

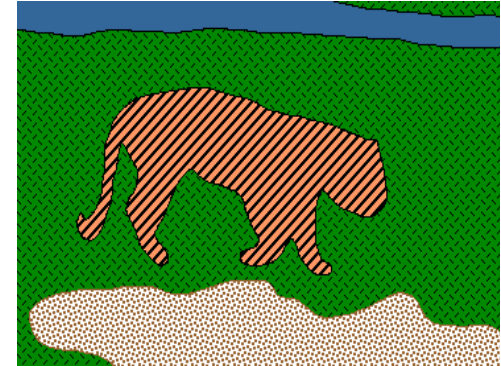


# Deformable Contour / Shape Matching



Slide sources: original from Kristen Grauman;  
updated by Vittorio Ferrari, Gabor Szekely, Orcun Goksel

## Pixel grouping problem



Goal: move from set of pixel values to spatial configuration of regions, objects, and shapes

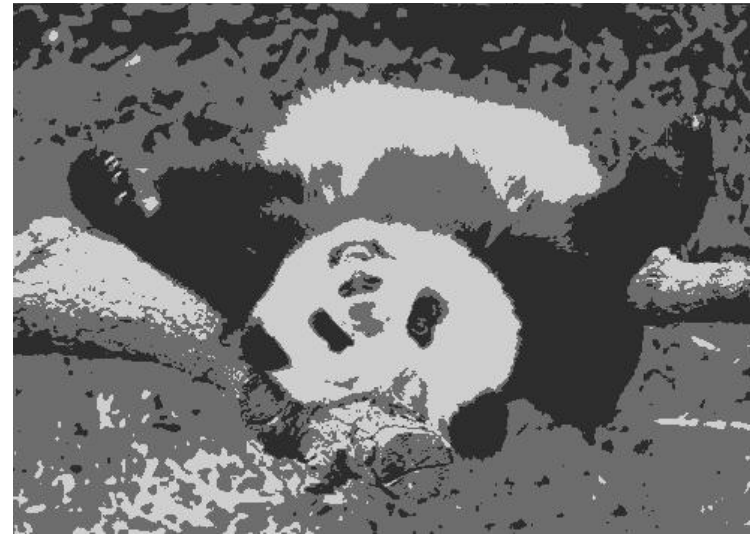
Similarly to: region growing, watershed

But differently: by considering boundary, curvature, shape, etc, information

## Pixels vs. regions



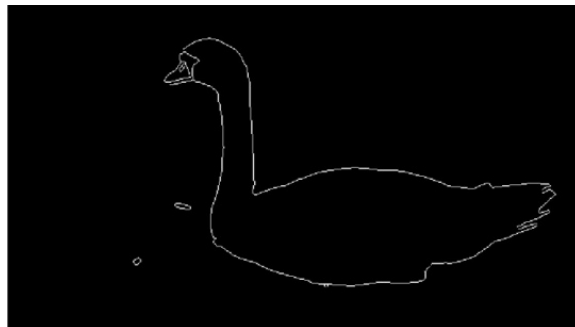
image



clusters of intensity

## Edges vs. boundaries

**Edges** are useful to infer shape and occlusion



Here the raw edge  
output is not so bad



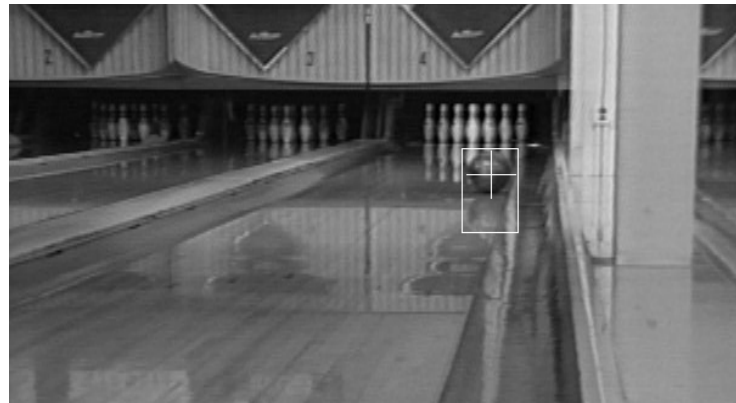
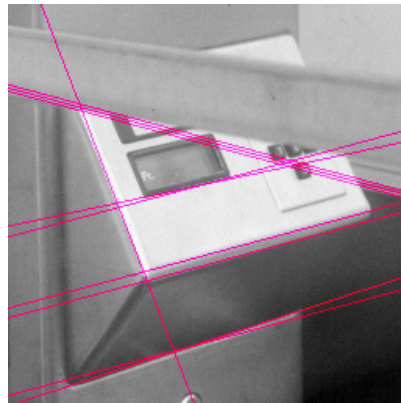
But, quite often the boundaries of  
interest are fragmented, and  
we have “clutter” edges

## Edges vs. boundaries

### Potential solution to missing edges

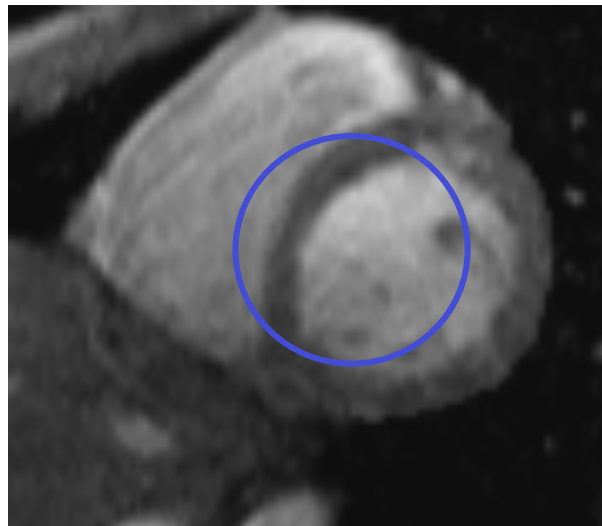
Given a model of target / object of interest, we can handle some missing and noisy edges using **fitting** techniques.

e.g. with voting methods like the **Hough transform**, detected points vote on possible model parameters.



## Active contour models: Snakes

Given: initial contour (model) near desired object



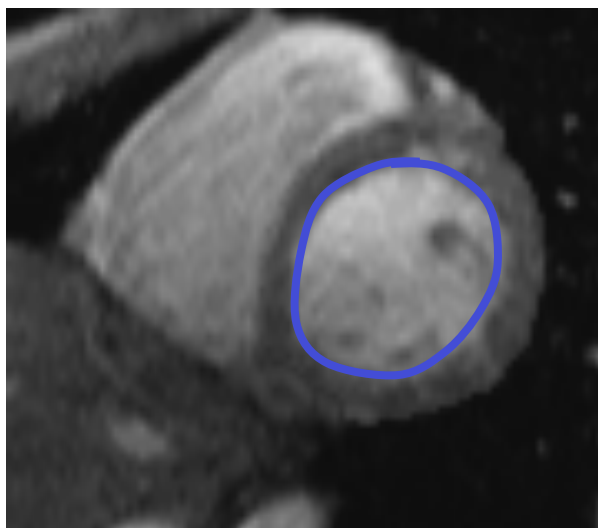
(Single frame)

Fig: Y. Boykov

## Snakes

Given: initial open or closed curve (model) near the desired structure

Goal: evolve the contour to fit exact object boundary



(Single frame)

Fig: Y. Boykov

## Snakes

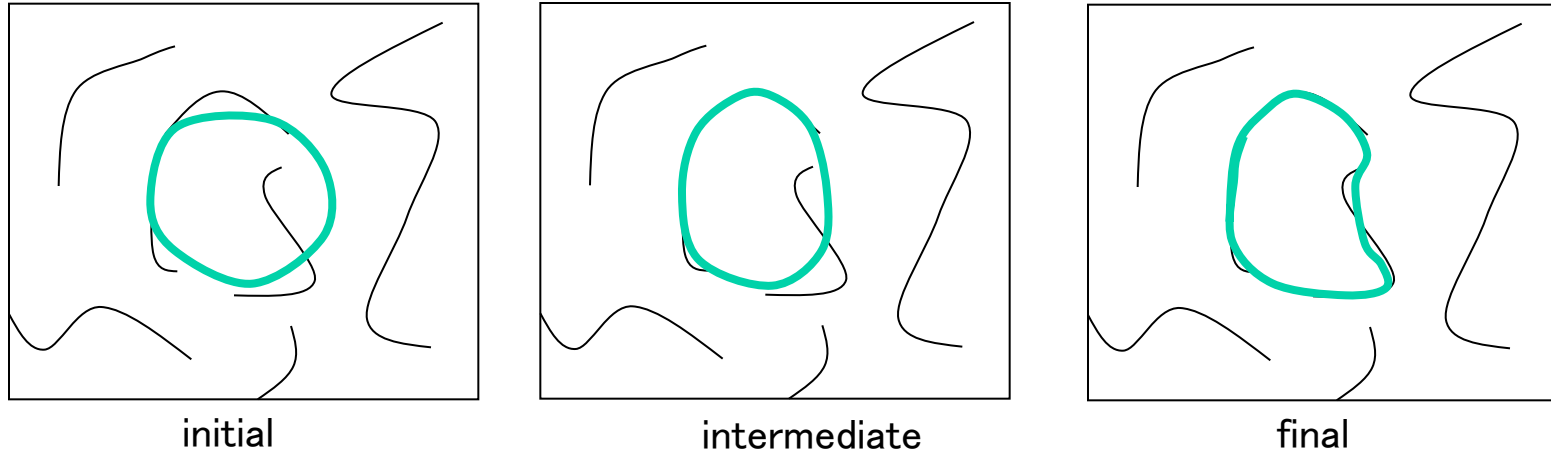


Fig: Y. Boykov

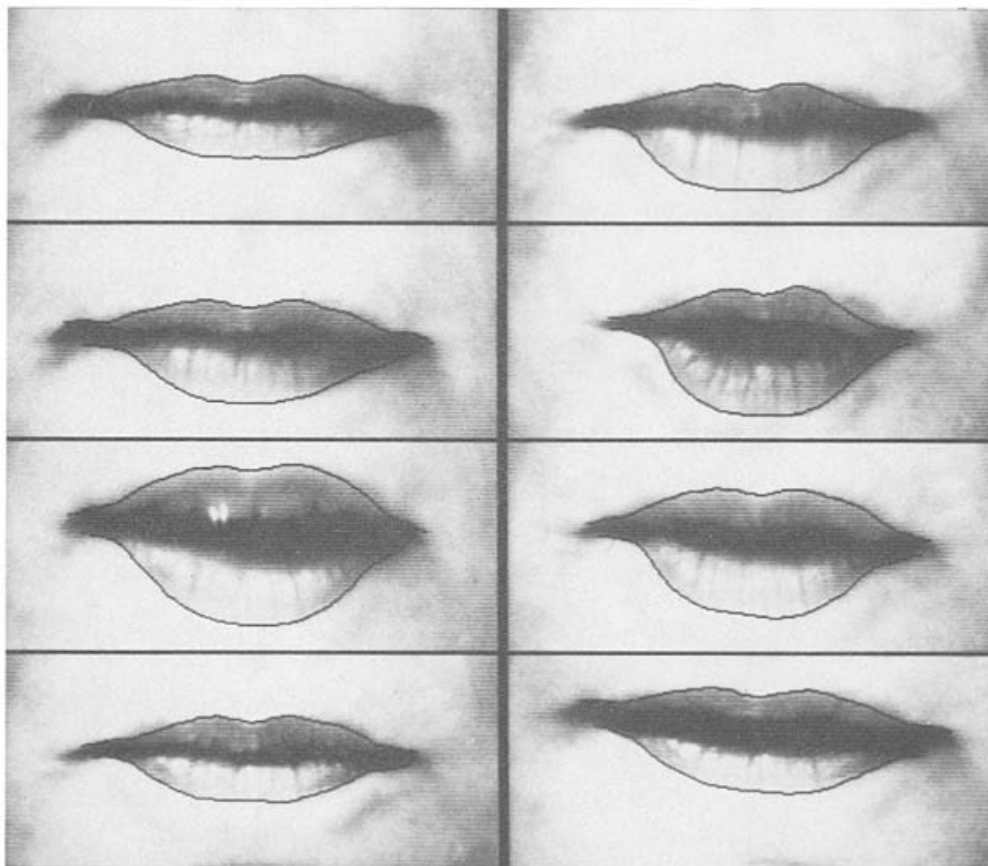
Initialize near contour of interest

Iteratively refine: elastic band is adjusted so as to

- be near image positions with high gradients, and
- satisfy shape “preferences” or contour priors
- requires initialization near object of interest
- one optimization “pass” to fit a single contour

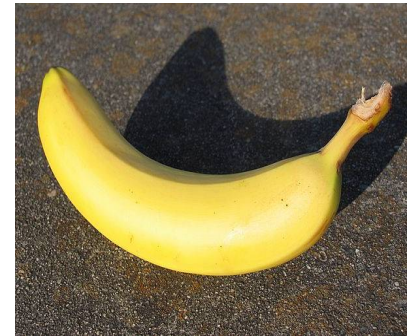


## Why to fit deformable shapes?



Deformable objects can change their shape over time, e.g. lips, hands.

