

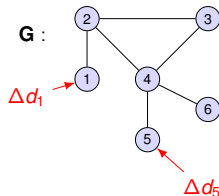


Control of networked systems by clustering: the degree of freedom concept

Andrea Martinelli • John Lygeros
Automatic Control Laboratory • ETH Zurich
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Disturbance suppression in graphs

Uncontrolled propagation of local disturbances may lead to catastrophic effects on the dynamics of the whole network^{1,2}



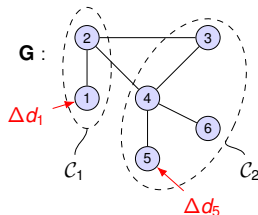
¹Sandell et al. (1978) *IEEE TAC*

²Hespanha et al. (2007) *Proc. of the IEEE*

Disturbance suppression in graphs

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Idea: define a partition $\mathcal{P} = \{\mathcal{C}_1, \dots, \mathcal{C}_m\}$ on \mathbf{G} such that each cluster can locally adsorb disturbances.



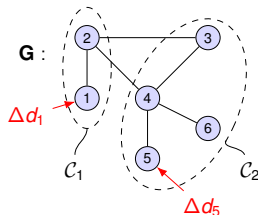
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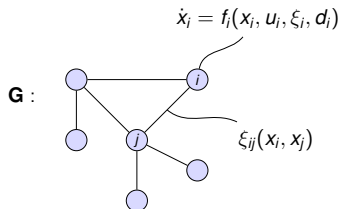


- ▶ What does it mean for a cluster to be structurally inclined to suppress disturbances?
- ▶ What is the best way to partition a graph according to our needs?

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Networked control system



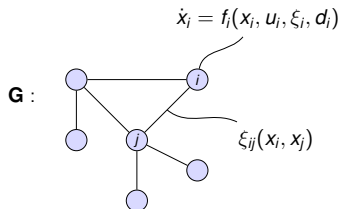
Each node in the graph **G** hosts a dynamical system

$$\dot{x}_i = f_i(x_i, u_i, \xi_i, d_i),$$

where $x_i \in \mathbb{R}^{N_i}$, $u_i, \xi_i, d_i \in \mathbb{R}$, and

$$\xi_i = \sum_{j \in \mathcal{N}_i} \xi_{ij}(x_i, x_j).$$

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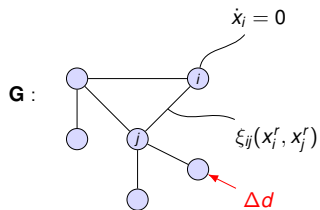
$$\xi_i = \sum_{j \in \mathcal{N}_i} \xi_{ij}(x_i, x_j).$$

Objective: design decentralized maps $u_i = g_i(x_i, x_{j \in \mathcal{N}_i})$ such that

- ▶ d_i are locally compensated, and
- ▶ $x_i = x_i^r$ in spite of the couplings

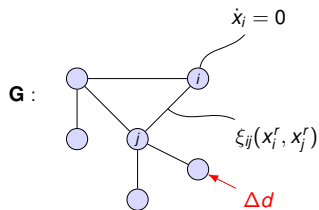
Local flux redistribution

- Saturations, control unbalance,... call for a higher-layer architecture.



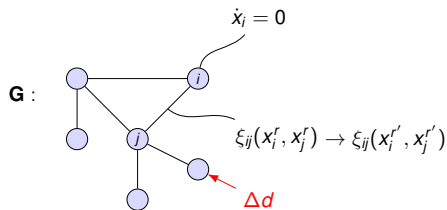
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- ▶ In this context, disturbances are suppressed by a proper choice of x^r .



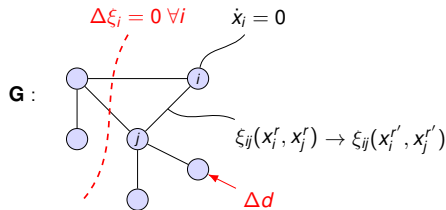
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Local flux redistribution

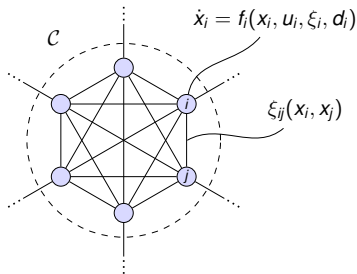
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Objective: impose a **local** reference update to a subset of nodes, without affecting any external node \rightarrow zero inter-cluster flux variation.

