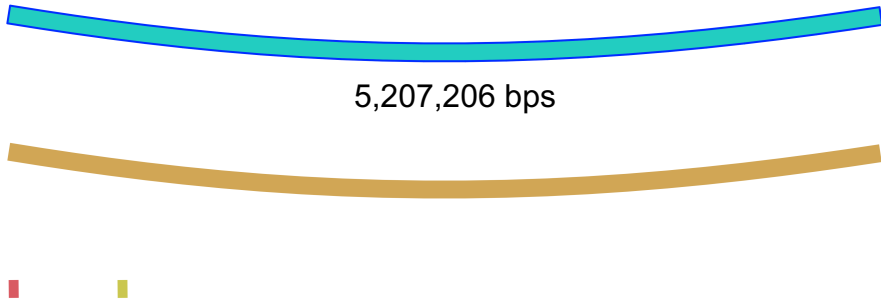
Assembly Statistics

Dataset	Tool	Total Length (bp)	Largest Comp. (bp)	N50 (bp)	auN (bp)	Longest Unitig (bp)	Unitig Count
D2 <i>E. coli</i>	Rawsamble	14,525,505	4,841,669	1,535,079	1,309,738	2,722,499	31
	minimap2	10,434,542	5,207,206	5,204,754	5,194,738	5,207,206	4
	Gold standard	5,235,343	5,235,343	5,235,343	5,235,343	5,235,343	1
D3 <i>Yeast</i>	Rawsamble	13,898,208	362,050	41,118	48,106	161,883	396
	minimap2	23,755,455	1,611,876	134,050	150,908	464,054	282
	Gold standard	11,963,521	11,835,059	640,934	623,210	1,073,346	68
D4 <i>Green Algae</i>	Rawsamble	3,448,899	448,422	93,111	108,818	252,038	50
	minimap2	2,117,190	198,709	63,310	88,906	198,709	55
	Gold standard	106,479,288	2,255,807	452,774	538,136	1,667,975	420
D5 <i>Human</i>	Rawsamble	1,850,419	493,004	51,300	116,049	364,113	48
	minimap2	747,607	65,951	19,476	22,103	48,424	61
	Gold standard	8,365,210	367,305	19,329	29,697	150,470	592

Visualizing the E. coli Assembly Graph

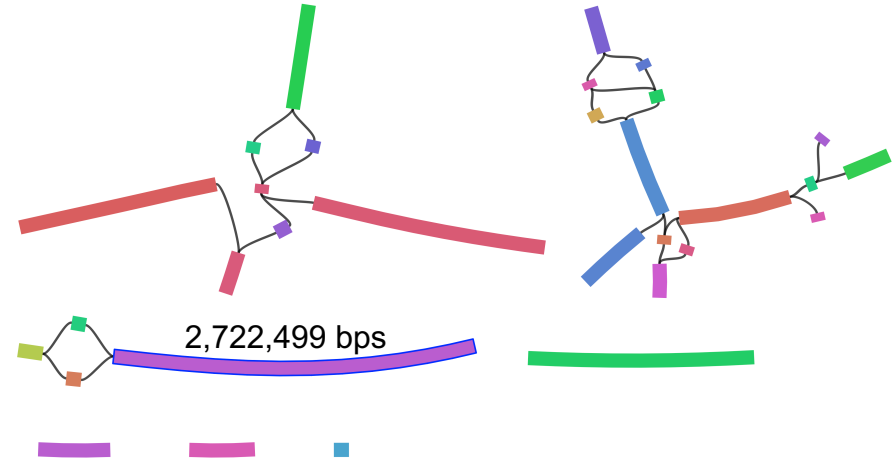
Minimap2 (D2)