## Why to fit deformable shapes?



Some objects have similar basic form but some variety in the contour shape.

## Deformable contours: intuition

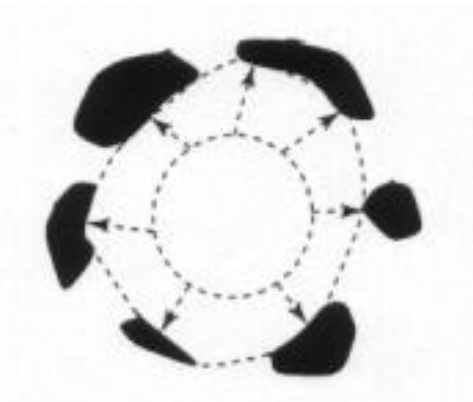
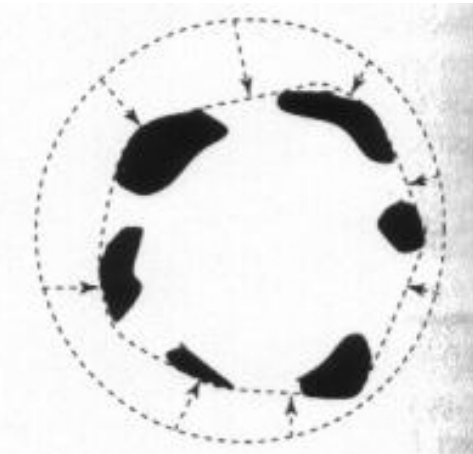
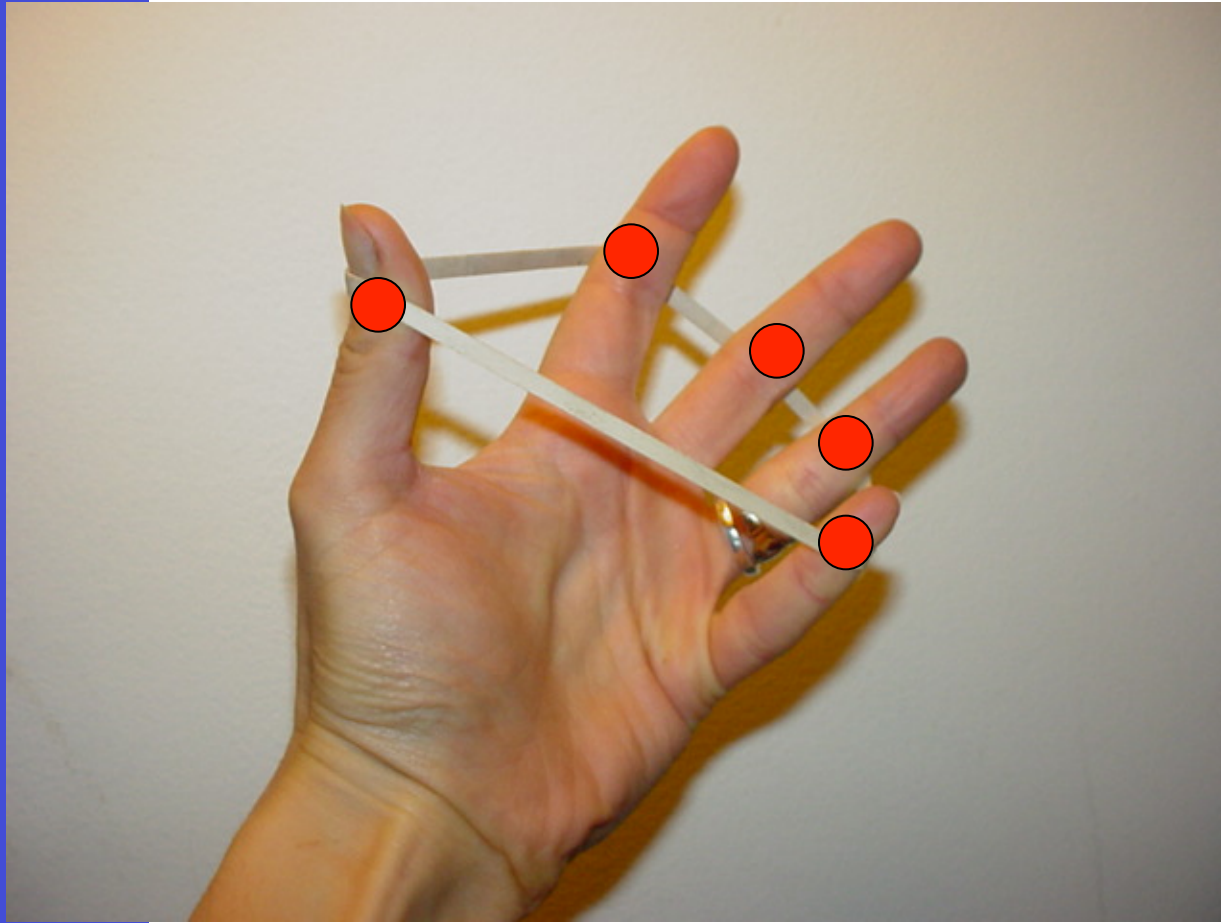


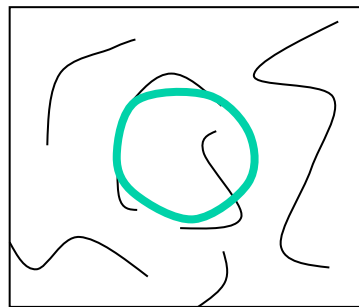
Image from [http://www.healthline.com/blogs/exercise\\_fitness/uploaded\\_images/HandBand2-795868.JPG](http://www.healthline.com/blogs/exercise_fitness/uploaded_images/HandBand2-795868.JPG)

Figures from Shapiro & Stockman

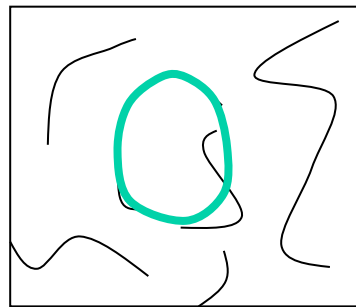
## Contour adjustment

How is the current contour adjusted to find the new contour at each iteration?

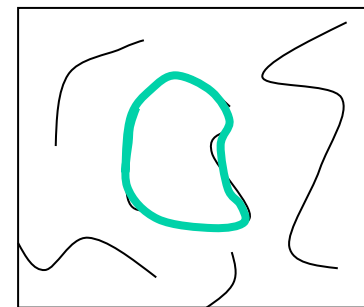
- Define energy function that says how good a configuration is
- Seek next configuration that minimizes energy



initial



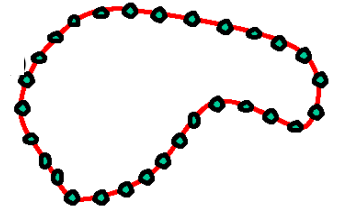
intermediate



final

## Snakes energy function

The total energy of the current snake is:



$$E_{total} = E_{internal} + E_{external}$$

**Internal** energy: encourage prior shape preferences: e.g. smoothness, elasticity, known shape prior

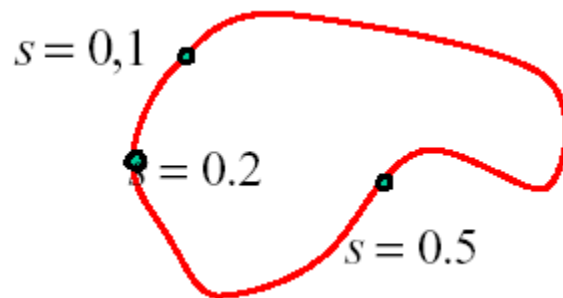
**External** energy (image energy): encourage contour to fit interesting image structures, e.g. edges

A **good** fit between the current snake and the target shape in the image will yield a **low** energy value.

## Parametric curve representation

Continuous case

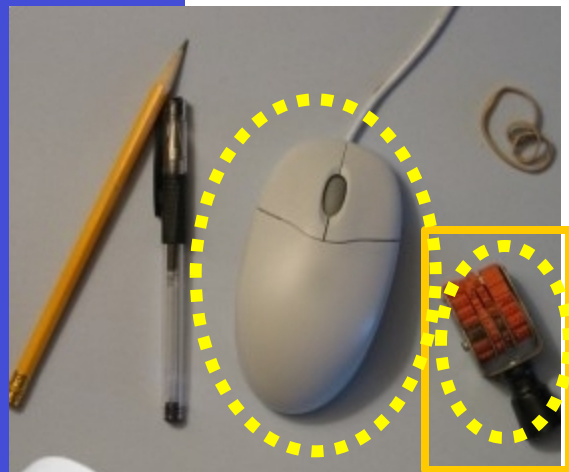
$$\mathbf{v}(s) = (x(s), y(s)) \quad 0 \leq s \leq 1$$



## External (image) energy: intuition

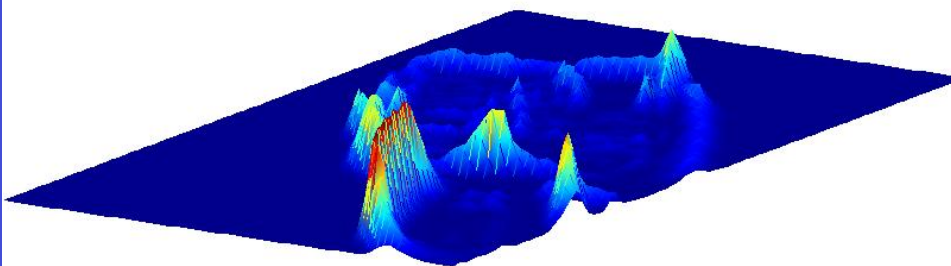
- Measure how well the curve matches the image data
- Attract the curve toward interesting image features
  - Edges, lines, etc.