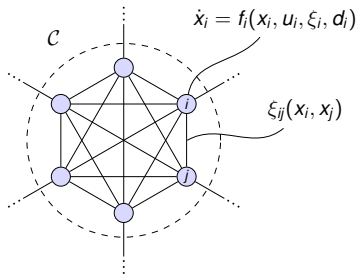
Graph clustering methods

- ▶ Define a measure $m : \mathcal{C} \rightarrow \mathbb{R}$ that captures your needs, and
- ▶ Find the partition \mathcal{P}^* that maximises the aggregated utility



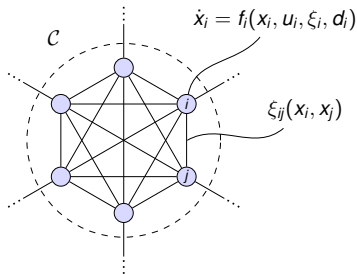
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Graph clustering methods

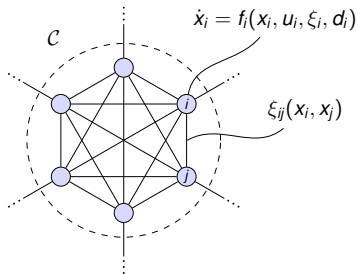
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Traditional clustering methods: *modularity, persistence probability, spectral analysis,...*

Graph clustering methods

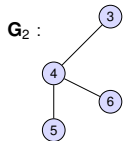
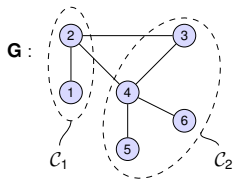
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Traditional clustering methods: *modularity, persistence probability, spectral analysis,...* do not capture the structural condition we require.

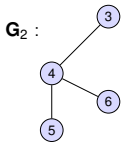
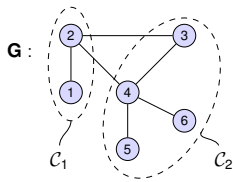
→ Need for a **new clustering measure!**

Partitioned graph Laplacian (1/2)



$$L = \begin{pmatrix} C_1 & Y_{12} & \cdots & Y_{1m} \\ Y_{12}^\top & C_2 & & \\ \vdots & & \ddots & \\ Y_{1m}^\top & & & C_m \end{pmatrix}$$

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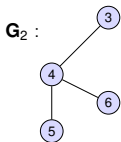
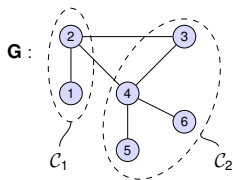


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Assumption 1. The graph **G** is connected

Assumption 2. The induced subgraphs identified by the clusters in the partition $\mathcal{P} = \{C_1, C_2, \dots, C_m\}$ are connected. No trivial clusters.

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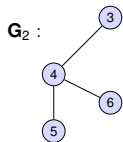
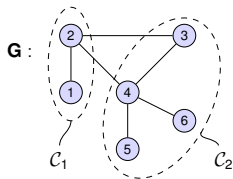
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Theorem 1

If Assumptions 1 and 2 are satisfied, then any diagonal block of the Laplacian associated with a cluster in \mathcal{P} is nonsingular and has all eigenvalues with positive real part.

Rank difference function

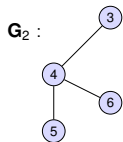
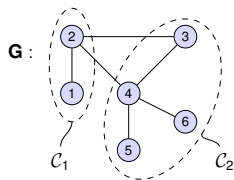


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- Let's introduce a rank difference function $\delta : \mathbb{R}^{a \times b} \times \mathbb{R}^{c \times d} \rightarrow \mathbb{N}$ and apply it to a cluster C_i

$$\delta(C_i, Y_i) = \text{rank}(C_i) - \text{rank}(Y_i) = d_i$$

Rank difference function



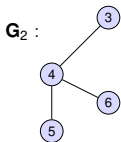
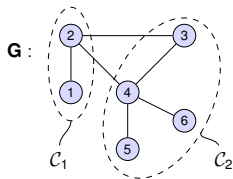
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In fact, C_i is full-rank (Theorem 1) and C_i and Y_i share the same number of rows.

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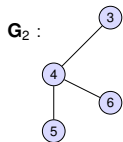
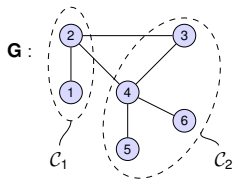
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- We say that a cluster C_i has d_i **degrees of freedom** if $\delta(C_i, Y_i) = d_i$

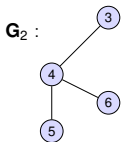
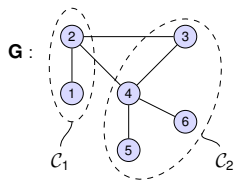
Degrees of freedom for a cluster



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- **What does d_i mean?** Fraction of nodes that can modify their state without incurring in a net Δ flux with the rest of the network. Example: $d_1 = 1$ and $d_2 = 3$.

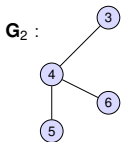
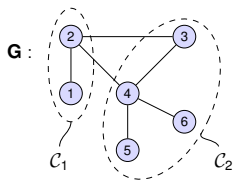
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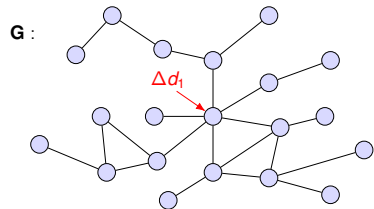
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- We can use δ as a clustering measure to generate optimal partitions
- The possible partitions of a set scale according to the Bell numbers (e.g. $B_{20} \approx 10^{12}$)
→ heuristic algorithms are needed.