5,207,206 bps



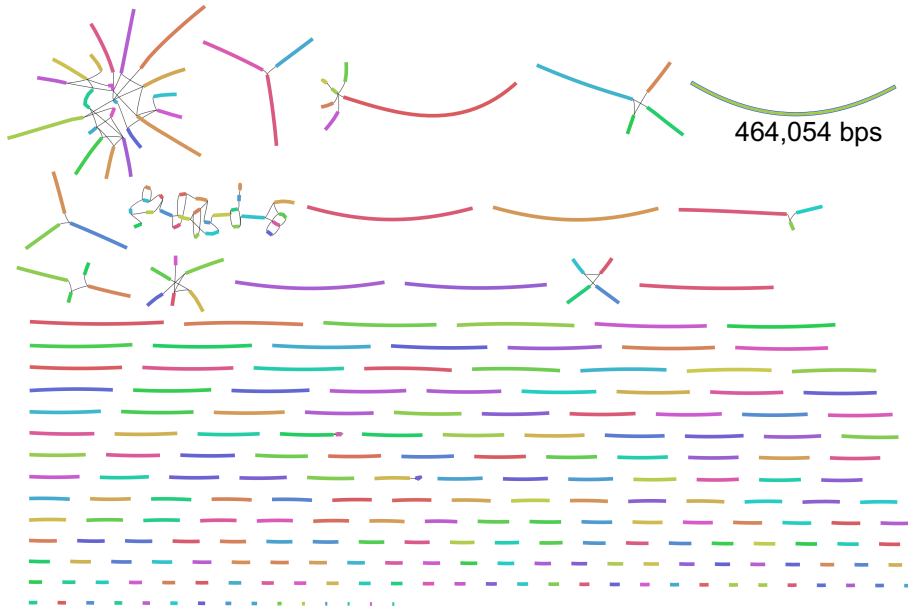
Rawsamblle (D2)