## External (image) energy



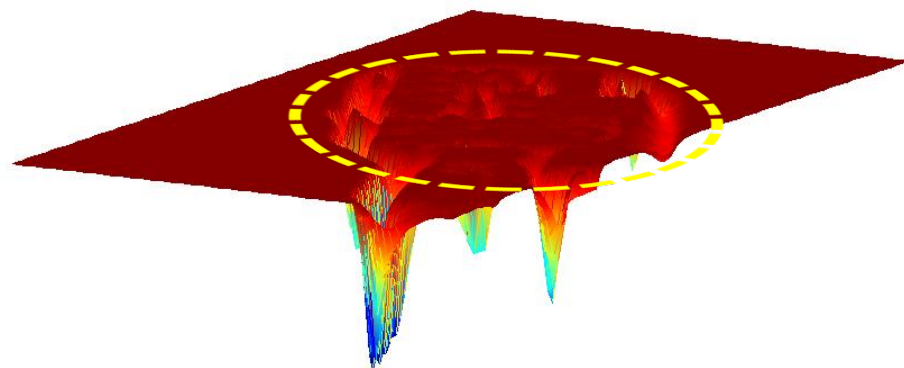
How do edges affect “snap” of rubber band?

Think of external energy from image as gravitational pull towards areas of high contrast



Magnitude of gradient

$$G_x(I)^2 + G_y(I)^2$$



- (Magnitude of gradient)

$$-\left(G_x(I)^2 + G_y(I)^2\right)$$



## External (image) energy

- Image  $I(x,y)$
- Directional derivatives

$$G_x(x, y) \quad G_y(x, y)$$

- External energy at a point  $\mathbf{v}(s)$  on the curve is

$$E_{external}(\mathbf{v}(s)) = -(|G_x(\mathbf{v}(s))|^2 + |G_y(\mathbf{v}(s))|^2)$$

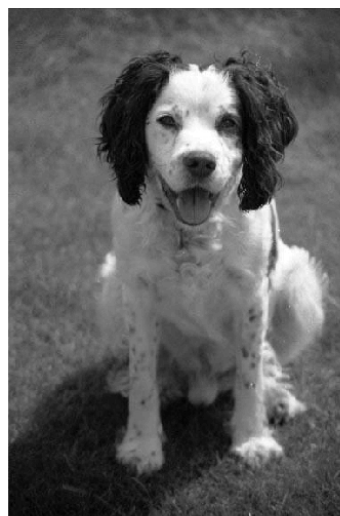
- External energy for the whole curve:

$$E_{external} = \int_0^1 E_{external}(\mathbf{v}(s)) ds$$

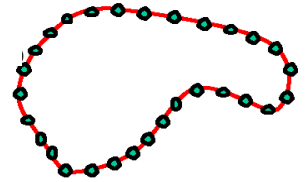
## Internal energy: intuition



*A priori*, we want to favor smooth shapes, contours with low curvature, contours similar to a known shape, etc. to balance what is actually observed in the gradient image.



# Internal energy



For a continuous curve, a common internal energy term is the “deformation energy”.

At some point  $\mathbf{v}(s)$  on the curve, this is:

$$E_{internal}(\mathbf{v}(s)) = \alpha \left| \frac{d\mathbf{v}}{ds} \right|^2 + \beta \left| \frac{d^2\mathbf{v}}{d^2s} \right|^2$$

Elasticity,  
Tension  
→ inhibit stretch

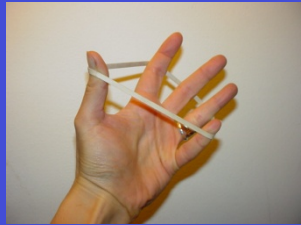
Stiffness,  
Curvature  
→ inhibit bend

*The more the curve stretches and bends → the larger this energy value is.*

*The weights  $\alpha$  and  $\beta$  dictate how much influence each component has.*

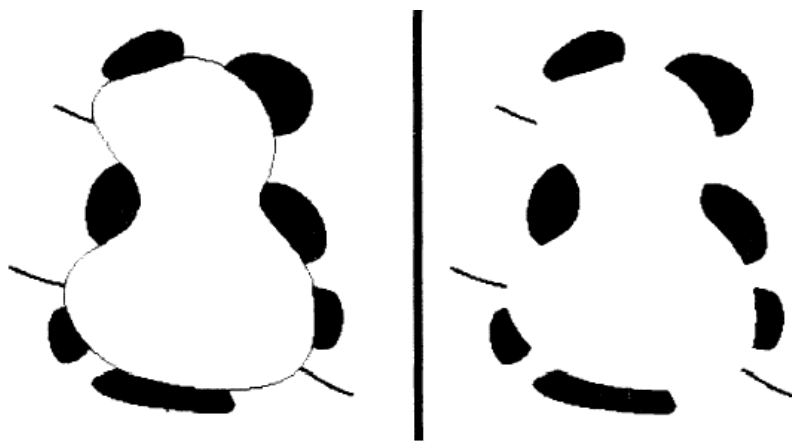
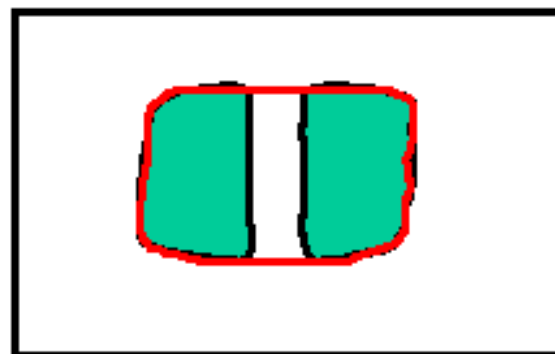
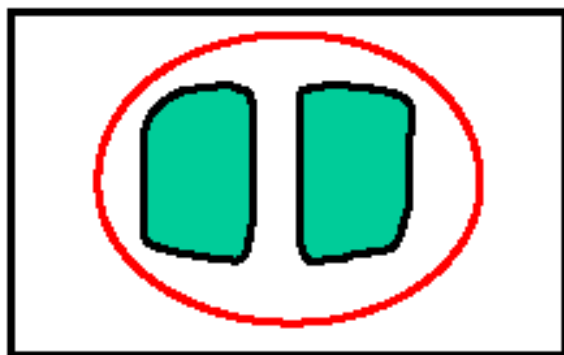
Internal energy for whole curve:

$$E_{internal} = \int_0^1 E_{internal}(\mathbf{v}(s)) ds$$



## Dealing with missing data

The smoothness constraints imposed by the internal energy helps dealing with missing data:



## Total energy

continuous form

$$E_{total} = E_{internal} + E_{external}$$

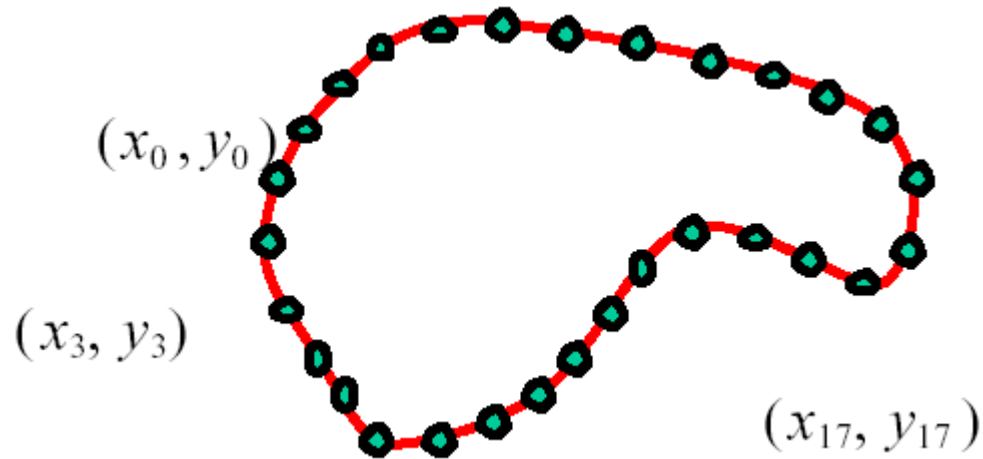
$$E_{internal} = \int_0^1 E_{internal}(v(s)) ds \quad \text{deformation energy}$$

$$E_{external} = \int_0^1 E_{external}(v(s)) ds \quad \text{total edge strength under curve}$$

## Parametric curve representation

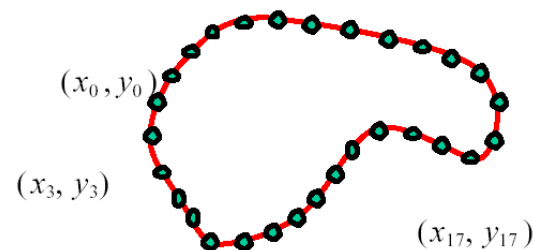
- Curve discretization
- Represent the curve with a set of  $n$  points

$$\mathbf{v}_i = (x_i, y_i) \quad i = 0 \dots n - 1$$

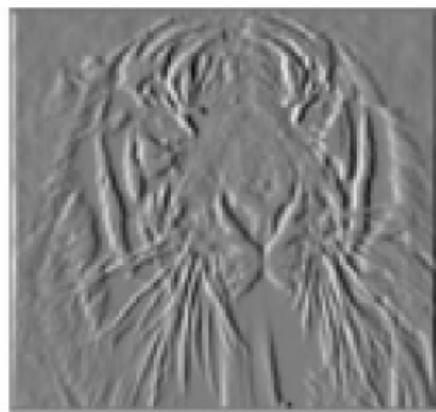


## Discrete energy function: external term

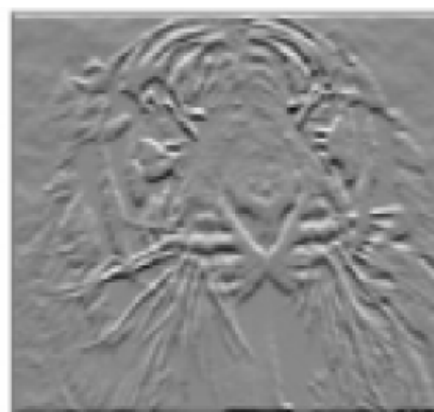
The curve is represented by  $n$  points



$$E_{external} = - \sum_{i=0}^{n-1} |G_x(x_i, y_i)|^2 + |G_y(x_i, y_i)|^2$$



$G_x(x, y)$



$G_y(x, y)$

Discrete image derivatives

Snakes:  
Discretize  
→ energy  
(image)

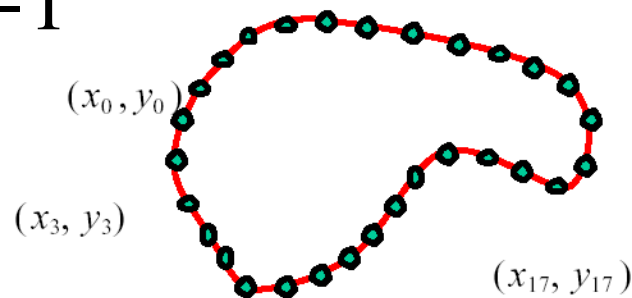
## Discrete energy function: internal term

The curve is represented by  $n$  points

$$\mathbf{v}_i = (x_i, y_i) \quad i = 0 \dots n-1$$

$$\frac{d\mathbf{v}}{ds} \approx \mathbf{v}_{i+1} - \mathbf{v}_i$$

$$\frac{d^2\mathbf{v}}{ds^2} \approx (\mathbf{v}_{i+1} - \mathbf{v}_i) - (\mathbf{v}_i - \mathbf{v}_{i-1}) = \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}$$



$$E_{internal} = \sum_{i=0}^{n-1} \boxed{\alpha \|\mathbf{v}_{i+1} - \mathbf{v}_i\|^2} + \boxed{\beta \|\mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}\|^2}$$

**Elasticity, Tension**
**Stiffness, Curvature**

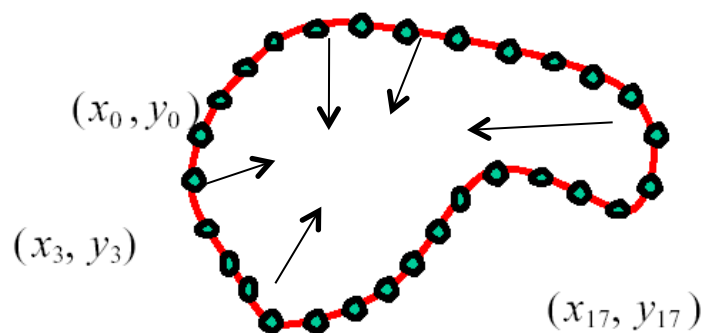
Snakes:  
Discretize  
→ energy  
(elastic)



## Penalizing extension (elasticity)

$$\sum_{i=0}^{n-1} \alpha \left\| \mathbf{v}_{i+1} - \mathbf{v}_i \right\|^2 + \beta \left\| \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1} \right\|^2$$

Prefers shorter curves



Problem with this definition:  
encourages a closed curve  
to shrink to a cluster of  
coincident points.