Example: DC microgrids voltage control

The typical converter-based model of a DGU is a linear affine system

$$\begin{aligned}\dot{x}_i &= f_i(x_i, u_i, \xi_i, d_i) \\ &= \begin{bmatrix} 0 & \frac{1}{C_i} \\ -\frac{1}{L_i} & -\frac{R_i}{L_i} \end{bmatrix} x_i + \begin{bmatrix} 0 \\ V_{in,i} \end{bmatrix} u_i + \begin{bmatrix} 1 \\ 0 \end{bmatrix} \xi_i - \begin{bmatrix} 1 \\ 0 \end{bmatrix} d_i\end{aligned}$$

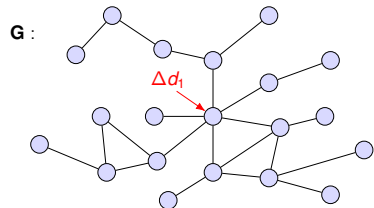


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Passivity-based primary controllers¹

$$u_i = K_i x_i, \quad K_i \in \mathcal{K}(\epsilon_i),$$

guarantee local reference tracking $x_i = x_i^r$. What happens when a disturbance cannot be internally compensated?

¹Martinelli et al. (2019), *ECC*

Heuristic clustering strategy

Algorithm 1 Greedy exploration

given overloading node i with set of neighbors \mathcal{N}_i

initialize $\mathcal{C} = \{i\}$, $\mathcal{N}_{\mathcal{C}} = \mathcal{N}_i$, $\delta_{\mathcal{C}} = 0$

repeat

$\mathcal{H} = \{j \in \mathcal{N}_{\mathcal{C}} : \delta_{\mathcal{C},j} > \delta_{\mathcal{C}}\}$

if $\mathcal{H} \neq \emptyset$ **then**

$\mathcal{C} \leftarrow \mathcal{C} \cup \{j = \arg \max_{j \in \mathcal{H}} (\Psi_j)\}$

else

$\mathcal{C} \leftarrow \mathcal{C} \cup \{j = \arg \max_{j \in \mathcal{N}_{\mathcal{C}}} (\deg(j))\}$

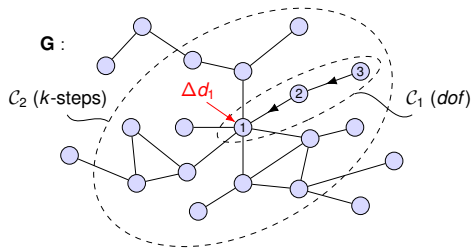
end if

$\mathcal{N}_{\mathcal{C}} \leftarrow (\mathcal{N}_{\mathcal{C}} \cup \mathcal{N}_j) \setminus (j \cup (\mathcal{C} \cap \mathcal{N}_j))$

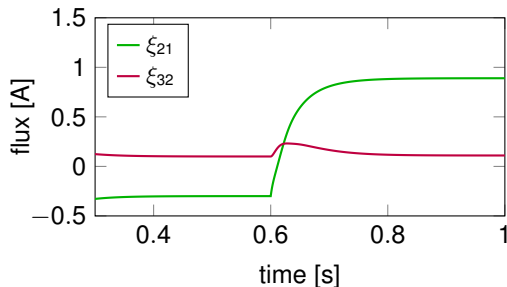
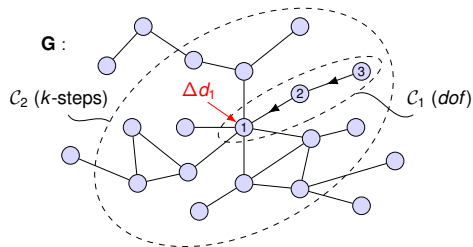
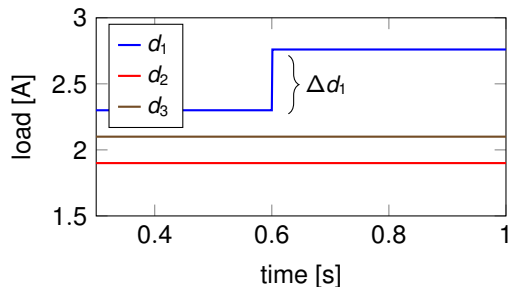
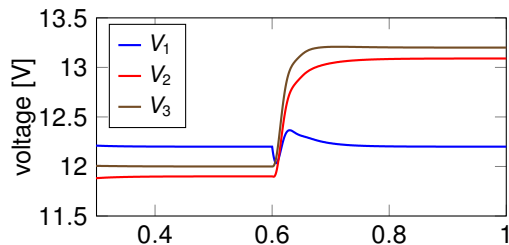
solve optimization problem for nodes in \mathcal{C}

until a feasible solution is found

return $x_{\mathcal{C}}^r$



Voltage tracking in a microgrid





Thank you for the attention!

Contact: `andremar@control.ee.ethz.ch`