2,722,499 bps

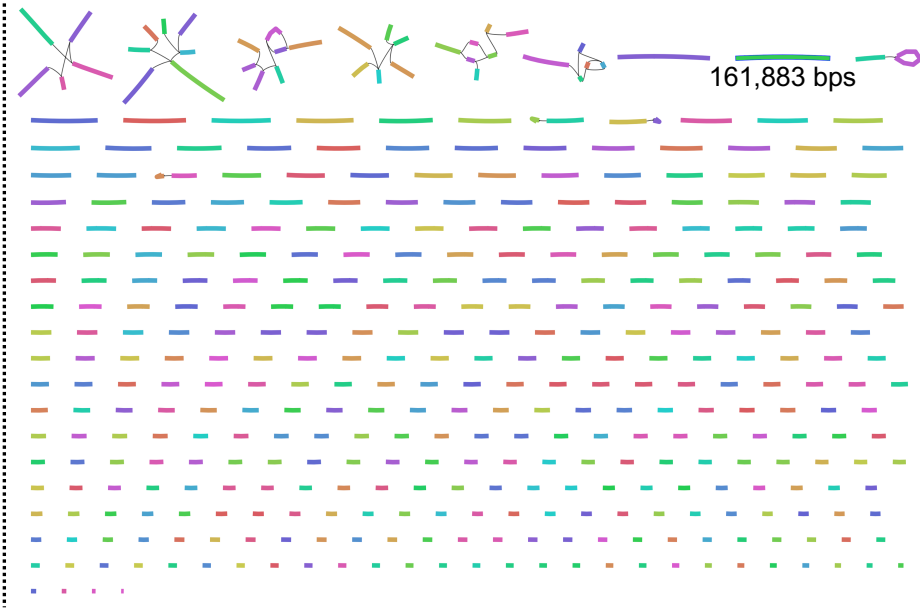


Visualizing the Yeast Assembly Graph

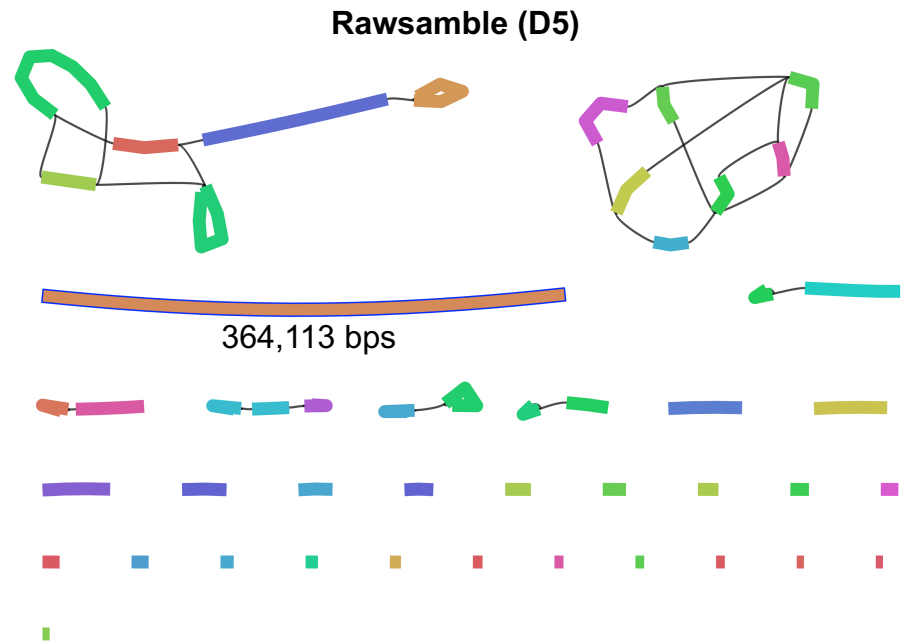
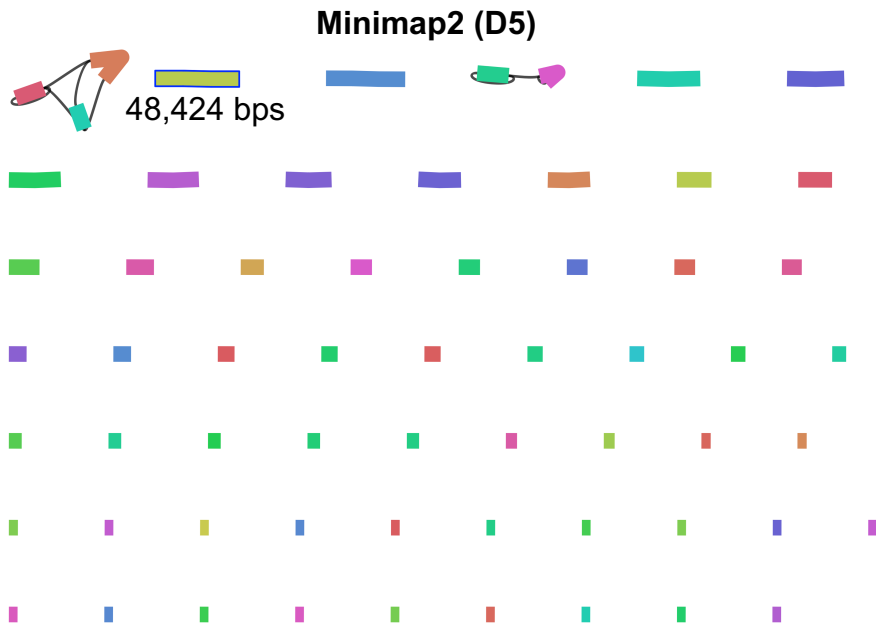
Minimap2 (D3)



Rawsamle (D3)



Visualizing the Human Assembly Graph



HERRO Correction Before and After

Dataset	Coverage Before Correction	Coverage After Correction
D2 <i>E. coli</i>	445×	240×
D3 <i>Yeast</i>	32×	12×
D4 <i>Green algae</i>	5.6×	3.7×
D5 <i>Human</i>	0.6×	0.002×

Parameters

Tool	D1 SARS-CoV-2	D2 E. coli	D3 Yeast	D4 Green Algae	D5 Human
Rawsambl	-x ava-viral -t 32	-x ava -t 32	-x ava -t 32	-x ava -t 32	-x ava -chain-gap-scale 0.6 -t 32
Minimap2	-x ava-ont -for-only -t 32				
Dorado CPU (Fast)	basecaller -x cpu dna_r9.4.1_e8_fast@v3.4				
Dorado CPU (HAC)	basecaller -x cpu dna_r9.4.1_e8_hac@v3.3				
Dorado GPU (HAC)	basecaller dna_r9.4.1_e8_hac@v3.3				
Dorado GPU (SUP)	basecaller dna_r9.4.1_e8_sup@v3.3				
Miniasm					

Presets

Preset	Corresponding parameters	Usage
ava-viral	<code>-e 6 -q 4 -w 0 -sig-diff 0.45 -fine-range 0.4 -min-score 20 -min-score2 30 -min-anchors 5 -min-mapq 5 -bw 1000 -max-target-gap 2500 -max-query-gap 2500 -chain-gap-scale 1.2 -chain-skip-scale 0.3</code>	Viral genomes
ava	<code>-e 8 -q 4 -w 3 -sig-diff 0.45 -fine-range 0.4 -min-score 40 -min-score2 75 -min-anchors 5 -min-mapq 5 -bw 5000 -max-target-gap 2500 -max-query-gap 2500</code>	Default case

Versions

Tool	Version
Rawsamble	2.1
Minimap2	2.24
Dorado	0.7.3
Miniasm	0.3-r179
Rawasm	main
Flye	2.9.5
HERRO	0.1