Possible remedy: adjusting energy term

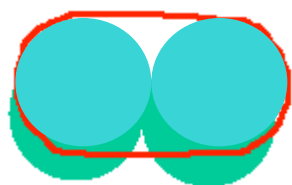
$$E_{internal} = \sum_{i=0}^{n-1} \alpha \left( \left\| \mathbf{v}_{i+1} - \mathbf{v}_i \right\| - 1 \right)^2 + \beta \left\| \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1} \right\|^2$$

Encourages equal spacing but makes optimization harder

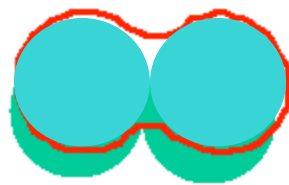
## Function of the weights

$$\sum_{i=0}^{n-1} \alpha \|v_{i+1} - v_i\|^2 + \beta \|v_{i+1} - 2v_i + v_{i-1}\|^2$$

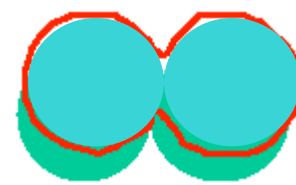
$\alpha, \beta$  weights control the penalty for deformation  
( $\alpha$  for stretching and  $\beta$  for bending)



large



medium

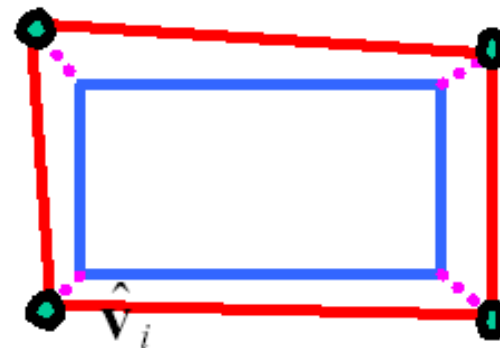


small

## Optional: specify shape prior

If object is some smooth variation on a known shape, we can add a term to penalize deviation from that shape:

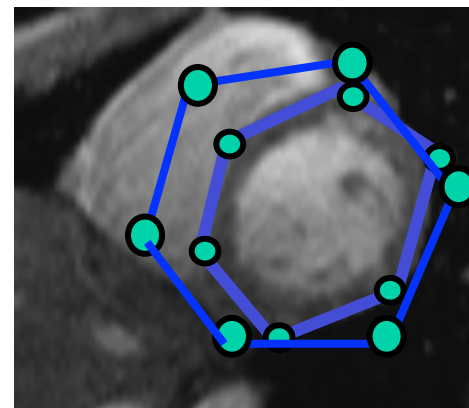
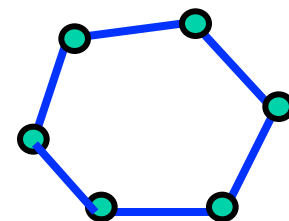
$$\delta \sum_{i=0}^{n-1} (v_i - \hat{v}_i)^2$$



where  $\{\hat{v}_i\}$  are the points of the known shape

## Summary

- A simple elastic snake is defined by
  - A set of  $n$  points,
  - An internal deformation energy term
  - An external edge-based energy term
- Use to locate the outline of an object
  - Initialize in the vicinity of the object
  - Modify the points to minimize the total energy



## Energy minimization

Several methods proposed to fit snakes,  
including methods based on :

- Partial Differential Equations (PDEs)
- Greedy search
- Dynamic programming (for 2d snakes)

# Energy minimization through PDEs

Energy to minimize (continuous case)

$$\frac{1}{2} \int_0^1 \left( \alpha(s) \left\| \frac{\partial v}{\partial s} \right\|^2 + \beta(s) \left\| \frac{\partial^2 v}{\partial s^2} \right\|^2 \right) ds - \int_0^1 P(v) ds$$

deformation energy

image energy  
(gradients)

Variational calculus  $\rightarrow$  Euler-Lagrange differential equation

$$-\frac{\partial}{\partial s} \left( \alpha(s) \frac{\partial v}{\partial s} \right) + \frac{\partial^2}{\partial s^2} \left( \beta(s) \frac{\partial^2 v}{\partial s^2} \right) = -\vec{\nabla} P(v)$$

# Energy minimization through PDEs

with weights uniform over the snake,  
written in 2 axes:

$$\begin{aligned}
 -\alpha \frac{\partial^2 x}{\partial s^2} + \beta \frac{\partial^4 x}{\partial s^4} &= -\frac{\partial P}{\partial x} \\
 -\alpha \frac{\partial^2 y}{\partial s^2} + \beta \frac{\partial^4 y}{\partial s^4} &= -\frac{\partial P}{\partial y}
 \end{aligned}$$

in short notation

$$-\alpha v_{ss} + \beta v_{ssss} = -P_v$$

find solution in iterative fashion

(using image gradients at position in previous iteration  $t-1$ )

$$-\alpha v_{ss}^t + \beta v_{ssss}^t = -P_v \Big|_{v=v^{t-1}}$$

Snakes:

Optimization  
(PDEs)

> continuous

> iterative sol

# Energy minimization through PDEs

Implementation: discretize curve into  $n$  points  $v_i$   
 use a finite difference approximation of derivatives

$$v_{ss}^t(s_i) \approx v_{i-1}^t - 2v_i^t + v_{i+1}^t$$

$$v_{ssss}^t(s_i) \approx v_{i-2}^t - 4v_{i-1}^t + 6v_i^t - 4v_{i+1}^t + v_{i+2}^t$$

Substituting in the iteration scheme  $\rightarrow$  yields system of linear equations

$$-\alpha v_{ss}^t + \beta v_{ssss}^t = -P_v \Big|_{v=v^{t-1}}$$

Stiffness matrix  $\mathbf{K}$ :

- penta-diagonal
- made of coeffs  $\alpha, \beta$

$$\mathbf{K}v^t = -P_v \Big|_{v=v^{t-1}}$$

Solve as a linear system ( backward-substitution or by inverting  $\mathbf{K}$  )

$$v^t = \mathbf{K}^{-1} P_v \Big|_{v=v^{t-1}}$$



## Inversion of the stiffness matrix

$$v^t = \mathbf{K}^{-1} P_v \Big|_{v=v^{t-1}}$$

**Problem:  $\mathbf{K}$  is singular**

Unique solution needs boundary conditions

Closed contour: “The end is the beginning” (periodicity)

So, **boundary conditions could be given implicitly:**

$$v(0) = v(1), \quad v'(0) = v'(1), \quad v''(0) = v''(1), \quad v'''(0) = v'''(1)$$

In discrete form, out-of-bounds  $[0, n]$  values should wrap around:

$$v_n \Rightarrow v_0, \quad v_{n+1} \Rightarrow v_1, \quad v_{-1} \Rightarrow v_{n-1}, \quad v_{-2} \Rightarrow v_{n-2}$$

Remark: For open contours, sufficient number of points must be fixed

## Energy minimization through PDEs

- Extended model
- Idea: better physical model by taking temporal development of snake into account (it moves!)
- Add kinetic energy to total energy function

$$E_K(v) = \frac{1}{2} \int_0^1 \mu(s) \left( \frac{\partial v(s,t)}{\partial t} \right)^2 ds$$

mass of snake

temporal derivative

Total energy

$$E(v) = E_K(v) + E_I(v) + E_D(v)$$

## Energy minimization through PDEs

Further improvement: snake dissipates energy through friction

$$D(v_t) = \frac{1}{2} \int_0^1 \gamma(s) |v_t|^2 ds$$



damping coefficient

- $t$  now has a the physical meaning of *time*, as snake moves in image
- Find where snake moves, subject to all forces, by min total energy

$$\int_0^1 (E(v) + D(v_t)) ds$$

$$\mu(s) \frac{\partial^2 v}{\partial t^2} + \gamma(s) \frac{\partial v}{\partial t} - \frac{\partial}{\partial s} \left( \alpha(s) \frac{\partial v}{\partial s} \right) + \frac{\partial^2}{\partial s^2} \left( \beta(s) \frac{\partial^2 v}{\partial s^2} \right) = -\vec{\nabla} P(v)$$

## Spatio-temporal model

with weights uniform over the snake, in two axes:

$$\begin{aligned}\mu \frac{\partial^2 x}{\partial t^2} + \gamma \frac{\partial x}{\partial t} - \alpha \frac{\partial^2 x}{\partial s^2} + \beta \frac{\partial^4 x}{\partial s^4} &= - \frac{\partial P}{\partial x} \\ \mu \frac{\partial^2 y}{\partial t^2} + \gamma \frac{\partial y}{\partial t} - \alpha \frac{\partial^2 y}{\partial s^2} + \beta \frac{\partial^4 y}{\partial s^4} &= - \frac{\partial P}{\partial y}\end{aligned}$$

discretize to points, with finite difference derivatives also for time:

$$\begin{aligned}v_t &\approx v^t - v^{t-1} \\ v_{tt} &\approx v^t - 2v^{t-1} + v^{t-2}\end{aligned}$$

Again a linear system of equations:

$$(\mu + \gamma)v_i^t - \alpha(v_{i-1}^t - 2v_i^t + v_{i+1}^t) + \beta(v_{i-2}^t - 4v_{i-1}^t + 6v_i^t - 4v_{i+1}^t + v_{i+2}^t) = - P_v \Big|_{v_i^{t-1}} + (2\mu + \gamma)v_i^{t-1} - \mu v_i^{t-2}$$

## Spatiotemporal model

In matrix form:

$$[(\mu + \gamma)\mathbf{I} + \mathbf{K}] v^t = - P_v \Big|_{v^{t-1}} + (2\mu + \gamma)v^{t-1} - \mu v^{t-2}$$

Role of damping  $\rightarrow$  better conditioning of (extended)  $\mathbf{K}$   
(dampen sudden responses)

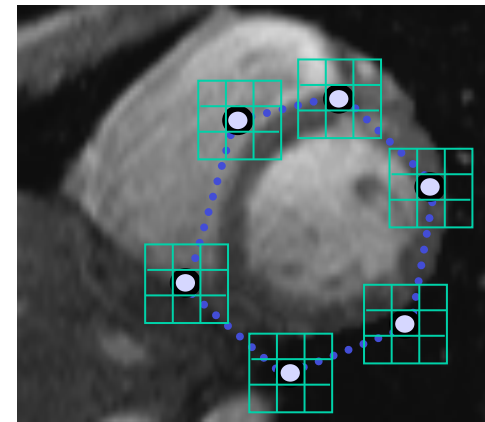
Role of mass  $\rightarrow$  adds “memory” to the evolving curve

Solve by inverting the (extended)  $\mathbf{K}$

$$v^t = [(\mu + \gamma)\mathbf{I} + \mathbf{K}]^{-1} \left( - P_v \Big|_{v^{t-1}} + (2\mu + \gamma)v^{t-1} - \mu v^{t-2} \right)$$

## Greedy energy minimization

- For each point, search window around it and move to where energy function is minimal
  - Typical window size, e.g. 5 x 5 pixels
- Stop when predefined number of points have not changed in the last iteration, or after max number of iterations
- Note
  - Convergence not guaranteed
  - **Need decent initialization**



# Energy minimization: dynamic programming

Often snake energy can be rewritten as a sum of

- \* unary potentials for individual points and
- \* interaction potentials between pairs of points

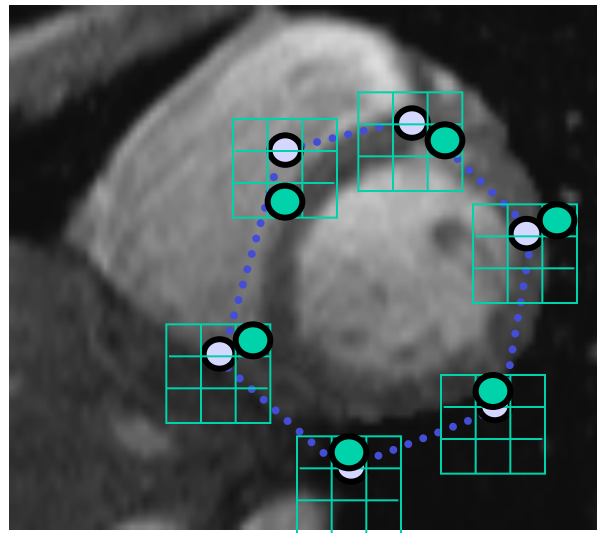
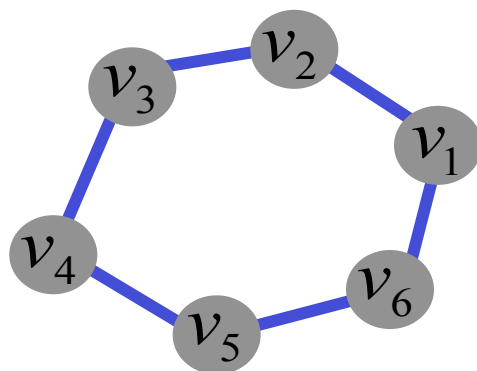
$$E_{total}(\mathbf{v}_0, \dots, \mathbf{v}_{n-1}) = \sum_{i=0}^{n-1} U_i(\mathbf{v}_i) + \sum_{i=0}^{n-2} P_i(\mathbf{v}_i, \mathbf{v}_{i+1})$$

image energy  
(gradients)

deformation energy  
(good for tension,  
but for bending ?)

*A point only affects a few terms of this sum !*

## Dynamic programming: discretize states



We can minimize this form of energy function, using dynamic programming, with the *Viterbi* algorithm.

- \* Center each box to its optimal position around it, and
- \* Iterate until the optimal position is at the box center. i.e., the snake is optimal in a local discrete search space defined by the boxes

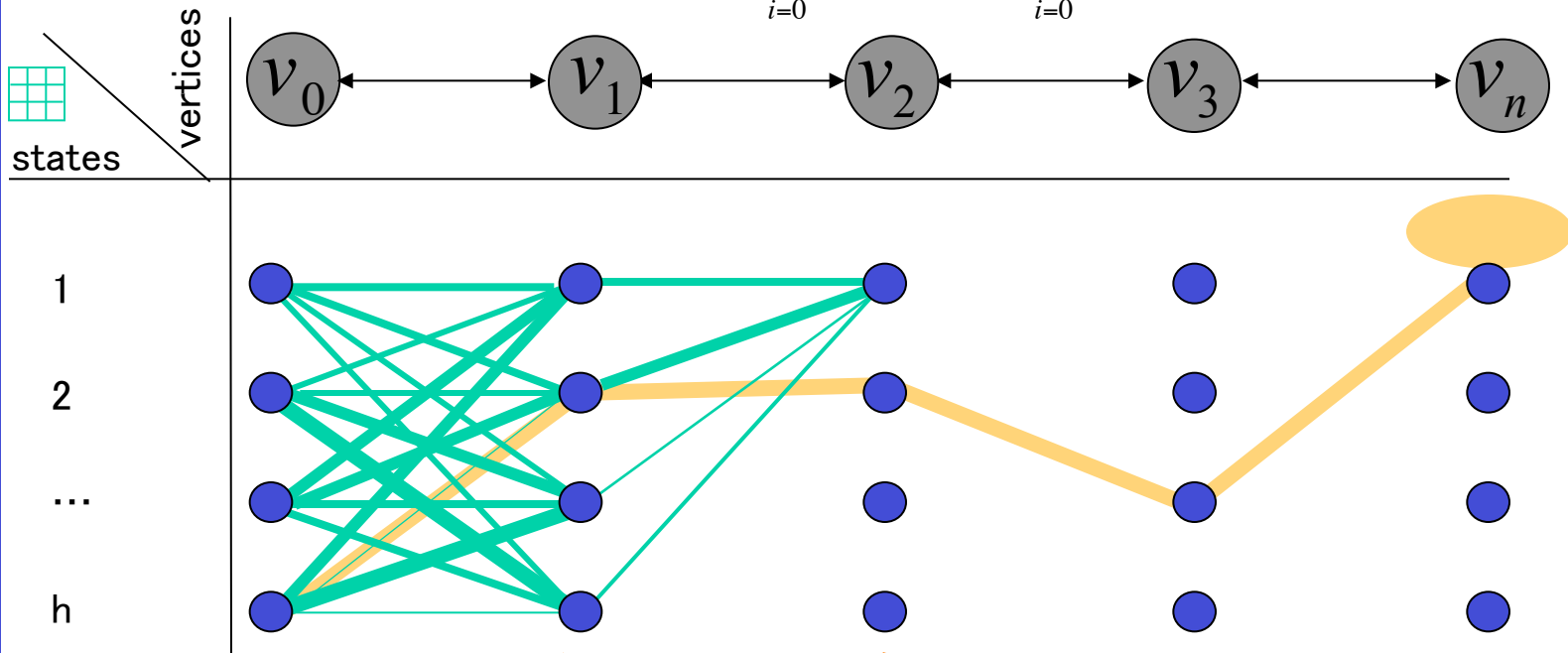
Fig from Y. Boykov  
[Amini, Weymouth, Jain, 1990]



# Dynamic programming: optimal path

- 1) for each possible position (state) of a vertex, find cost of optimal path arriving there, and optimal state of predecessor.
- 2) backtrack from best state for last vertex.

$$E_{total}(v_0, \dots, v_{n-1}) = \sum_{i=0}^{n-1} U_i(v_i) + \sum_{i=0}^{n-2} P_i(v_i, v_{i+1})$$



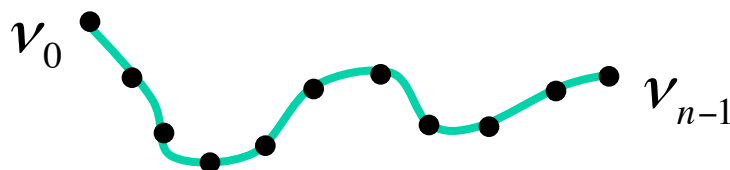
	h			
E of best path to v1 = h				
pointer to best v0				

	h			
E of best path to v2 = h				
pointer to best v1				

Snakes:  
Optimization  
(DP)  
> optim path

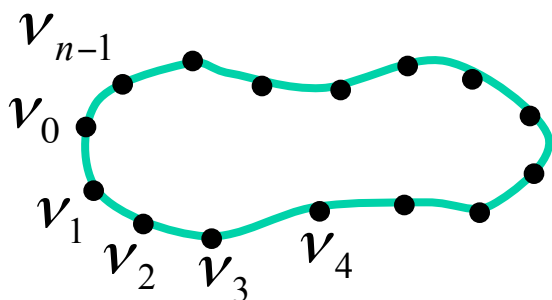
# Energy minimization: dynamic programming

DP can easily be applied to optimize an open snake



Complexity:  $O(nh^2)$  vs brute force search \_\_\_\_\_?

Problem: A closed snake contains a “loop” in the total energy, not solvable in one DP pass



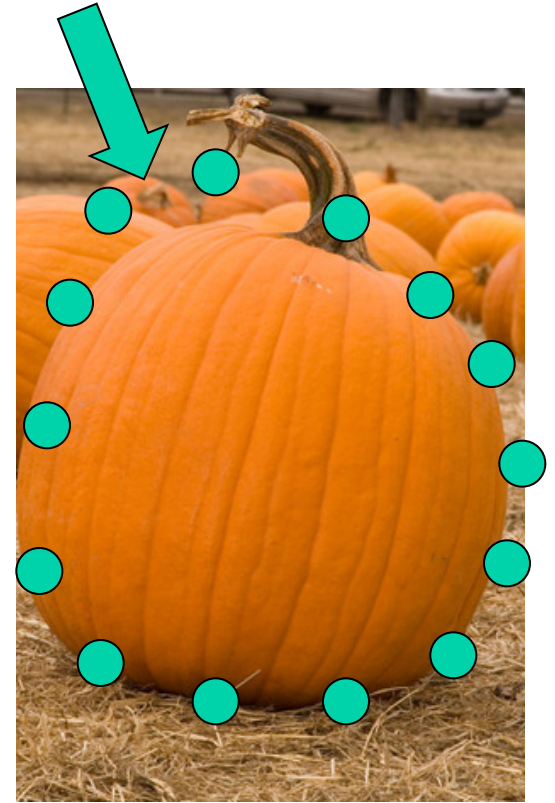
Work arounds:

- 1) Fix  $v_1$  and solve for rest.
- 2) Fix an intermediate node at its position found in (t-1), and solve for rest.

## Extension with further external forces

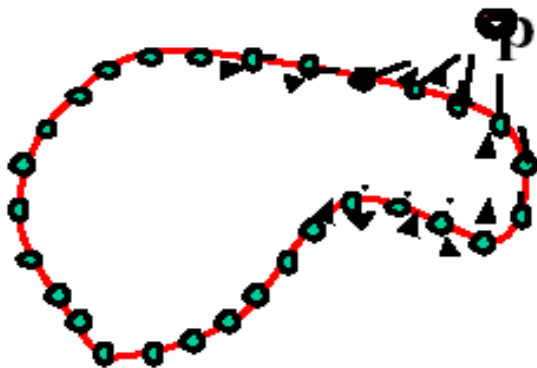
- Pressure (Balloons)
  - Pushing the curve to extend (inside to outside)
  - Constant force magnitude
  - Shows in the direction of the curve's normal
- Gravity
  - constant force in a preferred direction

# Interactive forces



# Interactive forces

- Energy function altered online based on user input;  
e.g. **push or pull the snake points with the mouse pointer**
- This could also be some heuristic force  
e.g. avoiding image borders, utilizing output of another algorithm
- **Easy to modify the external energy term** to include:



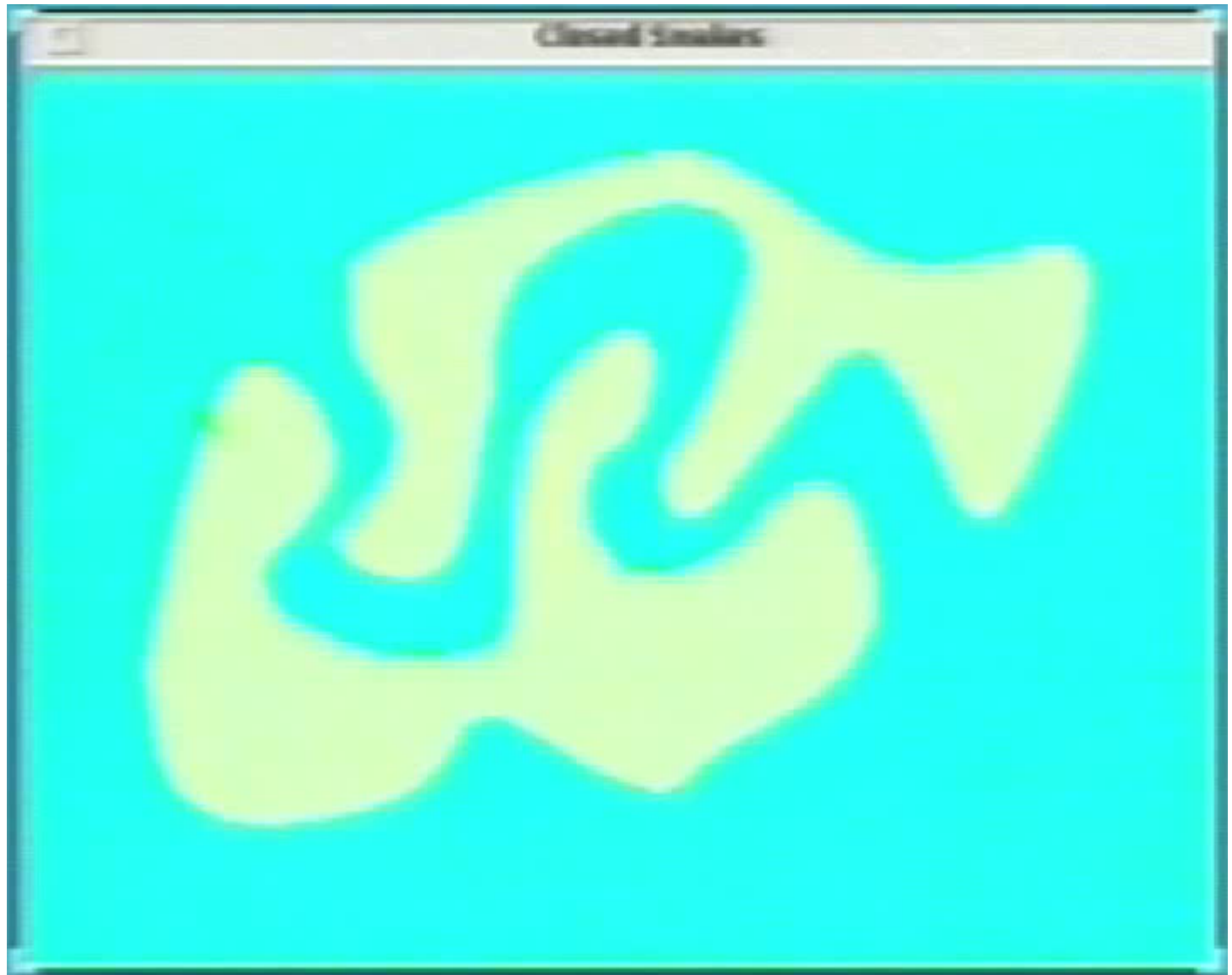
$$E_{push} = + \sum_{i=0}^{n-1} \frac{r^2}{|v_i - p|^2}$$

distance of snake points  
to pointer  $p$

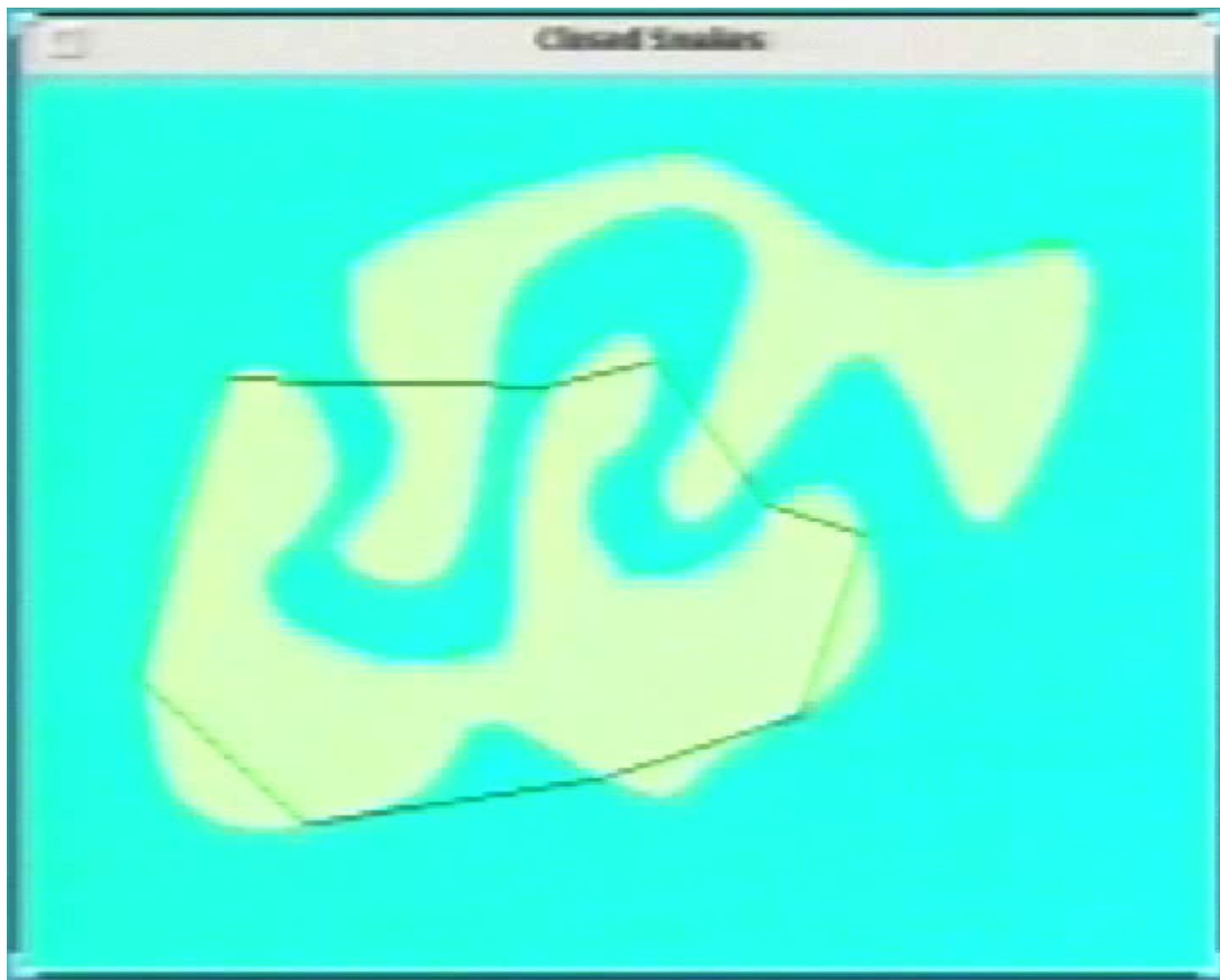
Attaches elastic springs to snakes points  
to push the points away from the pointer  
with the nearby points pushed hardest

- Similarly for pulling...

# Snake example



## Interactive forces example



## Tracking via deformable/contour models

1. Use final contour/model extracted at frame  $t$  as an initial solution for frame  $t+1$
2. Evolve initial contour to fit exact object boundary at frame  $t+1$
3. Repeat, initializing with most recent frame.



# Tracking via deformable models

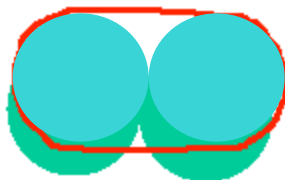


[Visual Dynamics Group](#), Dept. Engineering Science, University of Oxford.

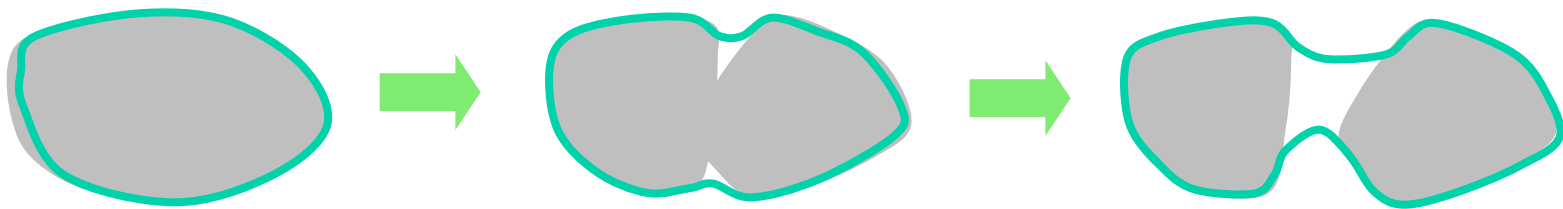
Applications:      Traffic monitoring  
                            Human-computer interaction  
                            Animation  
                            Surveillance  
                            Medical imaging

## Limitations

- May **over-smooth** the boundary

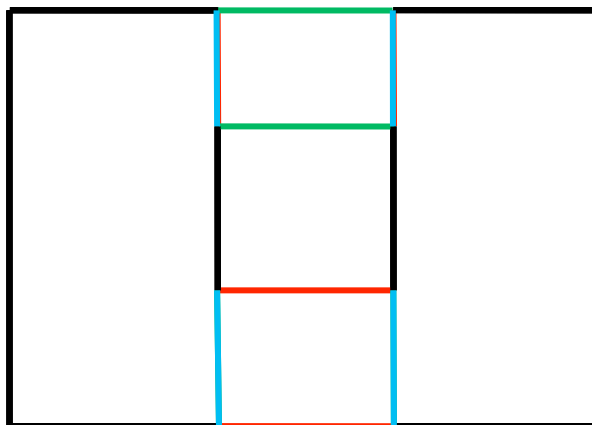


- Cannot follow **topological changes** of objects



## Limitations: Contour closing is ill-defined

Where are the gaps?



## Limitations: Only locally optimal

- **Snakes only “see” nearby object boundaries**  
i.e. the external energy does not consider edges in the image, unless the curve gets “**very close**” to it  
(determined by gradient kernel, DP search box, etc)

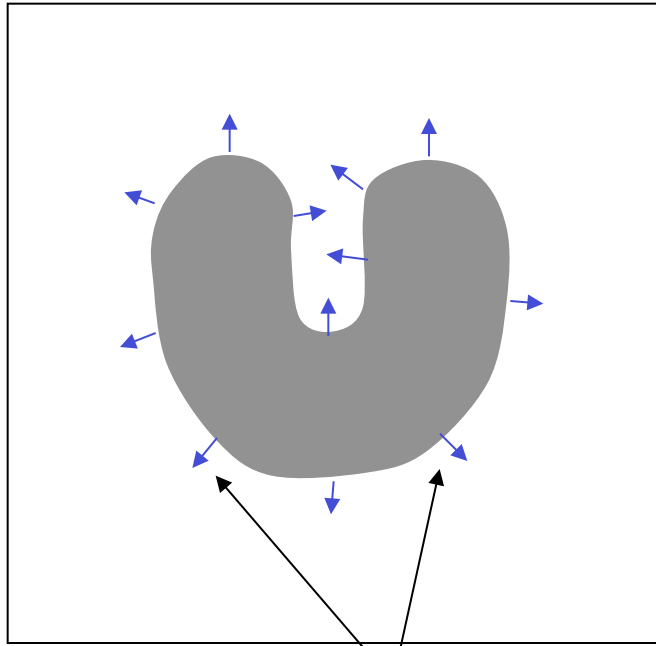
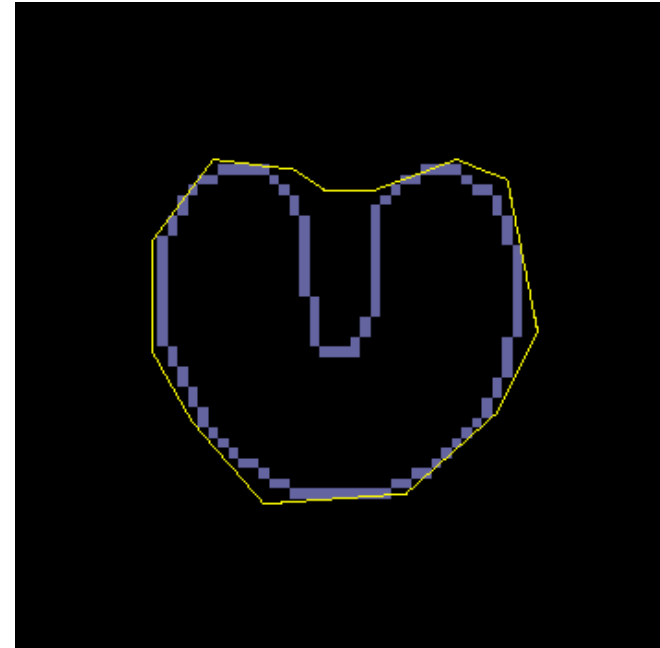


image gradients  $\nabla I$   
are large only near the boundary



## Distance-based energy

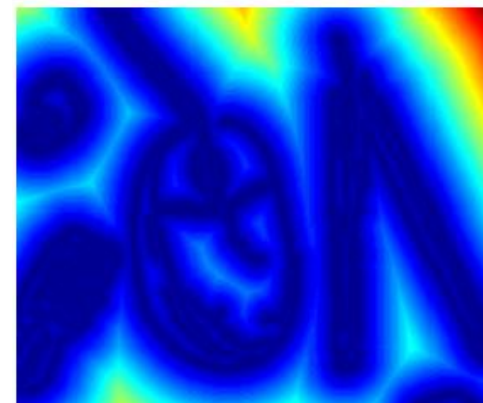
- External energy can also be defined based on the **distance** from edges in the image



original

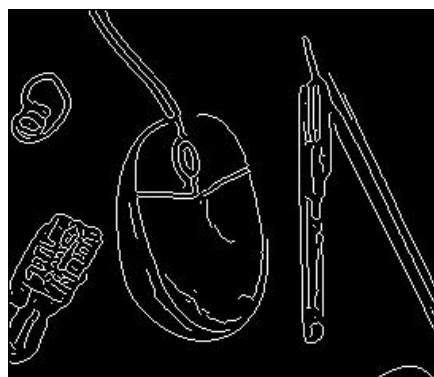


gradient



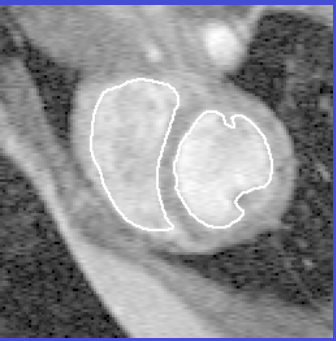
distance map

makes snake  
less shortsighted



edges

Value at  $(x,y)$  tells how far that position is from the nearest edge point (could also be some other binary image feature)



# Snakes: Pros and Cons

## Pros:

- Framework to fit deformable contours via optimization
- Useful to track and fit non-rigid shapes to images
- **Contour remains connected, topology fixed**
- Possible to connect / fill in invisible contours
- **Flexibility in energy function definition** & weighting
- Enables additional energies, e.g. interactive input

## Cons:

- Local optimization: may get stuck in local minimum  
Thus, needs good initialization near true boundary
- Susceptible to parameterization of energy function,  
must be set based on prior information, experience, etc

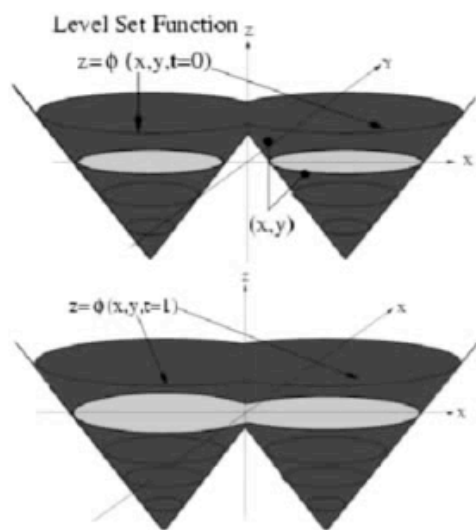
## Summary: main points

- Deformable shapes and active contours are useful for
  - Segmentation: fit or “settle” to boundary in image
  - Tracking: previous frame’s estimate serves to initialize the next
- Optimization for snakes: general idea of minimizing an energy function
  - Can define terms to encourage certain shapes, smoothness, low curvature, push/pulls, ...
  - Can use weights to control relative influence of each component
- Edges / optima in gradients can act as “attraction” force for interactive segmentation methods.
- Distance transform definition: efficient map of distances to nearest feature of interest.

# Implicit curve definitions: Level-sets

## More advanced methods: Implicit Models

- Instead of representing the contour explicitly as a set of points
- Implicit models - the contour is the *level set* of a higher dimensional function



The level set function:  
 $z = \Phi(x, y, t)$

Contour at time  $t$ :  
 $0 = \Phi(x, y, t)$

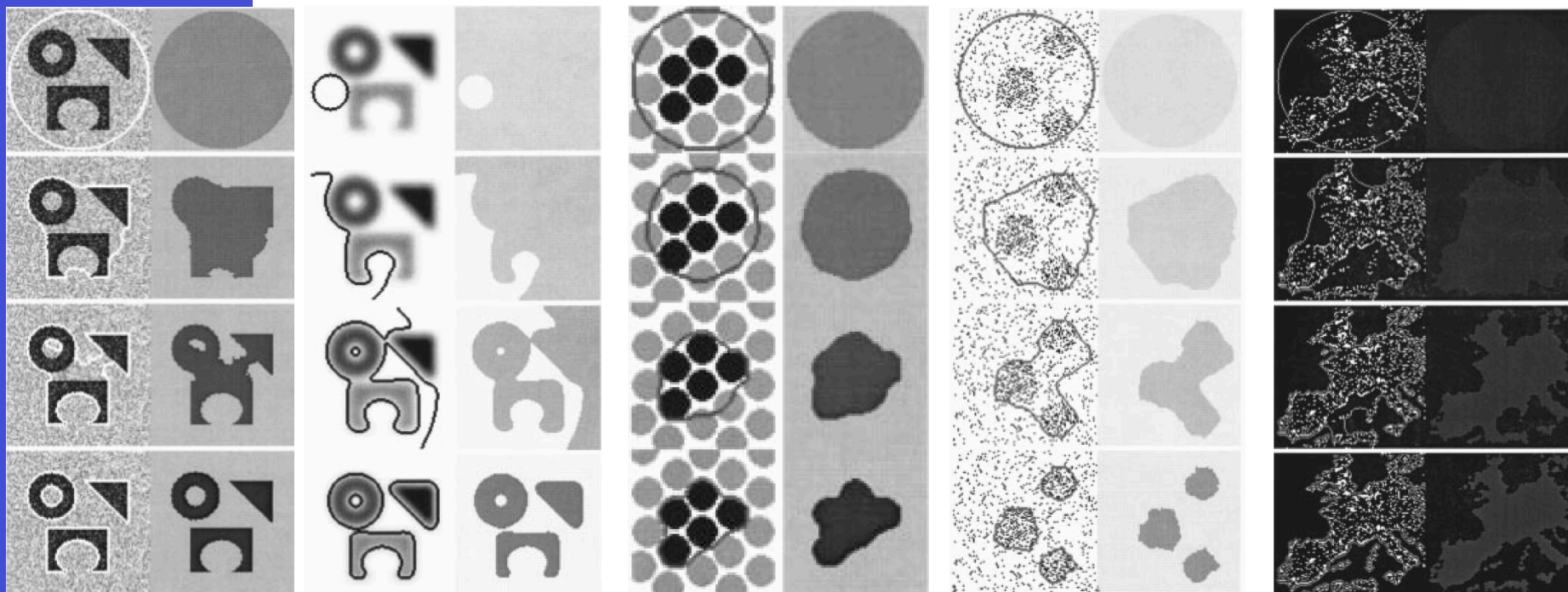
from <http://www.cs.missouri.edu/~duan/tutorial-a.pdf>

This allows the topology of the curve to change

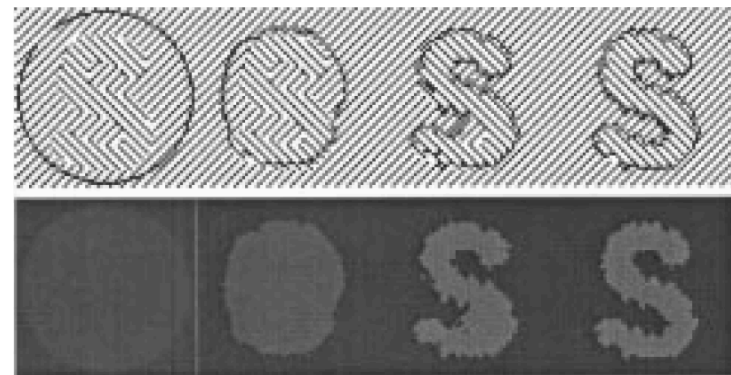


# “Active Contours Without Edges”, Chan&Vese 2001

Adds energy term for min variance of in/out (Mumford-Shah func)



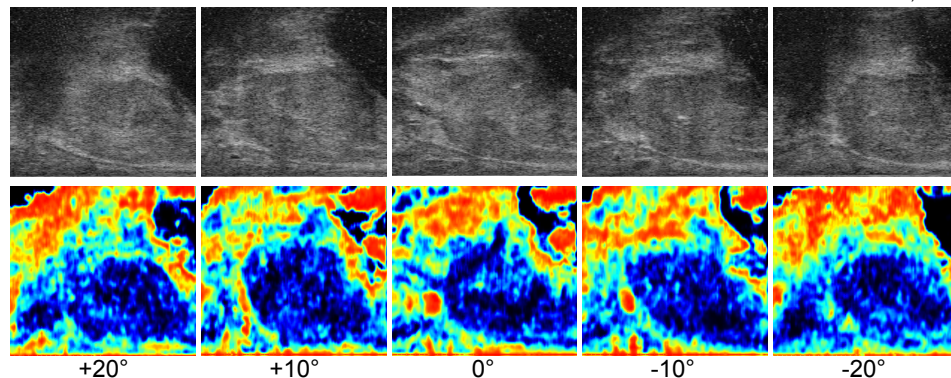
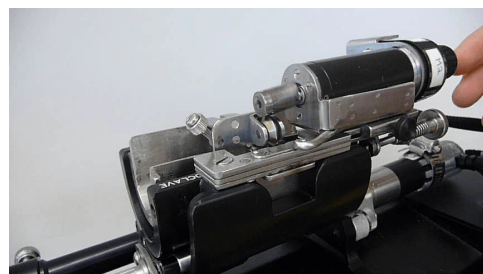
- Allows for capturing (non-edge/global) variations
- Even point-cloud distributions
- Can extend to other image features



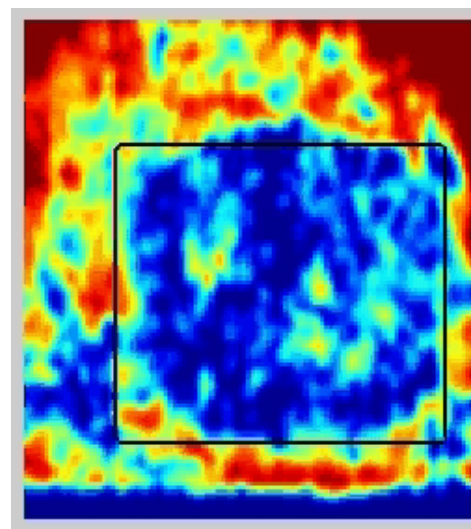
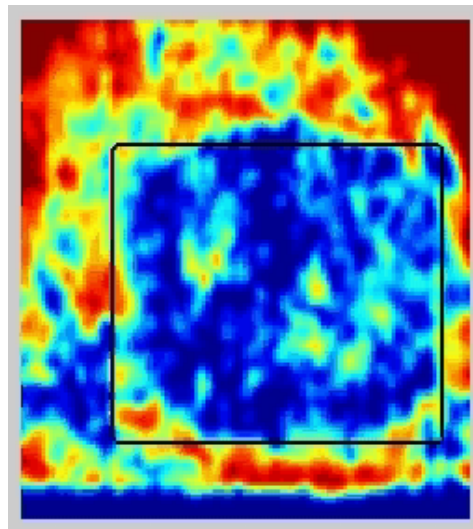
# Application example: Prostate Segmentation

Prostate Ultrasound Vibroelastography

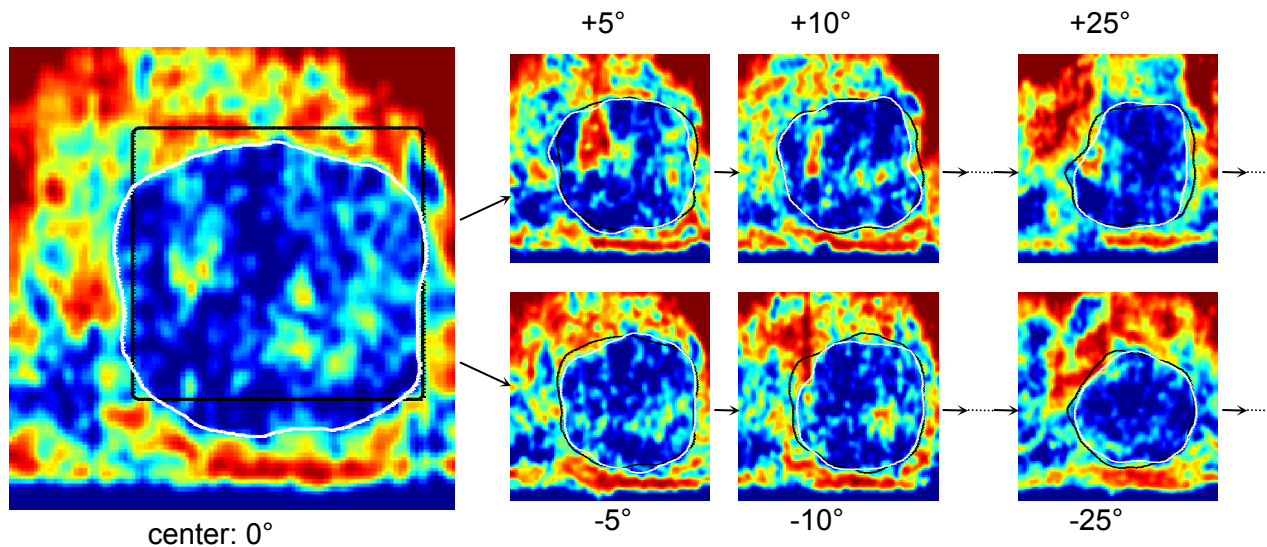
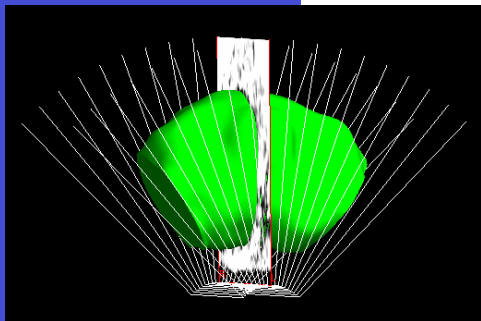
Tim Salcudean, UBC



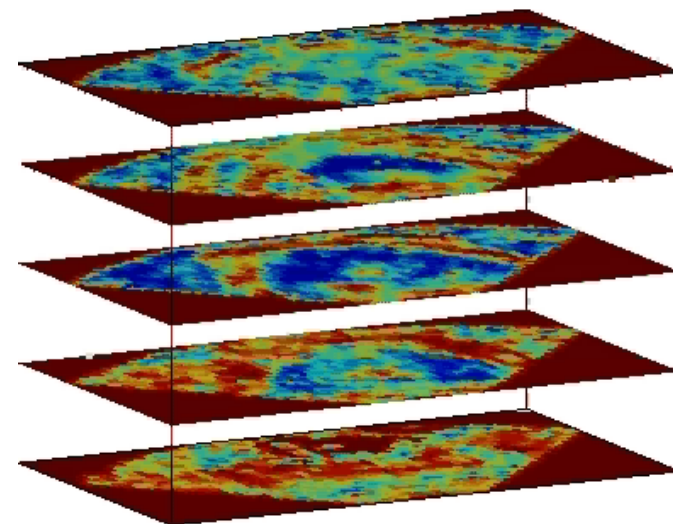
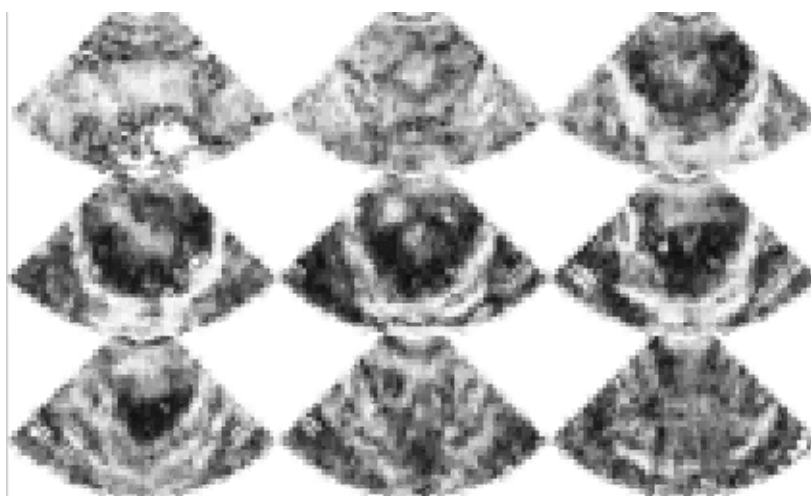
- Elastography yields a contrast image
- 2D snakes fitted to edges
- Next slide initialized with the previous



# Prostate Segmentation Example in 3D



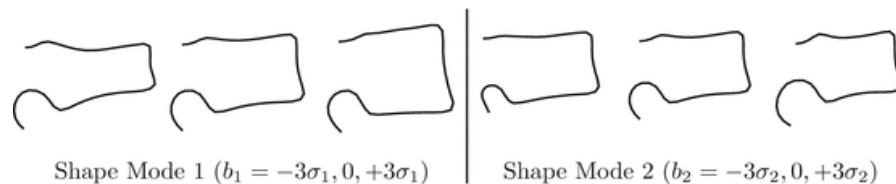
Can be also performed directly on 3D volume



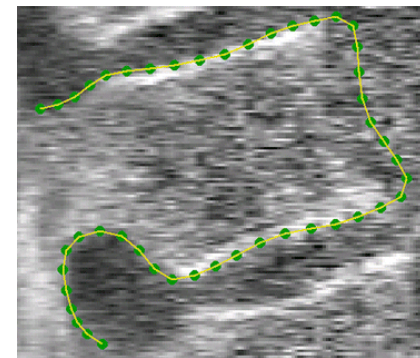
# Active Shape and Appearance Models

Shape model: Implicit energy via fitness to a statistical model

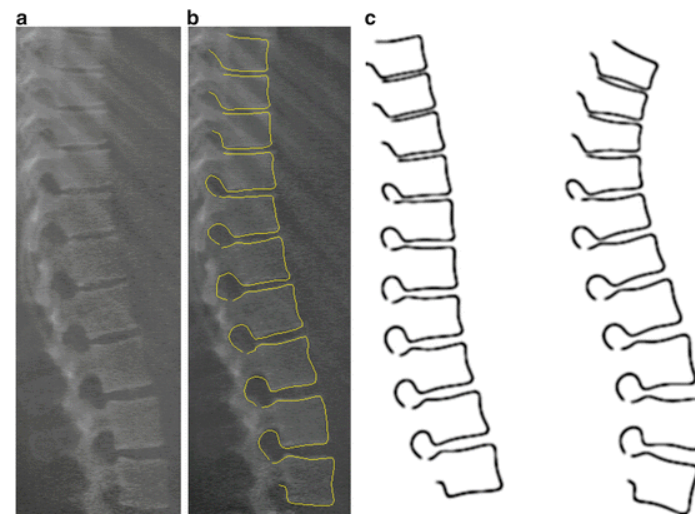
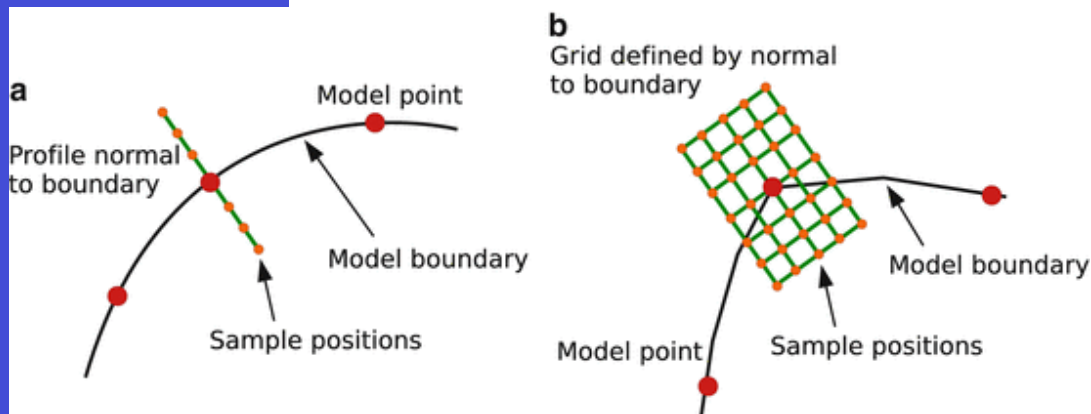
(remember PCA)



While updating the curve, project on model space to find closest shape model



Appearance model: Make a local intensity model of edge at point  $v_i$



## Example: MR bone segmentation

